

SUPPLEMENTARY VOLUME II

DOCUMENTATION OF QUESTIONNAIRES, RESPONDENTS AND THE DATA COLLECTION PROCESS

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I- 1	A, B, C, D, E, F	I-33	Z
- 2	A, B, C, D, E, F	-34	Z
- 3	A, B, C, D, E, F	-35	Z
- 3A	Z	-36	Z
- 7	F, G	-37	Z
-12	A, P, Q	-40	Z
-13	L	-42	Z
-15	H, J, K	-43	Z
-16	J, K	-44	Z
-17	H, J, K	-46	Z
-18	A, H, K	-48	Z
-19	A, K	-50	Z
-20	Z	-61	F, G, M, N, O
-21	Z	-62	Z
-22	Z	-63	Z
-24	Z	-64	R
-25	Z	-70	F
-26	Z	-71	F
-30	Z	-72	F
-32	Z		

LIST A - COMMON STOCKS
LISTS B, C, D, E, L, Q AND Z

CUSIP NO.	LISTS	ISSUER NAME
990009102	AQ	ABEX CORPORATION
006212104	ACZ	ADAMS EXPRESS CO
008140105	AL	AETNA LIFE & CASUALTY
008644106 NAME CHANGED FROM	ACLZ	AGUIRRE CO CENTRAL AGUIRRE SUGAR
013716105 NAME CHANGED FROM	A	ALCAN ALUMINUM LTD ALUMINUM LIMITED
017663105	AQ	ALLEN INDUSTRIES
019087105	A	ALLIED CHEMICAL CORP
019645100	ALQ	ALLIS-CHALMERS MFG
020771101	A	ALPHA PORTLAND CEMENT
023141104 NAME CHANGED FROM	ACZ	AMBAC CORPORATION AMER BOSCH ARMA
023537103	ACZ	AMERACE ESNA CORP
023555105	AQ	AMERADA PETROLEUM
023551104	A	AMERADA HESS CORP
024843104	AQ	AMER CAN CO
025069105	AL	AMER CEMENT CORP
026357103	AQ	AMER GENERAL INS CO
026573105	ACZ	AMER HOIST & DERR CO
026609107	ACZ	AMER HOME PROD
027303106	AQ	AMER MACH & FNDRY
027465103	ACLZ	AMER METAL CLIMAX
027627108	AL	AMER MOTORS CORP
029267101	ACZ	AMER RESEARCH & DEVEL

LIST A

CUSIP NO.	LISTS	ISSUER NAME
029717105	A	AMER STANDARD
030105100	ACZ	AMER SUGAR
030177109	ABLZ	AMER TEL & TEL
031105109	ACZ	AMETEK, INC
031141104	A	AMFAC INC
001732106	ALQ	AMK CORPORATION
032087108	ACLZ	AMPEX CORP
990024101	AQ	AMPHENOL CORP.
033609108	ACZ	ANDERSON, CLAYTON & CO
038375101	A	AQUA-CHEM INC
040555104	ACZ	ARIZONA PUBLIC SERVICE
042303107	AQ	ARMOUR & CO
046753109	A	ATCHISON, TOPEKA & S.F.
048825103	ALQ	ATLANTIC RICHFIELD CO
049231103	A	ATLAS CHEMICAL IND
052339108	A	AUSTIN, NICHOLS & CO
053159109	ACZ	AUTOMATIC SPRINKLER
053501102	A	AVCO CORPORATION
053807103	A	AVNET INC
054303102	ABZ	AVON PRODUCTS INC.
060221108	AQ	BANGOR PUNTA CORP
070581103	ACZ	BATES MFG CO
071041107	ALQ	BATH INDUSTRIES
074077108	ACQZ	BEATRICE FOODS CO
075815100	ACZ	BECKMAN INSTRUMENTS

LIST A

CUSIP NO.	LISTS	ISSUER NAME
075887109	AL	BECTION, DICKINSON & CO
081689101	ACLZ	BENDIX CORPORATION
081851107	A	BENGUET CONSOLIDATED
084419100	A	BERKEY PHOTO INC
085203107	A	BERMEC CORP
990020109	AQ	BERYLLIUM CORP
087509105	A	BETHLEHEM STEEL CORP
097023105	AL	BOEING COMPANY
097383103	AL	BOISE CASCADE CORP
115727109	ACZ	BROWN SHOE CO, INC
117043109	ALQ	BRUNSWICK CORP
119529105	ACZ	BUFFALO FORGE CO
990012106	AQ	BUNKER HILL CO
120655105	ALQ	BUNKER RAMO
122205107	ACZ	BURNDY CORPORATION
122781107	A	BURROUGHS CORP
130217102	A	CALIF FINANCIAL CORP
990013104	AQ	CALIFORNIA LIQ GAS
146285101	ACZ	CARTER-WALLACE, INC
148429103	AQ	CASTLE & COOKE
124893108	A	CCI MARQUARDT CORP
150843100	ACZ	CELANESE CORP
167808104	ACZ	CHICAGO MUSICAL INSTR
990006108	AQ	CHICAGO & N WESTERN RR

LIST A

CUSIP NO.	LISTS	ISSUER NAME
168106102	ACZ	CHI, ROCK ISL & PAC RR
170520100	AQ	CHRIS-CRAFT INDUSTRIES
171196108	ABZ	CHRYSLER CORP
125569103	AQ	C I T FINANCIAL CORP
177846102	ACLQZ	CITY INVESTING CO
181396102	ACZ	CLARK EQUIPMENT CO
186792107	A	CLEVITE CORPORATION
189486103	ACQZ	CLUETT, PRABODY & CO.
190558106	ACZ	COASTAL STATE GAS PROD
195018106	ALQ	COLLINS RADIO CO
196864102	ACLQZ	COLT INDUSTRIES INC
990025108	AQ	COMMERCIAL CREDIT
203417100	A	COMM SATELLITE CORP
205363104	AQ	COMPUTER SCIENCES CORP
206813107	ACZ	CONE MILLS CORP
208291104	ACZ	CONRAC
209111103	ACZ	CONSOLIDATED EDISON NY
210795100	ACLZ	CONT AIRLINES
211291109	ACZ	CONT COPPER & STEEL
211723101	ACZ	CONT MOTORS
212093108	ACLZ	CONT TELEPHONE
212363105	ALQ	CONTROL DATA CORP
213147101	ACZ	COOK COFFEE CO
219831104	ACZ	CORONET INDUSTRIES

LIST A

CUSIP NO.	LISTS	ISSUER NAME
126149103 NAME CHANGED FROM	ACQZ	CPC INTERNATIONAL INC CORN PRODUCTS CO
228669107	A	CROWN ZELLERBACH
228777108	AQ	CRUCIBLE STEEL CO
239577109	AQ	DAYCO CORPORATION
245217104 NAME CHANGED FROM	ACZ	DEL MONTE CORP CALIFORNIA PACKING
247361108	A	DELTA AIR LINES, INC
249073107	ACZ	DENVER&RIO GRDE WESTRN
252741103	ACLZ	DIAMOND SHAMROCK CORP
254111107	AQ	DILLINGHAM CORP
266057108	A	DUPLAN CORPORATION
263525107	ABZ	DUPONT
276191103	AL	EASTERN AIR LINES
277173100	ACZ	EASTERN UTILITIES
277461109	ABLZ	EASTMAN KODAK
285551107	A	ELECTRONIC ASSOC
285839106	AQ	ELECTRONIC SPECIALTY
283695104	ACZ	EL PASO NATURAL GAS
291101103	ACZ	EMERY AIR FREIGHT CORP
293371101	ACZ	EMPORIUM CAPWELL CO
292605102	ACZ	ENDICOTT JOHNSON
293389102	A	ENNIS BUSINESS FORMS
294497102	ACZ	EQUITABLE GAS CO
296659105	ACZ	ESQUIRE INC

LIST A

CUSIP NO.	LISTS	ISSUER NAME
298731100	ACZ	EUROFUND, INC
303693105	AL	FAIRCHILD CAMERA
303711105	A	FAIRCHILD HILLER CORP
305189102	ACZ	FAIRMONT FOODS CO
306855107	ACZ	FALSTAFF BREWING CORP
307351106	A	FAR WEST FINANCIAL
314225103	ACZ	FEDERATED MORTGAGE INV
315405100	ACZ	FERRO CORPORATION
317315109	ACQZ	FILTROL CORP
319441101	AL	FIRST CHARTER FINAN
335765103	ACZ	FIRST NATIONAL STORES
340639103	ACZ	FLORIDA EAST COAST KY
343862108	ACLZ	FLOOR CORPORATION LTD
345370100	ABLZ	FORD MOTOR CO
345514103	ACLZ	FOREMOST-MCKESSON
356820100	ACZ	FREEPORST SULPHUR CO
361028103 NAME CHANGED FROM	ACZ	FUQUA INDUSTRIES INC NATCO IND
361364102 NAME CHANGED FROM	ALQ	GAC CORP GENERAL ACCEPTANCE CORP
365550102	ACZ	GARDNER-DENVER CO
368658100	ACZ	GEMINI FUND CAP
369550108	AL	GEN DYNAMICS CORP
369604103	ACZ	GEN ELECTRIC CO
370064107 NAME CHANGED FROM	AQ	GEN HOST CORP GENERAL BAKING CO

LIST A

CUSIP NO.	LISTS	ISSUER NAME
370442105	ABZ	GEN MOTORS
370514101	ACLZ	GEN PORTLAND CEMENT
370550105	A	GEN PUB UTILITIES
371028101	ABZ	GEN TEL & ELECTRONIC
371316100	A	GEN TIME CORP
371532102	ACZ	GENESCO INC
374532109	ACZ	GIANT PORTLAND CEMENT
377370101	ALQ	GLEN ALDEN CORP
379568108	ACZ	GLOBE-UNION INC
382388106	AQ	GOODRICH, B.F. CO
382550101	ACLZ	GOODYEAR TIRE & RUBBER
386532105	ACLZ	GRAND UNION CO
387316102	ACZ	GRANITE CITY STEEL
387604101	A	W. T. GRANT CO
389838103	AQ	GREAT AMERICAN HOLDING
390064103	ACZ	GREAT ATL & PAC TEA CO
391064102	ACZ	GET NORTHERN IRON ORE
391442100	A	GREAT WESTERN FINAN
391514106	A	GREAT WESTERN UNITED
398028100	ALQ	GREYHOUND CORP
402370100	ACZ	GULF MOBILE & OHIO RR
402460109	ABLZ	GULF OIL CORP
402496103	ADZ	GULF RESCES & CHEM
402064109	AQ	GULF & WESTERN IND

