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# The Securities and Exchange Commission *Historical Society*

**Attend the Inaugural Reception  
May 11, 2000  
National Building Museum  
401 F Street, N.W.  
Washington, D.C.**

With remarks by SEC Chairman  
**Arthur Levitt**

**Membership information enclosed**

# About the Securities and Exchange Commission Historical Society

## **Initial Trustees:**

David S. Ruder, *former SEC Chairman*

Paul Gonson, *former SEC Solicitor*

Harvey L. Pitt, *former SEC General Counsel*

The Securities and Exchange Commission Historical Society has been formed to preserve the history of the SEC, to sponsor research and educational programs regarding the SEC, and to enhance understanding of the development of the U.S. and the world's capital markets.

The Society plans to hold annual meetings of members, to sponsor important policy conferences, to develop oral histories regarding significant regulatory and market developments, to sponsor research and publications, to maintain historical archives for personal papers of SEC Commissioners and staff and for papers of market participants, and to create a library and museum facility.

The Society is a nonprofit District of Columbia corporation. It has applied to the Internal Revenue Service for a determination that it is tax exempt under Section 501(c)(3) of the Internal Revenue Code. The Society expects to be qualified to receive charitable contributions pending receipt of a favorable determination. A Board of Trustees will govern the Society.

The Society's success will depend upon broad support from participants in the capital markets, including institutions and individuals.

Membership categories and their annual contributions include Students and SEC Staff, \$25; Regular Members, \$100; Contributing Members, \$1,000; Sustaining Members, \$2,500; and Sponsoring Members, \$5,000. Institutional memberships are also available.

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By preserving the history of the Securities and Exchange Commission, the Society will provide a valuable factual base that will aid subsequent securities market regulators in reaching sound decisions. By sponsoring scholarly research and educational programs, the Society will provide assistance to those interested in the securities markets.

#### The Securities and Exchange Commission Historical Society's goals and functions:

- Develop a valuable archives
  - Collect personal papers of SEC Commissioners and staff, and market participants
  - Record oral histories
  - Establish a repository for SEC, SRO, Congressional, and securities industry documents
- Sponsor research and publications on the financial markets
- Establish a library and museum building

*You are cordially invited to join us and  
celebrate the creation of the*

**Securities and Exchange Commission  
Historical Society**

*Inaugural Reception*

*May 11, 2000  
4:30 to 6:30 p.m.*

*With remarks by SEC Chairman Arthur Levitt at  
5:30 p.m.*

*National Building Museum  
401 F Street, N.W.  
Washington, D.C.*

*Contribution*  
*\$40 Advance registration*  
*\$50 On-site registration*  
*\$10 SEC staff*

# Registration form

## Please return to

Securities and Exchange Commission Historical Society  
1001 Pennsylvania Avenue, N.W., Suite 810 South  
Washington, D.C. 20004-2505  
Phone 202/585-6405 Fax 202/585-6404  
Web [www.sechistorical.org](http://www.sechistorical.org)

## I will attend the Inaugural Reception on May 11.

### Contribution

- \$40 Advance registration
- \$10 SEC staff
- I am a sponsoring or sustaining member of the SEC Historical Society.

## I wish to join the SEC Historical Society at the following annual contribution level:

- Student/SEC staff membership \$ 25
- Regular membership \$ 100
- Contributing membership \$ 1,000
- Sustaining membership \$ 2,500
- Sponsoring membership \$ 5,000
- Please contact me about an institutional membership.

- Enclosed is a check in the amount of \_\_\_\_\_ payable to the Securities and Exchange Commission Historical Society.
- Charge \_\_\_\_\_ to the following card:
  - MC (18 digits)  Visa (16 digits)  AMEX (15 digits)

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Card Number

Expiration Date – Month/Year

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Signature of Credit Card Registrant Only

Cardholder's Name

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Name

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Title

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Securities and Exchange Commission  
Historical Society  
1001 Pennsylvania Avenue, N.W.,  
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Washington, D.C. 20004-2505  
[www.sechistorical.org](http://www.sechistorical.org)

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