LIST A

CUSIP NO.	LISTS	ISSUER NAME
406216101	ACZ	HALLIBURTON CO
408306108	ACZ	HAMMERMILL PAPER CO
408360105	ACZ	HAMMOND CORPORATION
410342109	ACZ	HANES CORP
416162105	ACZ	HART SCHAFFNER & MARK
417560109	A	HARVEY ALUMINUM, A
421596107	A	HAZELTINE CORP
423434109	ACQZ	HELME PRODUCTS
423632108	ACZ	HEMISPHERE FUND CAP
427056106	A	HERCULES, INC
428110100	AQ	HESS OIL & CHEMICAL
433650108	ACLZ	HITCO
432848109	ACZ	HILTON HOTELS CORP
434434106	ACZ	HOFFMAN ELECTRONICS
435074109	AL	HOLIDAY INNS OF AMER
439254103	ACLZ	HOOVER BALL & BEARING
442164109	ACZ	HOUSTON LIGHTING & POW
443204102	A	HOWMET CORP
451848105	AQ	ILLINOIS CENTRAL IND
452722101	A	IMPERIAL CORP OF AM
449744101 NAME CHANGED FROM	AQ	INA CORP INSUR CO OF NORTH AM
459200101	ABZ	INTL BUSINESS MACH
459578100	A	INTL HARVESTER
459686101	ACZ	INTNATL INDUSTRIES INC

LIST A

CUSIP NO.	LISTS	ISSUER NAME
460056104	IBZ	INTL NICKEL OF CANADA
460146103	ACZ	INTL PAPER CO
460470107	ABQZ	INTL TEL & TEL
461074106	ACZ	INTERSTATE POWER CO
462416108	ACZ	IOWA ELECTRIC LT & PWR
990018103	AQ	I-T-E CIRCUIT BREAKER
450420104	A	ITE IMPERIAL CORP
465632107	ACZ	ITEK CORPORATION
466538105	A	JACKSON ATLANTIC INC
469898100	ACZ	JAEGER MACHINE
480034107	AQ	JONES & LAUGHLIN STEEL
483008108	ACLZ	KAISER ALUMINUM & CHEM
489170100	ACZ	KENNAMETAL
493782106	A	KIDDE, WALTER & CO
494368103	ACZ	KIMBERLY-CLARK CORP
495890105	ACZ	KINGS DEPT STRS
501026108	ACZ	KROEHLER MFG CO
522030105	AQ	LEASCO DATA ERROC EQUIP
522066109	ACZ	LEASEWAY TRANS CORP
525030102	ACZ	LEHIGH VALLEY IND
526570106	ACZ	LEONARD REFINERIES
530710102	ACZ	LIBERTY LOAN CORP
532202108	ACZ	LIGG & MEYERS TOB INC
535732101	AQ	LING-TEMCO-VOUGHT INC
536257108	A	LIONEL CORPORATION

LIST A

CUSIP NO.	LISTS	ISSUER NAME
538021106	ACZ	LITTON INDUSTRIES INC
538735101	ACZ	LIVINGSTON OIL
539821108	AL	LOCKHEED AIRCRAFT
540448107	ALQ	LOEW'S THEATRES INC
542263108	ACZ	LONE STAR CEMENT CORP
542671102	ACZ	LONG ISLAND LIGHTING
546268103	A	LA. LAND & EXPLORATION
546608100	ACZ	LOUIS & NASHVILLE RR
549662104	ACZ	LUDLOW CORP
549866101	ACZ	LUKENS STEEL CO
550890107	A	LYKES-YOUNGSTOWN CORP
554205104	ACZ	MAC ANDREWS & FORBES
554307108	ACLZ	MACDONALD E F & CO
554528109	ACZ	MACKE COMPANY CL A
557480100	ACZ	MADISON FUND INC
566319109	AL	MARCOR INC
566472106	ACZ	MAREMONT CORPORATION
569713100	A	MARION LABORATORIES
990015109	AQ	MARQUARDT CORP
577778103	ACLZ	MAY DEPT STORES
578473100	ACZ	MAYS, J.W. INC
579746108	ACZ	MC CORD CORP
580135101	A	MCDONALD'S CORP
580169100	ACZ	MC DONNELL DOUGLAS

LIST A

CUSIP NO.	LISTS	ISSUER NAME
581238102	ACLZ	MC INTYRE PROCUPINE MN
582834107	A	MEAD CORPORATION
586005100	ACZ	MEMOREX CORP
589331107	ABZ	MERCK & CO
591605100	ACZ	METRO-GOLDWYN-MAYER
591690102	ACZ	METROMEDIA INC
595050105	ADZ	MICRODOT INC
595390105	ACZ	MID CONT TELEPHONE
595832106	ACLZ	MIDDLE SOUTH UTILITIES
604025106	A	MINN ENTERPRISES
604059105	ABLZ	MINN MINING & MANUFAC
607080108	ABLZ	MOBIL OIL CORP
609150107	ACZ	MONARCH MACHINE TOOL
609762109	A	MONOGRAM INDUSTRIES
620076109	AL	MOTOROLA INC
624029104	ACZ	MOUNTAIN FUEL SUPPLY
632431102	ACLZ	NATL AIRLINES INC
635128101	ACLZ	NATL CAN CORP
635230105	AL	NATIONAL CASH REGISTER
637402108	A	NATIONAL RESEARCH CORP
636214108	ALQ	NATL GENERAL CORP
636316101	ALQ	NATIONAL GYPSUM
637215104	A	NATIONAL PRESTO IND
637844101	ACLZ	NATL STEEL CORP

LIST A

CUSIP NO.	LISTS	ISSUER NAME
638097105	ACZ	NATL TEA CO
638760108	A	NATOMAS CO
651639106	ACZ	NEWMONT MINING CORP
649313103	ACZ	NY & HONDURAS ROS MNC
655694107	ACZ	NORFOLK & WESTERN KY
656389103	A	NORRIS INDUSTRIES
666807102	ACLZ	NORTROP CORP
667281109	AL	NORTHWEST AIRLINES
667332100	ACZ	NORTHWEST BANCORP
667528103	AQ	NORTHWEST INDUSTRIES
668707102	ACZ	NORTON SIMON INC
674599105	A	OCCIDENTAL PETROLEUM
682063102	ACZ	OMARK INDUSTRIES INC
690734108	ACLZ	OWENS-CORN FIBERGLAS
691497101	ACZ	OXFORD INDUSTRIES A
713669109	A	PERFECT FILM & CHEM
694308107	ACZ	PAC GAS & ELEC
688057106	ALQ	PAN AM WORLD AIRWAYS
699466108	ACZ	PARGAS INC
700892102	AL	PARKE, DAVIS & CO
701111106	ACZ	PARKER PEN
708160106	ACLZ	PENNEY, J.C. COMPANY

LIST A

CUSIP NO.	LISTS	ISSUER NAME
707270104	A	PENN CENTRAL CORP
990028102	A	PENNZOIL CO
709325104	A	PENNZOIL UNITED INC
713448108	A	PEPISCO INC
716026109	ACZ	PETER PAUL INC
717078109	A	PFISER, CHAS. & CO
718507106	ACLZ	PHILLIPS PETROLEUM
718592108	ACZ	PHILLIPS-VAN HEUSEN
724071105	AQ	PIPER AIRCRAFT CORP
729178103	AL	PLOUGH INC
731095105	ABLZ	POLAROID CORP
740512108	ACZ	PREMIER INDUST CORP
742718109	ABZ	PROCTOR & GAMBLE CO
744635103	A	PUBLICKER INDUSTRIES
749285102 NAME CHANGED FROM	ABLZ	RCA CORP RADIO CORP OF AMERICA
990026106	AQ	RAYONIER, INC
759200108	ACLZ	REICHELD CHEMICALS
761406107	ACZ	REVERE COPPER & BRASS
761525104	A	REVLON, INC.
761831106	AL	REYNOLDS TOBACCO
769535105	ACZ	RIVIANA FOODS INC
769756107	ACLZ	ROAN SELECTION TRUST

LIST A

CUSIP NO.	LISTS	ISSUER NAME
770706109	A	A.H. ROBINS CO INC
776678104	ACZ	ROPER CORPORATION
776763104	ACZ	RORER, WM H. INC
782242101	ACZ	RUSS TOGS
793453101	ACZ	ST REGIS PAPER CO
799850102	ACZ	SANDERS ASSOCIATES
802020107	A	SANTE FE INDUSTRIES
803701101 NAME CHANGED FROM	ACZ	SARGENT WELCH SCIENTIF WELCH SCIENTIFIC
806500104	ACQZ	SCHENLEY INDUSTRIES
804090108	ADZ	SATURN INDUSTRIES
806602108	ACZ	SCHERING CORP
808791107 NAME CHANGED FROM	A	SCIENTIFIC RESOURCES SUNASCO INC
806823100	A	JOS SCHLITZ BREWING CO
811148105	ACZ	SCUDDER DUO-VEST INC
812387108	ABLZ	SEARS, ROEBUCK & CO
822737102	ACZ	SHELLER-GLOBE CORP
828675108	ADZ	SIMMONDS PRECISION PRO
829251107	AQ	SINCLAIR OIL CORP
829302108	ACZ	SINGER CO
830830105	ADZ	SKYLINE CORP
842400103	A	SO CALIFORNIA EDISON
843571100	A	SOUTHERN PACIFIC

LIST A

CUSIP NO.	LISTS	ISSUER NAME
843673104	ACZ	SOUTHERN RAILWAY
847218104 NAME CHANGED FROM	AL	SPARTANS IND NY KORVETTE, E.J. INC
848338109	AL	SPERRY & HUTCHINSON CO
848355103	A	SPERRY RAND CORP
853139103	ACZ	STAND BRANDS INC
853683100	ABZ	STAND OIL OF CALIF
853700102	ABZ	STAND OIL INDIANA
853717106	ABLZ	STAND OIL NJ
853768109	ACLZ	STAND PACKAGING
853870103	ACZ	STD PRUDENTIAL CORP
860163104	A	J.P. STEVENS & CO
864473103	ACZ	SUBURBAN PROPANE GAS
866645104	A	SUN CHEMICAL CORP
867068108	A	SUNBEAM CORP
867833105	ACLZ	SUNSHINE MINING CO
869716100	ACZ	SWANK INC
871140109 NAME CHANGED FROM	ACZ	SYBRON CORP RITTER PFAUDLER CORP
875127102	ACZ	TAMPA ELECTRIC CO
879335107	ACLZ	TELEDYNE INC
880370101	ACLZ	TENNECO INC
881694103	ABLZ	TEXACO, INC.
882593106	ACZ	TEXAS OIL & GAS

LIST A

CUSIP NO.	LISTS	ISSUER NAME
883203101	AQ	TEXTRON, INC
884102104	A	THIOLKOL CHEMICAL CORP
888837101	ACZ	TOBIN PACKING CO
892892100	ACLZ	TRANE COMPANY
893341107 NAME CHANGED FROM	ACQZ	TRANS UNION CORP UNION TANK CAR CO
893349100	ACZ	TRANS WORLD AIRLINES
893366104	ACZ	TRANS-WORLD FINANCIAL
895436103	ACZ	TRI-CONTINENTAL
872649108	ACZ	TRW INC
901221101	A	TWENT CENTURY-FOX
902878107	ACZ	UMC INDUSTRIES INC
905581104	AL	UNION CARBIDE CORP
909279101	AL	UNITED AIRLINES
910110105	ACZ	UNITED CORP
910212109	AQ	UNITED ENGR & FOUNDRY
910416106	ACQZ	UNITED FRUIT CO
990029100	A	UNITED GAS CORP
911315109	ACZ	UNIT PARK CITY MINES
912010105	ACZ	U S FREIGHT CO
912027109	AL	U S GYPSUM
912078102	AL	U S INDUSTRIES
912656105	AL	U S STEEL CORP
913130100	ACZ	UNITED UTILITIES

LIST A

CUSIP NO.	LISTS	ISSUER NAME
915302103	ACZ	UPJOHN CO
917270100	ACLZ	URIS BUILDINGS CORP
917389108	AQ	UTAH CONSTRUCTION & MI
917508103	ACZ	UTAH POWER & LIGHT
920474103	A	VALVE CORP OF AMER
990022105	AQ	VAN RAALTE CO
922204102	AL	VARIAN ASSOCIATES
918442104	AQ	VWR UNITED CORP
934051103	ACLZ	WARD FOODS
990027104	AQ	WARNER BROS PICTURES
942486101	A	WATKINS-JOHNSON CO
947015103	A	WEAN UNITED INC
NAME CHANGED FROM		WEAN INDUSTRIES
950817106	ACZ	WESCO FINANCIAL CORP
957518103	ACZ	WESTCOAST TRANS
957586100	AQ	WESTERN AIRLINES INC
958570103	ACZ	WESTERN MARYLAND RY CO
959129107	ACZ	WESTERN PACIFIC RR
959826108	AQ	WESTERN UNION TELE
960385102	A	WESTINGHOUSE AIRBRAKE
960402105	ABLZ	WESTINGHOUSE ELECTRIC
966680100	AQ	WHITTAKER CORPORATION
974280109	ACZ	WINN-DIXIE STORES INC
980881106	ACZ	WOOLWORTH, F.W.

LIST A

CUSIP NO.	LISTS	ISSUER NAME
981423106	AQ	WORLD AIRWAYS INC
982594103	ACZ	WURLITZER CO
984121103	ABLQZ	XEROX CORPORATION
989110101	AQ	ZAPATA OFF SHORE CO
989102108 NAME CHANGED FROM	AL	ZAPATA NORNESS INC ZAPATA OFF-SHORE
989195102	ACZ	ZAYRE CORP
007680101	ADZ	AERO-FLOW DYNAMICS
008086100	A	AEROVOX CORP
011662103	ADZ	ALASKA AIRLINES
017591108	AQ	ALLEN ELECTRIC&EQUIP
018789107	ADZ	ALLIANCE TIRE & RUBBER
021375100	A	ALIANIL CORP
032159105 NAME CHANGED FROM	ADZ	AMREP CORPORATION AM REALTY & PETROLEUM
034393108	ADZ	ANDREA RADIO CORP
038177101 NAME CHANGED FROM	A	APPLIED DEVICES CORP BI LOCK INSTRUMENT
042735100	ADZ	ARROW ELECTRONICS
045609104	ADZ	ASSOC FOOD STORES
047483102	A	ATHLONE IND INC
990010100	AQ	ATLANTIC CO
068221100	ADZ	BARNWELL INDUSTRIES
082311101	A	BENRUS WAICH CO

LIST A

CUSIP NO.	LISTS	ISSUER NAME
087257101	ADZ	BETHLEHEM CORP
091437103 NAME CHANGED FROM	A	BISHOP INDUSTRIES HAZEL BISHOP
055673107	A	B S F CORP
120547104	A	BUNDY CORP
134177104	ADZ	CAMPBELL CHIB MINES
136033107	A	CANADIAN HOMESTD OILS
136357100	ADZ	CANADIAN MARCONI CO
141663104	ADZ	CAREER ACADEMY INC
143483105	ADZ	CARNATION CO
990014102	AQ	CCI CORPORATION
158501106	A	CHAMPION HOME BUILDERS
161321104	A	CHARTER OIL
163267107	ADQZ	CHELSEA INDUSTRIES
168088102	A	CHICAGO RIVET & MACH
168628105	A	CHIEF CONS MINING
182702100	A	CLAROSTAT MFG
191054105	ADZ	COBURN CORP OF AM
203363106	A	COMMONWEALTH UNITED
204525109	ADZ	COMPO INDUSTRIES INC
206039109	ADZ	CONCHEMCO INC
205201106	ADZ	COMPUTER LEASING CO
206777104	A	CONDUCTRON CORP
206741100	A	CONDEC CORP
211237102	ADZ	CONT CONNECTOR A

LIST A

CUSIP NO.	LISTS	ISSUER NAME
216165100	ADZ	COOK ELECTRIC CO
216237107	ADZ	COOK PAINT & VARNISH
221309107	A	COSMODYNE
222687105	ADZ	COURTAULDS, LTD
226219103	A	CRESTMONT OIL & GAS
228219101	ADZ	CROWN CENTRAL PETR
237829106	A	DATA PRODUCTS
239613102	ADZ	DAYLIN
244667101	A	DEFIANCE INDUSTRIES, A
244667200	A	DEFIANCE INDUSTRIES, B
247883101	ADZ	DELTONA CORP
253849103	A	DIGITAL EQUIP CORP
255093106	ADZ	DIVERSEY CORPORATION
278767108	A	ECKERD DRUGS FLORIDA
278821103	A	ECKMAR CORP
NAME CHANGED FROM		PHILLIPS-ECKARD
282443100	ADZ	EHRENREICH PHOTO OPT
284893104	ADZ	ELEC HOSE & RUBBER CO
286065107	ADZ	ELECTR CORP OF AMER
284083102	A	EL-TRONICS INC
290875103	ADZ	EMENEE CORPORATION
291173102	A	EMERY INDUSTRIES INC
299101105	ADZ	EVANS ARISTOCRAT IND
307045104	ADZ	FAMILY RECORD PLAN

LIST A

CUSIP NO.	LISTS	ISSUER NAME
313765109	ADZ	FED RESOURCES CORP
339099103	ADZ	FLEETWOOD ENTERPRISES
345838106	ADZ	FOREST LABORATORIES
368226106	ADZ	GAYLORDS NATL CORP
369640107	ADZ	GEN ELECTRIC LTD
374478105	ADZ	GIANT FOOD A
381370105	ADZ	GOLDFIELD CORP
397442104	ADZ	GREER HYDRAULICS, INC
401586102	A	GUERDON IND INC
402118103	AQ	GULF AMERICAN CORP
422074104	A	HEAD SKI CORP
427038104	A	HERCULES GALION PROD
435560107	ADZ	HOLLINGER MINES LTD
435758107	A	HOLLY CORP
440506103	ADZ	HORN & HARDART CO
443510102	A	HARVEY HUBBELL INC
448888107	ADZ	HYDROMATICS, INC
451650105	AEZ	IDEAL TOY CORP
456632108	ADZ	INFLIGHT MOTION PICT
459362109	AQ	INTERNATL CONTROLS
463560102 NAME CHANGED FROM	ADZ	IROQUOIS INDUSTRIES INTERNATIONAL BREWING
990011108	AQ	JACKSONS MINIT MARKETS
482052107	A	JUPITER CORP

LIST A

CUSIP NO.	LISTS	ISSUER NAME
486098106	A	KATZ DRUG CO
486332109	ADZ	KAVANAU REAL ESTATE
486386105	A	KAWECKI BERYLCO INDUST
990019101	AQ	KAWECKI CHEMICAL CO
493494108	ADZ	KEYSTONE INDUSTRIES, A
496278102	A	KINGSFORD CO
498548106	A	KLEINERT, I. B. RUBBER
501566103	ADZ	KYSOR INDUSTRIAL CORP
506750108	A	LAFAYETTE RADIO ELEC
503624108	A	LA MAUR INC
524138104	AQ	LEECE NEVILLE CO
527012108	ADZ	LESLIE FAY A
527372106	AQ	LEVIN-TOWNSEND COMP
532406105	A	LILLI ANN CORP
540210101	ADZ	LODGE & SHIPLEY CO
564402105	ADZ	MANSFIELD TIRE & RUB
577353105	ADZ	MAJL BROS INC
584054100	A	MEDCO INC
595169103	ADZ	MICROWAVE ASSOCIATES
624590105	ADZ	MOVIE STAR, INC
627151103	ADZ	MURRAY OHIO MFG
637351107	ADZ	NATIONAL REALTY INV
641066105	ADZ	NESTLE-LE MUR CO

LIST A

CUSIP NO.	LISTS	ISSUER NAME
644596108	ADZ	NEW HAMPSHIRE BALL BR
629257106 NAME CHANGED FROM	ADZ	NMS INDUSTRIES ROSS PRODUCTS
677194102	ADZ	OHIO BRASS CO
686220104	ADZ	ORIGINALA INC
694070103	ADZ	PAC CLAY PRODUCTS
694665100	ADZ	PAC NW BELL TEL
698839107	A	PAPERT KOENIG LOIS INC
705455103	ADZ	PEEL-ELDER LTD
719865107	A	PICKWICK INTL INC
723835104	ADZ	PIONEER PLASTICS CORP
725786107	ADZ	PITWAY CORP
728185109 NAME CHANGED FROM	A	PLAZA GROUP ELECTRONIC & MISS FAC
730026101	ADZ	PLYMOUTH RUBBER A
730026200	ADZ	PLYMOUTH RUBBER B
738102102	ADZ	POTTER INSTRUMENT CO
739647105	A	PRARIE OIL ROYALTIES
746316108	ADZ	PURIAN FASHIONS CORP
751481102	A	RAMER INDUSTRIES
752397109	A	RANDOLPH COMPUTER CORP
759472103	AQ	RELIANCE INSURANCE CO
759540107	A	REMCO IND
760881102	A	RESEARCH-COTTRELL INC
761185107 NAME CHANGED FROM	ADQZ	RESORTS INTL INC A MARY CARTER PAINT A

LIST A

CUSIP NO.	LISTS	ISSUER NAME
761185206 NAME CHANGED FROM	ADQZ	RESORTS INTL INC B MARY CARTER PAINT B
763410107	ADZ	RICHFORD IND INC
775133101	ADZ	ROGERS CORPORATION
783073109	ADZ	RUSTCRAFT GREET CARDS
815773106	ADZ	SEEMAN BROS, INC
825791106	A	SIBONEY CORP
831338108	AQ	SLICK CORP
844521104	ADZ	SOJTHLAND ROYALTY CO
847541109	ADZ	SPECTOR INDUSTRIES
853343101	A	STAND DREDGING CORP
853836104	ADZ	STAND PRODUCTS CO
859281107	A	STERLING ELECTRONICS
868647108	ADZ	SUPRONICS CORP
871565107 NAME CHANGED FROM	A	SYNALLOY CORP BLACKMAN-UHLER
871616108	A	SYNTEX CORP
876553108	ADZ	TASTY BAKING CO A
878521103	A	TECHNICOLOR INC
879488104	ADZ	TELEPROMPTER CORP
885851105	ADZ	THRIFTMART INC A
893247106	ADZ	TRANS-LUX CORP
897081105	A	TROPICAL GAS CO
898592100	ADZ	TUBOS MEXICO
906871108	ADZ	UNION INVESTMENT CO
920355104	ADZ	VALSPAR CORP

LIST A

CUSIP NO.	LISTS	ISSUER NAME
926089103	ADQZ	VICTOREEN INSTRUMENT
926089103	A	VICTOREEN LEECE NEVILL
928720101	A	VOLUME MERCHANDISE INC
929794105	ADZ	WACKENHUT CORPORATION
934425109	AQ	WARNER SEVEN ARTS
957280100	ADZ	WESTBURY FASHIONS
965033103	ADZ	WHITEHALL ELECTRONICS
969309103	ADZ	WILLIAMHOUSE-REGENCY
972397103	ADZ	WILSON SPORTING GOODS
983544107	ADZ	WYOMISSING CORP
989637103	ADZ	ZION FOODS CORP
001604107	AEZ	A L D INC
003788106	AEZ	ABRAMS AR INC COM
003896107	AEZ	ACADEMIC PRESS INC
004644100	AEZ	ACME ELECTRIC CORP COM
007086101	AEZ	ADLEY CORP COM
007806102	AEZ	AEROLOGICAL RESEARCH
008014102	AEZ	AEROSONIC CORP COM
008230104	AEZ	AFFILIATED HOSP PROD
013104104	AEZ	ALBERTSONS INC COM
014482103	A	ALEXANDER & BALDWIN
016410102	AEZ	ALISON AYRES INC COM
016680100	AEZ	ALL TECH IND INC
020015103	AEZ	ALLSTATE INDUSTRIES
NAME CHANGED FROM		GOOD HUMOR CO OF CAL

LIST A

CUSIP NO.	LISTS	ISSUER NAME
020789103	A	ALPHANUMERIC INC
024825101	AEZ	AMERICAN CADUCEUS IND
025411109	AEZ	AMER DISTRICT TEL CO
025825100	A	AMERICAN EXPRESS CO
026033100	A	AMER FID LIFE INS FLA
026285106	A	AMER FOUNDERS LIFE TEX
026303107	AEZ	AMER FURNITURE CO INC
026375105	A	AMER GREETINGS CORP A
029573102	AEZ	AMER SELF SERV STORES
029681103	AEZ	AMERICAN SNACKS
032339103	AEZ	AMT CORP DEL COM
038231106	AEZ	APPLIED RESEARCH INC
040429102	AEZ	ARISTO FOODS INC
040501108 NAME CHANGED FROM	AEZ	ARIZ-COLO LAND&CATTLE ARIZ-COLO CATTLE CO
042591103	AEZ	ARNOLD GRAPHIC INDUS
042753103 NAME CHANGED FROM	AEZ	ARROW HART ARROW HART & HEGEMAN EL
045537107	AEZ	ASSOCIAT COCA COLA BOT
045897105	AEZ	ASSOCIATED TRUCK LINES
048699102	AEZ	ATLANTIC MICROFILM COR
060887106	A	BANK OF CALIFORNIA N A
064059108	A	BANK OF NEW YORK
073167108	A	BAYSTATE CORP
084095108	AEZ	BERGSTROM PAPER CO. A
990040107	AQ	BINSWANGER GLASS CO

LIST A

CUSIP NO.	LISTS	ISSUER NAME
990041105	AQ	BLOCH BROTHERS TOB CO
097293104	AEZ	BOGUE ELECTRIC MFG CO
117421107	A	BRUSH BERYLLIUM CO
118079102	AEZ	BUCKBEE MEARS CO
119043107	AEZ	BUEHLER CORP COM
990042103	AQ	BUTTERICK CO
130825102	A	CAL WSTRN STS LIFE INS
137735106	AEZ	CANNON MILLS CO
153357108	AEZ	CENTRAL DEL RIO OILS
159213107	AQ	CHANNING FINANCIAL COR
163753106	AEZ	CHEMICAL LEAMAN TANK
165213109	AEZ	CHESAPEAKE INSTRUMENT
173144106	A	CITIZENS&STHN NA BK GA
190576108	A	COASTAL STS LIFE INS
990043101	AQ	COLUMBUS MILPAR
205381106	AEZ	COMPUTER SERVICENTERS
205543101	AEZ	COMPUTER USAGE CO INC
207579103	A	CONN GEN INS
211075106	A	CONTL B&T NORRISTOWN
221255102	AEZ	COSMETICALLY YOURS INC
224111104	AEZ	CRADDOCK TERRY SHOE
225795103	AEZ	CRESCENT TECHNOL CORP
226795102	A	CROCKER CITIZENS N B
228795100	A	CRUM & FORSTER
234523108	AEZ	DALLAS AIRMOTIVE INC

LIST A

CUSIP NO.	LISTS	ISSUER NAME
236235107	AEZ	DANIEL INDUSTRIES
239739105 NAME CHANGED FROM	AEZ	DAYTON CORP DAYTON CO
243631108	AEZ	DECORATOR INDUSTRIES
254003106	AEZ	DIGITRONICS CORP
257651109	AEZ	DONALDSON CO INC
260561105	A	DOW JONES & CO INC
261237101	AEZ	DOYLE DANE BERNBACH
233287101	AEZ	DPA INC
285659108	AEQZ	ELECTRONIC DATA SYSTMS
286119102	AEZ	ELECTRONIZED CHEMICALS
291785103	A	EMPIRELIFEINS AMER ALA
292101102	AEZ	EMPIRE STATE OIL COM
302101100	AEZ	EXOLON CO COM
310225107	A	FARMERS NEW WORLD LIFE
313225104 NAME CHANGED FROM	AEZ	FEDERAL CO FED COMPRESS&WHSE CO
320819105	A	FIRST MER NB ASBURY PK
320891104	AEZ	FIRST MISSISSIPPI CORP
324477108	A	FIRST NB CHICAGO ILL
325297109	A	FIRST NB IN DALLAS TEX
330585100	A	FIRST NB MEMPHIS TENN
339729105	AEZ	FLO TRONICS INC
344064100	AEZ	FLXIBLE
351586102	A	FOX STANLEY PHOTO PROD
353784101	A	FRANKLIN LIFE INSUR

LIST A

CUSIP NO.	LISTS	ISSUER NAME
355460106	AEZ	FRANTZ MFG CO COM
359856101	AEZ	FULLVIEW INDUST INC
366424109	AEZ	GARRETT FREIGHTLINES
368118105	AEZ	GAY GIBSON INC
369208103	AEZ	GENERAL BOX CO
370640104	A	GENERAL REINSUR CORP
375622107	AEZ	GILFORD INSTRUMENT LAB
376316105	A	GIRARD TRUST BANK
380298109	AEZ	GODFREY CO COM
381010107	AEZ	GOLDEN FLAKE INC
383730108	A	GOVT EMPL LIFE INS
390712107	AEZ	GREAT LAKES PAPER CO
398550103	A	GRINNEL CORP COM
400172102	AEZ	GRUMMAN ALLIED INDUST
406774109	AEZ	HAMCO MACH&ELECTRONICS
410270102	-AEZ	HANDMACHER VOGEL INC
410864102	A	HANOVER INSUR CO NY
990044109	AQ	HARLEY DAVIDSON MTR CO
416018109	AEZ	HART CARTER CO
416524106	AQ	HARTFORD FIRE INS CO
428272108	AEZ	HEXAGON LABORATORIES
433236106	AEZ	HINES ED LUMBER CO COM
437056104	A	HOME BENEFICIAL LIFE
990049108	AQ	HOME INSURANCE
438470106	AEZ	HONEYCOMB PRODUCTS INC

LIST A

CUSIP NO.	LISTS	ISSUER NAME
443546106	AEZ	HUBINGER CO COM
990045106	AQ	IMPERIAL EASTMAN
455866103	AEZ	INDUSTRIAL AIR PRDS CO
456740109	AEZ	INFORMATION INTL
459254108	A	INTL CHEM & NUCLEAR
459749107	AEZ	INTL LEISURE CORP
460416100 NAME CHANGED FROM	AEZ	INTL SYSTEMS&CONTROLS HOUSTON OIL FIELD MAT
462218108	A	IONICS INC MASS COM
469826101	A	JACOBSEN MFG CO
471016105	AEZ	JANTZEN INC COM
477412100 NAME CHANGED FROM	AEZ	JIFFY FOODS CORP JIFFY STEAK CO
482580107	AEZ	KMS INDUSTRIES
483098109	A	KAISER STEEL COM
483476107	AEZ	KALVAR CORP
486746100	AEZ	KEARNEY & TRECKER COM
492854104 NAME CHANGED FROM	AEZ	KEWAUNEE SCIENTIFIC EQ KEWAUNEE MFG CO
493278105	AEZ	KEYES FIBRE CO COM
501206106	AEZ	KUHLMAN CO COM
514606102	AEZ	LANCE INC N C COM
515480101	AEZ	LANE CO INC
521876102	A	LEAR JET INDUST INC
524102100	AEZ	LEE WAY MTR FRGHT INC
527707103	AEZ	LEWIS BUSINESS FORMS

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CUSIP NO.	LISTS	ISSUER NAME
531099109	A	LIBERTY NATL LIFE INS
532457108	A	ELI LILLY & CO
540465101	AEZ	LOFT CANDY CORP
541398103	AEZ	LOGIC CORP
546183104	A	LOUISIANA&SOUTHRN LIFE
573173101	AEZ	MARTIN BROWER CORP
561229105	AEZ	MALLINCKRODT CHEM WRKS
570646109	AEZ	MARKITE CORP N J COM
575668108	A	MASS GEN LIFE INS CO
582086104	A	MC LEAN IND COM A
582698106	AEZ	MCQUAY INC COM
584488100 NAME CHANGED FROM	AEZ	MEDIC HOME ENTERPRISES MEDIC HOME DEVELOPERS
585055106	A	MEDTRONICS INC MINN
598376101	AEZ	MIDWEST RUBBER RECLAIM
601073109	A	MILLIPORE CORP
602108102	AEZ	MILTON ROY CO INC COM
605775105	AEZ	MISSISSIPPI VY STEEL
606011104	AEZ	MISSOURI BEEF PACKERS
609023106	AEZ	MONARCH CAPITAL CORP
609541107	A	MONMOUTH NB RED BK NJ
612051102	AQ	MONTANA FLOUR MILLS CO
615598109	AEZ	MOORE SAMUEL, & CO
632346102	AEZ	NATHANS FAMOUS INC
635859101	AQ	NATIONAL EQUITIES INC

LIST A

CUSIP NO.	LISTS	ISSUER NAME
636775108	A	NATL LIFE INS CO FLA
637079104	A	NATL OLD LINE INS BB
638369108	A	NATL UNION FIRE INS
640235107	AEZ	NEKOOSA EDWARDS PAPER
656559101	AEZ	NORTEK INC
657101101 NAME CHANGED FROM	AEZ	NORTH AMER RESOURCES TEXOTA OIL CO
695037101	AEZ	PACIFIC VEGETABLE OIL
990046104	AQ	PARAMOUNT PACIFIC
704301100	AEZ	PAY LESS DRUG STRS NW
705506103	AEZ	PEERLESS INSURANCE CO
990047102	AQ	PENICK SB & CO
716366109	AEZ	PETERSON HOWEL HEATHER
716723101	AEZ	PETROLITE CORP DEL COM
716774104	AEZ	PETTIBONE MULLIKEN COR
717758106	A	PHILA PA NATIONAL BANK
725191100	A	PITTSBURGH NATL BK PA
729093104	AEZ	PLENUM PUBLISHING CORP
750786105	AEZ	RAILWEIGHT INC
751311101	AEZ	RAM TOOL CORP COM
752006106	AEZ	RANCHERS EXPLOR & DEV
756231106	A	RECOGNITION EQUIP INC
760541102	A	REPUBLIC INVS LIFE INS
761627108	AEZ	REXACH CONSTRUCTION CO
766685101	A	RILEY STOKER CORP

LIST A

CUSIP NO.	LISTS	ISSUER NAME
771061108	AEZ	ROBO WASH INC
773131107	AEZ	ROCKET RESEARCH CORP
774846109	AEZ	RODALE ELECTRONICS INC
784143109 NAME CHANGED FROM	AEZ	SFM CORP SENECA FALLS MACH CO
809146103	A	SCOPE INC
811046101	AEZ	SCRIPPS-HOWARD BRDCST
812574101	A	SEATTLE-FIRST N B
818036105	AEZ	SEVEN-UP CO COM
818529109	AQ	SEXTON JOHN & CO
820208106	AEZ	SHATTERPROOF GLASS COR
825077100	AEZ	SHOP RIFE FOODS INC
835495102	AEZ	SONOCO PRODUCTS CO COM
842179103	AEZ	SOUTHERN AIRWAYS INC
845420108	AEZ	SOUTHWESTERN DRUG CORP
845607100	A	SOUTHWESTERN LIFE INS
990048100	AQ	STD FRUIT&STEAMSHIP CO
857449102	A	STATE STR BK&TR CO BOS
872489102 NAME CHANGED FROM	AEZ	T I M E DC T I M E -DC INC
875467102	AEZ	TANGER INDUSTRIES INC
877418103	AEZ	TAYLOR WINE CO COM
883237109	A	THALHIMER BROS COM
883662108	AEZ	THERMOTECH INDUSTRIES
886444108	AEZ	TIDEWATER MARINE SERV
896522109	AEZ	TRINITY INDUSTRIES INC

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CUSIP NO.	LISTS	ISSUER NAME
898456108	AEZ	TRYGON ELECTRONICS INC
909398109	AEZ	UNITED ARTISTS THEA CT
910246107	A	UNITED FAMILY LIFE INS
910399104	A	UNITED FNDRS LIFE INS
910790104	A	UNITED LIFE&ACC INS CO
911621100	AEZ	U S BANK NOTE
911825107	A	US FIDELITY & GUARANTY
913164109	A	UNITED VIRGINIA BANKSH
914216106	A	UNIVERSITY COMPUT CO
918693102	AEZ	VACU DRY COMPANY
919796102	A	VALLEY NATL BANK ARIZ
922408109	AEZ	VEECO INSTRUMENT INC
927107102	AEZ	VILLAGE SUPER MARKET
929339109	AEZ	WTC AIR FREIGHT
936529106	AEZ	WARSHOW H & SONS INC A
937224103	AEZ	WASHBURN WIRE CO COM
948594106	AQ	WEHR CORP
949391106	AEZ	WELDOTRON CORP COM
950749101	AEZ	WERNER CONTINENTAL INC
990039109	A	WESTERN LAND CORP
959180100	A	WSTN PENN N B
960691103	AEZ	WESTMINISTER CORP
NAME CHANGED FROM		PLEASANT VALLEY SHOE C
969088103	AEZ	WILL ROSS INC
972227102	AEZ	WILSON FREIGHT CO

LIST A

CUSIP NO.	LISTS	ISSUER NAME
975468109	AEZ	WINSLOW TELE TRONICS
985012103	AEZ	YARDNEY ELECTRIC

LIST H

LIST OF INSTITUTIONS

<u>Name of Institution</u>	<u>I.D. Code</u>
Abel Associates (NYC)	20101006
Aetna Life Insurance Company	06011004
Affiliated Fund, Inc.	02039001
Aleph Ltd. (Boston, Massachusetts)	20102005
Allen, Leon B., Fund, Inc.	81100609
Allstate Insurance Company	05001008
Alpha Securities, (Silver Spring, Maryland)	20103004
Altay Limited Partnership No. 1 (Dallas, Texas)	20252004
Amcap Fund, Inc.	02007009
American Business Shares, Inc.	02039001
American Express Investment Management Co.	02001005
American General Insurance Company	05011006
American Investors Fund, Inc.	02009007
American Mutual Fund, Inc.	02007009
American National Insurance Company	06017008
Amherst Associates (NYC)	20104003
Anchor Capital Fund, Inc.	02002004
Anchor Corporation	02002004
Apple & Co. (NYC)	20105002
Aristo Fund (NYC)	20106001
Armour & Company, Salaried Employees' Pension Plan (Individual Trustees Account)	07007106
Arnhold & S. Bleichroeder, Inc.	02101004
Assorted Risk (NYC)	20107000

<u>Name of Institution</u>	<u>I.D. Code</u>
Audax Investing Co. (NYC)	20108009
August Associates (NYC)	20109008
Axe, E. W. & Co. Inc.	02003003
Axe-Houghton Fund A, Inc.	02003003
Axe-Houghton Fund B, Inc.	02003003
Axe-Houghton Stock Fund, Inc.	02003003
Axe Science Corporation	02003003
Balanced Income Fund, Inc.	02063000
Balmoral Associates (NYC)	20110005
Banco A. Partnership (Milwaukee, Wisconsin)	20242006
Bankers Life Company	06018007
Bank of America	01015001
Bank of California	01049001
Bank of New York	01018008
Bankers Trust Co. (NYC)	01003005
Bowling Green Associates (NYC)	20117008
Bayhil & Co. (NYC)	20111004
Beaverbroad Associates (NYC)	20113002
Beck Tech (Chicago, Illinois)	20239001
Berger-Kent Associates (NYC)	20114001
Berger-Kent Institution Partners (NYC)	20115000
Berman, Kalmbach & Co. (NYC)	20116009
Bethlehem Steel Corporation Pension Plan	07011100
BCSG Fund - 1968 (NYC)	20112003

<u>Name of Institution</u>	<u>I.D. Code</u>
Bernhard, Arnold & Co.	02004002
Bernstein-Macaulay Special Fund, Inc.	81101216
Blair Fund, Inc. (The)	81101451
Boston Common Stock Fund Inc.	02005001
Boston Fund, Inc.	02005001
Boston Management & Research Company, Inc.	02005001
Boston Safe Deposit & Trust	01032000
Boxwood Associates (Greenwich, Connecticut)	20261003
Boxwood Associates (West Orange, New Jersey)	20118007
Broad-Alliance Fund (The) (NYC)	20119006
Broad Street Partners (NYC)	20120003
Brosier and Man (Amarillo, Texas)	20227005
Buffett Partnership, Ltd. (Omaha, Nebraska)	20121002
Bullock Fund, Ltd.	02006000
Butler Fund (The) (NYC)	20122001
Buttonwood Associates (Jersey City, New Jersey)	20123000
Calico a Partnership (Milwaukee, Wisconsin)	20275007
Calvin Bullock, Ltd.	02006000
Cambridge Fund (Cambridge, Massachusetts)	20240008
The Canada Life Assurance Company	06025008
Canadian Fund, Inc.	02006000
Capital Associates (NYC)	20124009
Capital Exchange Fund, Inc.	02070001

<u>Name of Institution</u>	<u>I.D. Code</u>
Capital Management Associates (Boston, Massachusetts)	20125008
Capital Research & Management Company	02007009
Carlyle Capital Co. (Palo Alto, California)	20126007
Carriers & General Corporation	02006000
Carrswold Associates (St. Louis, Missouri)	20127006
Central Securities Corporation	81100179
Century Partners (NYC)	20128005
Century Shares Trust	02008008
Cerberus Associates (NYC)	20129004
Channing Income Fund, Inc.	02069004
Channing Securities, Inc.	02069004
Channing Shares, Inc.	02069004
Channing Venture Fund, Inc.	02069004
Charcen & Co. (Baltimore, Maryland)	20226006
Chase Frontier Fund, Inc.(The)	81101591
Chase Manhattan Bank	01002006
Chatham Fund (NYC)	20130001
Chemical Bank of New York	01011005
Chemical Fund, Inc.	02018006
Chestnutt Management Corp.	02009007
Cheyney Associates (NYC)	20131000
Chilewich Associates Fund (NYC)	20132009
Chubb Corporation	05014003
Citizens & Southern National Bank	01050007
City Associates (NYC)	20133008

<u>Name of Institution</u>	<u>I.D. Code</u>
Cleveland Trust Company	01016000
College Retirement Equities Fund	06022001
Colonial Equities, Inc.	02010004
Colonial Fund, Inc. (The)	02010004
Colonial Growth Shares, Inc.	02010004
Colonial Hedgefund, Inc.	02010004
Colonial Management Associates Inc.	02010004
Colonial Ventures, Inc.	02010004
Columbia Associates (Vancouver, Washington)	20243005
Commonwealth Edison Service Annuity System and Commonwealth Edison of Indiana Service Annuity System (Combined Service Annuity Funds)	07020109
Commonwealth Fund Indenture of Trust Plans A & B	02061002
Commonwealth Fund Indenture of Trust Plans C & D	02061002
Compass Growth Fund, Inc.	02073008
Compass Income Fund, Inc.	02073008
Competitive Capital Corp.	02011003
Competitive Capital Fund	02011003
Comstock Fund, Inc.	02057008
Concord Associates (NYC)	20134007
Congress Street Fund, Inc.	02022000
Connecticut Bank & Trust	01031001
Connecticut Capital Company (Greenwich, Connecticut)	20238002
Connecticut General Life Insurance Company	06006001
Connecticut Mutual Life Insurance Company	06013002

<u>Name of Institution</u>	<u>I.D. Code</u>
Constitution Exchange Fund, Inc.	02035005
Continental Assurance Company	06015000
Continental Illinois National Bank & Trust Co.	01010006
The Continental Insurance Company	05002007
Continental Investment Corporation	02012002
Contrafund, Inc.	02022000
Corinthian Associates (NYC)	20135006
Coronet Fund (NYC)	20136005
Creative Capital Fund (NYC)	20137004
Crocker-Citizens National Bank	01023001
Crum and Forster Insurance Companies	05010007
Data Associates (NYC)	20263001
Dearborn Street Associates (Chicago, Illinois)	20138003
Decatur Income Fund, Inc.	02013001
Deercliff Partners (Hartford, Connecticut)	20139002
Delaware Fund, Inc.	02013001
Delaware Management Co.	02013001
Delfi Management, Inc.	02014000
Depositors Fund of Boston, Inc.	02070001
Detroit Bank & Trust Company	01027007
De Vegh Mutual Fund, Inc.	02073008
Devon Associates (NYC)	20255001
DIC Association (NYC)	20140009

<u>Name of Institution</u>	<u>I.D. Code</u>
Diebold Technology Venture Fund, Inc. (The)	81101641
Dikewood Fund, Inc. (The)	81101572
Distributors Group, Inc.	02015009
Diversification Fund, Inc.	02070001
Diversified Growth Stock Fund, Inc.	02002004
Diversified Investment Fund, Inc.	02002004
Dividend Shares, Inc.	02006000
Doll Associates (Mendham, New Jersey)	20264000
Doll Fund, Inc.	81101584
Donaldson, Lufkin & Jenrette, Inc.	03079900
Dorset Associates (NYC)	20141008
Dow Theory Investment Fund, Incorporated	02022000
Dreyfus Corporation (The)	02016008
Dreyfus Fund Incorporated (The)	02016008
Dreyfus Leverage Fund, Inc. (The)	02016008
Dreyfus Retirement Equity Fund, Inc.	02016008
E. I. du Pont de Nemours & Company Pension and Retirement Plan (Pension Trust Fund)	07030107
Eaton & Howard, Inc.	02017007
Eaton & Howard Balanced Fund	02017007
Eaton & Howard Growth Fund, Inc.	02017007
Eaton & Howard Income Fund	02017007

<u>Name of Institution</u>	<u>I.D. Code</u>
Eaton & Howard Special Fund, Inc.	02017007
Eaton & Howard Stock Fund	02017007
Eberstadt F. & Co., Managers & Distributors, Inc.	02018006
Eberstadt Fund, Inc. (The)	02018006
Economics Associates (NYC)	20253003
EFC Management Corporation	02020002
Elemer Associates (NYC)	20250006
Emerging Securities Fund	81101639
Empire Fund, Inc.	02021001
Employers-Commercial Union Companies	05008001
Employers Insurance of Wausau	05021004
Endowment Management & Research	02019005
Energy Fund Incorporated	02051004
Enmark Associates (NYC)	20142007
Enterprise Capital Fund, Inc.	02057008
Enterprise Fund Inc.	02057008
The Equitable Life Assurance Society of The United States	06003004
Equity Associates of Boston	20143006
Equity Funding Corporation of America	02020002
Equity Growth Fund of America, Inc.	02020002
Essex Fund, Inc.	02022000

<u>Name of Institution</u>	<u>I.D. Code</u>
Everest Fund, Inc.	02022000
Exchange Fund of Boston, Inc. (The)	02070001
Executives Capital Planning, Inc.	02104001
Exeter Fund, Inc.	02071000
Exeter Second Fund, Inc.	02071000
Exeter Third Fund, Inc.	02071000
Explorer Fund, Inc.	02071000
Fairfield Associates (Greenwich, Connecticut)	20144005
Fairfield Fund, Inc.	81100945
Farley Associates (Old Westbury, New York)	20145004
Farm Bureau Mutual Fund, Inc.	02054001
Faulkner, Dawkins & Sullivan	03137720
Federal Street Fund, Inc.	02058007
Federated Department Stores, Inc. Retirement Income and Thrift Investment Plan (General Trust Fund)	07032105
Federated Dual-Exchange Fund, Inc.	02021001
Federated Research Corp.	02021001
Fidelity Bank of Philadelphia	01024000
Fidelity Capital Fund, Inc.	02022000
Fidelity Fund, Inc.	02022000
Fidelity Management & Research Company	02022000
Fidelity Systematic Investments Fund, Inc.	02022000
Fidelity Trend Fund, Inc.	02022000
Fidelity Union Trust Company	01048002

<u>Name of Institution</u>	<u>I.D. Code</u>
Fiduciary Exchange Fund, Inc.	02005001
Fiduciary Trust Company of New York	01046004
Field Foundation (NYC)	20273009
Fifth Avenue Fund (NYC)	20146003
Fifth Empire Fund, Inc.	02021001
Fifth Presidential Fund, Inc.	02021001
55 Partners (NYC)	20147002
Financial Dynamics Fund, Inc.	02023009
Financial Industrial Fund, Inc.	02023009
Financial Industrial Income Fund, Inc.	02023009
Financial Programs Inc.	02023009
Financial Venture Fund, Inc.	02023009
Fireman's Fund American Insurance Companies	05005004
Firestone Tire and Rubber Company Retirement Income Program (Pension Plan #5)	07033104
First Horizon Associates (NYC)	20148001
First Mutual Fund of Rhode Island, Inc.	81100879
First National Bank of Boston	01043007
First National Bank of Chicago	01009009
First National City Bank of New York	01004004
First Pennsylvania Banking & Trust Co.	01020004
First Republic Limited (Dallas, Texas)	20234006

<u>Name of Institution</u>	<u>I.D. Code</u>
First Security Co. (NYC)	20149000
Fleschner Becker Associates (NYC)	20150007
Fletcher Fund, Inc.	02057008
FNS Trading Partnership (Lincoln, Nebraska)	20271001
Fojemar Associates (St. Louis, Missouri)	20268006
Founders Mutual Depositor Corporation	02024008
Fourth Empire Fund, Inc.	02021001
Fund American Investment	02025007
Fund of Letters, Inc.	81101628
Funds, Inc.	02026006
Fundamental Investors, Inc.	02002004
Gateway Fund, Inc. (The)	02010004
Gemini Fund, Inc.	02071000
General American Investors	02027005
General Electric Company, Pension Plan (Pension Trust)	07038109
General Electric Company, S. & S. Program (U.S. Savings Bonds & G.E. Common Stock Account; G.E. S. & S. Program Mutual Fund)	07038207
General Exchange Fund, Inc.	02021001
General Insurance Company of America	05016001
Gibraltar Dynamics Fund, Inc. (The)	02028004
Gibraltar Growth Fund, Inc.	02028004

<u>Name of Institution</u>	<u>I.D. Code</u>
Gibraltar Growth Fund, Inc.	81101508
Gibraltar Research & Management	02028004
Girard Trust Bank	01019007
Goodman & Mautner, Ltd. (Los Angeles, California)	20247001
Gordon Hedge Fund (NYC)	20228004
G.P.G. Associates (Pound Ridge, New York)	20267007
W. R. Grace & Company, Retirement Plans (Trust Fund)	07045100
Gramercy Associates (NYC)	20152005
Gramsco Associates (NYC)	20153004
Gralow Associates (NYC)	20151006
Great American Insurance Company	05015002
Great Plains Variable Annuity Fund A	02054001
The Great-West Life Assurance Company	06026007
Greenbrier Partners (NYC)	20154003
Greenfield, Samuel, Fund, Inc.	81101396
Group Securities, Inc.	02015009
Gryphon Fund, Inc.	02024008
Guaajalotes & Co. (NYC)	20155002
Guarente-Harrington Associates (Boston, Massachusetts)	20156001
Halliburton Company, Combined Halliburton and Otis Profit Sharing Plan	07049106

<u>Name of Institution</u>	<u>I.D. Code</u>
H. & C. Management Co.	02029003
Hamilton Futura Fund, Inc.	02030000
Hamilton Funds, Inc.	02030000
Hamilton Growth Fund Inc.	02030000
Hamilton Management Corporation	02030000
Hamilton Mile-Hi Fund, Inc.	02030000
Hanover Fund (The)	81100746
Hanover Square Associates (NYC)	20157000
Harbill Associates (NYC)	20158009
Harborside Associates (NYC)	20159008
Harbour Fund, Inc.	02057008
Harris, Baumeister Partnership (Chicago, Illinois)	20237003
Harris, Beechen Partnership (Chicago, Illinois)	20229003
Harris, Johnson Partnership (Chicago, Illinois)	20230000
Harris, Kindig Partnership (Chicago, Illinois)	20235005
Harris, Larsen Partnership (Chicago, Illinois)	20236004
Harris, Neiland Partnership (Chicago, Illinois)	20233007
Harris, Meyer, Polsky, Harris, Barrows Investment Partnership (Chicago, Illinois)	20232008
Harris Trust & Savings Bank	01014002
Hartford Fire Insurance Company	05003006
Hartford National Bank & Trust Co.	01042008

<u>Name of Institution</u>	<u>I.D. Code</u>
Hartwell and Associates (NYC)	20160005
Hartwell and Campbell Fund, Inc.	81101380
Hartwell and Campbell Leverage Fund, Inc.	81101633
Hedge Fund of America, Inc.	81101549
Hedge Fund of New York	20162003
Hemisphere Fund, Inc.	02066007
Heritage Fund, Inc. (The)	81100601
Heritage Securities, Inc.	02031009
Higbie Fund, Ltd. (Denver, Colorado)	20163002
Hodges Fund, Ltd. (Dallas, Texas)	20270002
Holyoke Shares, Inc.	02058007
The Home Insurance Company	05006003
Hood, Paul, Associates (NYC)	20164001
Hubsham Fund, Inc. (The)	81101599
Hawthorn Partners (NYC)	20161004
IDS New Dimensions Fund, Inc.	02033007
IDS Progressive Fund, Inc.	02033007
Impact Fund, Inc.	02026006
Imperial Growth Fund, Inc.	81100848
Income Foundation Fund, Incorporated	02021001
Industries Exchange Fund, Inc.	81101390

<u>Name of Institution</u>	<u>I.D. Code</u>
Industries Trend Fund, Inc.	02026006
Insurance Company of North America	05004005
Insurance and Securities, Inc.	02032008
Institutional Investors Mutual Fund, Inc.	02052003
Interlaken Associates (NYC)	20165000
International Holdings Corporation	02053002
International Telephone & Telegraph Corp., Pension Plan for Salaried Employees (Pension Fund #5)	07055107
Inventure Capital Corp.	02061002
Ivest Fund, Inc.	02071000
Investment Company of America (The)	02007009
Investment Indicators Fund	81101463
Investors Capital Exchange Fund, Inc.	02035005
Investors Diversified Services, Inc.	02033007
Investors Mutual, Inc.	02033007
Investors Research Fund, Inc.	81100861
Investors Selective Fund, Inc.	02033007
Investors Stock Fund, Inc.	02033007
Investors Syndicate of America, Inc.	02033007
Investors Variable Payment Fund, Inc.	02033007
Ivy Fund, Inc.	02061002

<u>Name of Institution</u>	<u>I.D. Code</u>
Irving Trust Company	01030002
ISI Income Fund, Inc.	02032008
ISI Institutional Fund A, Inc.	02032008
ISI Institutional Fund B, Inc.	02032008
ISI Trust Fund	02032008
ISI Venture Fund, Inc.	02032008
ISL Variable Annuity Fund A	02033007
Istel Fund, Inc.	02037003
Janus Account (NYC)	20166009
Javelin Fund (NYC)	20167008
Jefferson Standard Life Insurance Company	06021002
John Hancock Mutual Life Insurance Company	06002005
Johnson, H., Associates (Princeton, New Jersey)	20168007
Johnston, Douglas T. & Co.	02034006
Johnston Mutual Fund Inc. (The)	02034006
Jones, A. W. Associates (NYC)	20169006
Jones, A. W. & Co. (NYC)	20170003
Josten Growth Fund, Inc.	81101341
Kanterman Partners (NYC)	20171002
Kathel & Co. (NYC)	20172001
Kemper Insurance Group	05020005
Kernal Company (NYC)	20173000

<u>Name of Institution</u>	<u>I.D. Code</u>
Keystone Capital Corp.	02035005
Keystone Custodian Funds	02035005
Keystone Custodian Fund (Series B-1, B-2, B-3, B-4, K-1, K-2, S-1, S-2, S-3, S-4)	02035005
Kirp & Co. (Baltimore, Maryland)	20249009
Klaw Associates (NYC)	20174009
Koether Associates (Princeton Junction, New Jersey)	20175008
Labe & Associates (NYC)	20176007
La Salle Co. (NYC)	20177006
Lehman Brothers	03002460
Lehman Corporation (The)	02036004
Leperc, De Neufelize	02037003
Leverage Fund (NYC)	20178005
Leverage Fund of Boston, Inc.	02070001
Liberty Mutual Insurance Company	05018009
The Lincoln National Life Insurance Company	06010005
Lincoln Partners (Chicago, Illinois)	20179004
Lincoln Rochester Trust Company	01044006
Ling Fund, Inc.	81101631
Lionel D. Edie & Co., Inc.	02103002
Loomis-Sayles Capital Development Fund Inc.	02038002
Loomis Sayles & Co., Inc.	02038002

<u>Name of Institution</u>	<u>I.D. Code</u>
Loomis-Sayles Mutual Fund, Incorporated	02038002
Lord, Abbett & Co.	02039001
Louisville Investment Co.	02054001
M & M, Ltd. (Lincoln, Nebraska)	20276006
M. S. B. Fund, Inc.	02052003
Mackay-Shields Financial Corp.	02106009
Madison Fund, Inc.	02040008
Magellan Fund, Inc.	02022000
Maiden Lane Associates (NYC)	20180006
Managed Endowment Fund (The)	02001005
Manhattan Fund, Inc.	02066007
Manufacturers Hanover Trust Co.	01007001
The Manufacturers Life Insurance Company	06024009
Manufacturers National Bank of Detroit	01045005
Marathon Securities Corporation	81101317
Marbeth Investment Co. (NYC)	20182008
Mariam & Co. (NYC)	20181000
Marine Midland Grace Trust Company	01040000
Massachusetts Financial Services	02041007
Massachusetts Mutual Life Insurance Company	06012003
Mates Investment Fund, Inc.	81101476
Mellon National Bank & Trust Co.	01006002
Memphis & Shelby County Medical Society Inc., Investment-Retirement Trust	02022000

<u>Name of Institution</u>	<u>I.D. Code</u>
Mercantile-Safe Deposit & Trust Co.	01021003
Mercantile Trust Company	01036006
Meridian Fund, Inc.	02024008
Merryall Associates (NYC)	20183008
Metropolitan Life Insurance Company	06005002
MFC, Ltd. (Lincoln, Nebraska)	20265009
Millworth Associates (NYC)	20184007
Mittelman Associates (Silver Spring, Maryland)	20251005
Monarch Life Insurance Company	06020003
Montgomery Company (NYC)	20185006
Morgan Guaranty Trust Co.	01001007
Morgan, W. L. Growth Fund	02071000
Motorola Incorporated, Employees' Savings and Profit Sharing Fund	07066104
Mt. Vernon Associates (NYC)	20186005
Mutual Investing Foundation	02031009
Mutual Life Insurance Company of New York (The)	06014001
Naess & Thomas Special Fund, Inc.	81100928
National Bank of Detroit	01017009
National City Bank of Cleveland	01041009
National Industries Fund, Inc.	02069004
National Securities & Research	02042006

<u>Name of Institution</u>	<u>I.D. Code</u>
National Securities Series	02042006
Nationwide Mutual Insurance Company	05019008
Nation-Wide Securities Company, Inc.	02006000
Nel Equity Fund, Inc.	02038002
Nel Growth Fund, Inc.	02038002
Neuwirth Associates (NYC)	20187004
Neuwirth Fund, Inc.	81101416
Neuwirth Management & Research	02043005
Neville, Rodie & Shaw, Inc.	02107008
New Court Partners (NYC)	20188003
New England Merchants National Bank	01039003
New England Mutual Life Insurance Company	06009008
Newport Associates (NYC)	20189002
Newport Fund, Inc.	02057008
New York Life Insurance Company	06004003
Niagara Share Corp.	02044004
Nine-M Associates (NYC)	20190009
Northeast Investors Trust	81100576
Northern Trust Company Bank	01012004
The Northwestern Mutual Life Insurance Company	06007000
Northwestern National Bank	01033009
Oakpark Investors (Los Angeles, California)	20278004

<u>Name of Institution</u>	<u>I.D. Code</u>
Occidental Life Insurance Company of California	06019006
Old Colony Trust Company	01013003
Olumpus Fund	81101649
Omega Fund, Inc.	02019005
One Whitehall Associates (NYC)	20191008
One William Street Fund, Inc. (The)	02036004
O'Neil Fund	81101346
O'Neil Management Co.	02045003
Oppenheimer Fund, Inc.	81100847
Oppenheimer Management Corp.	02046002
Options Associates (Forest Hills, New York)	20193006
Option Mutual Fund (NYC)	20192007
PAC Fund (NYC)	20194005
P&C Associates (NYC)	20196003
Pace Fund, Inc.	02057008
Pacific Partners (Garden Grove, California)	20195004
Paley, Wm. S.& Co. (NYC)	20197002
Parkway Investments Limited (Dallas, Texas)	20260004
Park Westlake Associates (NYC)	20198001
Pathfinder Equities Inc.	02065008
Pegasus Group (Boston, Massachusetts)	20199000

<u>Name of Institution</u>	<u>I.D. Code</u>
Pelimeter Investment, Ltd. (Omaha, Nebraska)	20200006
Penn Central Transportation Company, Plan for Supplemental Pensions	07078100
Penn Square Management Corporation	02047001
Penn Square Mutual Fund	02047001
Pennsylvania Mutual Fund, Inc.	81101149
Pension Capital Growth Fund, Inc.	02021001
Phoenix Partners (NYC)	20201005
Pilot Fund, Inc.	81101387
Pine Rock Associates (NYC)	20202004
Pine Street Fund, Inc.	02073008
Pioneer Enterprise Fund, Inc.	02048000
Pioneer Fund, Incorporated	02048000
Pioneering Management Corp.	02048000
Pioneer II, Inc.	02048000
Pittsburg National Bank	01026008
Plaza Associates (NYC)	20203003
Plaza Investors Co. (NYC)	20204002
Polaris Fund, Inc.	02035005
Presidential Exchange Fund, Inc.	02021001
Presidential Trend Fund, Inc.	02021001
Price, T. Rowe & Associates, Inc.	02049009
Price, T. Rowe Growth Stock Fund, Inc.	02049009
Princeton Investors (Princeton, New Jersey)	20205001

<u>Name of Institution</u>	<u>I.D. Code</u>
Professional Retirement Equity Fund Inc.	02066007
Professional Ventures, Inc. (NYC)	20206000
Procter & Gamble, Profit Sharing Trust Plan (Fund A and Fund B)	07082104
Provident National Bank of Philadelphia	01029005
The Prudential Insurance Company of America	06001006
Puritan Fund, Incorporated	02022000
Putnam Equities Fund, Inc.	02050005
Putnam Duofund, Inc.	02050005
Putnam Growth Fund (The)	02050005
Putnam, George Fund of Boston (The)	02050005
Putnam Income Fund, Inc.	02050005
Putnam Investors Fund, Inc.	02050005
Putnam Management Co., Inc.	02050005
Putnam Mayflower Fund, Inc.	02050005
Putnam Vista Fund, Inc.	02050005
Putnam Voyager Fund, Inc.	02050005
Radix Associates (NYC)	20207009
Ramapo Partners (NYC)	20208008
Reading Associates (White Plains, New York)	20256000
Regency Fund, Inc.	02051004
Reliance Insurance Company	05013004
Republic Technology Fund, Inc.	81100661
Revere Fund, Inc.	81100909
Rhode Island Hospital Trust Co.	01037005

<u>Name of Institution</u>	<u>I.D. Code</u>
Robertson & Dewar (San Antonio, Texas)	20209007
Royal-Globe Insurance Companies	05009000
Republic Technology Fund, Inc.	02020002
Salik & Co., Incorporated	02020002
Samson Fund, Inc.	81100957
Samuel, Ralph E. & Co.	02051004
Savings Banks Trust Co.	02052003
Schroder Trust Co.	02053002
Science Participants (NYC)	20211003
Scruggs & Co. (NYC)	20212002
Scudder Duo-Vest Exchange Fund, Inc.	02054001
Scudder Duo-Vest Inc.	02054001
Scudder Special Fund, Inc.	02054001
Scudder, Stevens & Clark	02054001
Scudder, Stevens & Clark Balanced Fund, Inc.	02054001
Scudder, Stevens & Clark Common Stock	02054001
Sears, Roebuck & Company, Savings and Profit Sharing Pension Fund (Account #498)	07086100
Second Congress Street Fund, Inc.	02022000
Second Empire Fund, Inc.	02021001
Second Federal Street Fund, Inc. (The)	02058007
Second Fiduciary Exchange Fund, Inc.	02070001
Second Presidential Exchange Fund, Inc.	02021001
Securities Fund Inc. (Hedberg & Gordon Fund Inc.)	81101436
Security Equity Fund, Inc.	02055000

<u>Name of Institution</u>	<u>I.D. Code</u>
Security Investment Fund, Inc.	02055000
Security Management Co.	02055000
Security Pacific National Bank	01022002
Security Supervisors, Inc.	02056009
Security Ultra Fund, Inc.	02055000
Selected American Shares, Inc.	02056009
Selected Special Shares, Inc.	02056009
Seligman, J. & W. & Co.	03011740
Sentinel Associates (NYC)	20213001
Shareholders Management Co.	02057008
Shiff Hedge Fund, Inc.	81101297
Sigma Capital Shares, Inc.	81101505
Sigma Investment Shares, Inc.	02014000
640 Associates (NYC)	20254002
1616 Associates (NYC)	20214000
Sixth Empire Fund, Inc.	02021001
SNC Investment Corporation	02057008
Snafu (Amarillo, Texas)	20231009
South Fork Associates (NYC)	20215009
Special Equity Fund (NYC)	20216008
Sprint Investment Partnership (Dallas, Texas)	20269005
SRF Fund, Inc.	02060003
St. Croix Associates (Minneapolis, Minnesota)	20210004
St. Paul Companies	05012005
Standard Shares, Inc.	81100681

<u>Name of Institution</u>	<u>I.D. Code</u>
Starbright Associates (NYC)	20218007
State Farm Mutual Auto Insurance Company	05017000
State Mutual Life Assurance Company of America	06016009
State Street Bank & Trust	01035007
State Street Research & Management Co.	02058007
State Street Investment Corporation	02058007
Steadman American Industry Fund, Inc.	02059006
Steadman Fiduciary Investment Fund, Inc.	02059006
Steadman Science & Growth Fund, Inc.	02059006
Steadman Security Corp.	02059006
Stein Roe & Farnham	02060003
Stein Roe & Farnham Balanced Fund, Inc.	02060003
Stein Roe & Farnham Capital	02060003
Stein Roe & Farnham Stock Fund, Inc.	02060003
Steinhardt, Fine, Berkowitz & Co. (NYC)	20219006
Strand & Co. (NYC)	20220002
Strohm Co. (Los Angeles, California)	20277005
Studley, Shupert & Co., Inc. of Boston	02061002
Sturbridge Group (NYC)	20221001
Summit Associates (Houston, Texas)	20222000
Summit Capital Fund, Inc.	02062001
Summit Management & Research Corp.	02062001

<u>Name of Institution</u>	<u>I.D. Code</u>
Sun Life Assurance Company of Canada	06023000
Supervised Investors Growth Fund, Inc.	02063000
Supervised Investors Services, Inc.	02063000
Supervised Investors Summit Fund, Inc.	02063000
Surveyor Fund, Inc.	02064009
Swift and Company, Pension Trust	07097107
T. A. Associates (Boston, Massachusetts)	20241007
Takara Partners (NYC)	20248000
Talisman Corp. (NYC)	20258008
Tamarack Associates (NYC)	20223009
Targa Fund (NYC)	20224008
Taylond Company (Darien, Connecticut)	20225007
Teachers Association Mutual Fund of California, Inc.	02069004
Teachers Insurance and Annuity Association of America	06022001
Techninvest Fund	02071000
Technological Investors Management Corp.	02109006
Technology Fund, Inc.	02063000
Texaco Incorporated, Employees' Savings Plan	07099105
Texas Fund, Inc.	02026006
Textron Incorporated, Pension Plan (The Sixty Trust)	07100102
Third Empire Fund, Inc.	02021001
Thorndike, Doran, Paine & Lewis, Inc.	02110003
350 Investments (NYC)	20246002

<u>Name of Institution</u>	<u>I.D. Code</u>
399 Fund	02110003
TMR Appreciation Fund, Inc.	81101606
Toledo Trust Company	01047003
Tower Fund, Inc. (The)	81101521
Trans America Capital Fund Inc.	02065008
Transamerica Investors Fund Inc.	02065008
Transamerica Fund Management Co.	02065008
The Travelers Insurance Company	06008009
Trust Company of Georgia	01038004
Tsai Management & Research Corp.	02066007
U.S. & Foreign Securities Corp.	02068005
Union Service Corp.	02067006
United California Bank	01028006
United States Fidelity and Guaranty Company	05007002
United States Steel Corporation, Plan for Employee Pension Benefits; Savings Fund Plan for Salaried Employees	07102100
United States Trust Co.	01005003
Value Line Development Capital Corporation (The)	81101537
Value Line Special Situation Fund, Inc. (The)	81100719
Van Strum & Towne, Inc.	02069004
Vance, Sanders & Co. Inc.	02070001
Vance, Sanders Special Fund, Inc.	02070001
Vance, Sanders Institutional Fund, Inc.	02070001
Vista Partnership (Park Forest, Illinois)	20259007
Wachovia Bank & Trust Co.	01034008

<u>Name of Institution</u>	<u>I.D. Code</u>
Warga (Milwaukee, Wisconsin)	20245003
Washington Mutual Investors Fund, Inc.	02007009
Wellington Fund, Inc.	02071000
Wellington Management Co.	02071000
Wells Fargo Bank	01025009
Wender Associates (NYC)	20274008
Westminster Fund, Inc.	02002004
Whipple, Clarence M. Fund, (The)	81101577
Willmington Trust Co.	01008000
Windsor Fund, Inc.	02071000
Winfield & Co., Inc.	02072009
Winfield Growth Fund, Inc.	02072009
Wood, Struthers & Winthrop	03026720
Worth Fund, Inc.	81100799
W, S & W Fund, Inc.	02073008
W, S & W Special Fund, Inc.	02073008

LIST J

LIST OF SPECIALIST UNITS

<u>Name of Specialist Unit</u>	<u>Specialist I.D. Code</u>
Adler, Coleman and Co.	25001004
Asiel & Co - Gengler Brothers	25203100
Beauchamp and Co.	25006009
Benton and Co.	25007000
Benton, Corcoran, Leib and Co.	25008001
Cahill, Smith and Gallatin	25011006
Cunniff, Romaine, Lamm & Stoutenburgh	25020007
Ernst & Co. - Ware & Keelips - Homans & Co.	25208105
Fowler & Rosenau	25030009
Freiday & Co.	25031000
Gaines, Reis & Co.	25033002
Goldberg (H. L.) & Co.	25037006
Hall (P. V.) & Company	25039008
Haupt, Meffert, Andrews & Hug	25040001
Henderson Brothers, Inc.	25041002
Hirshon, Roth & Co.	25042003
Jacobson (Benjamin) & Sons	25044005
La Branche & Co.	25051005
Meehan (M. J.) & Co.	25061007
Murray & Company	25064000
Pheian & Co.	25069005
Robb, Peck, McCooley & Co.	25074002
Seskis & Wohlstetter	25082002
Silver, Barry & Van Raalte	25085005
Spear, Leeds & Kellogg	25087007
Sprague & Nammack	25088008
Stern (E. H.) & Co.	25090002
Streicher (J.) & Co. - Fagenson & Frankel Co., Inc.	25220109
Wagner, Stott & Co.	25097009
Williams, Eisele & Co.	25102006

LISTS K - BROKER-DEALERS
LISTS F AND G

NAME	ID. NO.	NAME	ID. NO.
A & P INVESTORS SERVICE FLUSHING NEW YORK NEW YORK	03131670	ADAMS SLOAN & CO INC NEW YORK N Y	03076880
A A A SECURITIES CO MINERAL WELLS TEXAS	03140130	ADAMS SWENSON & HOYLAND INC MINNEAPOLIS MINN	03130350
A I UNDERWRITERS INC PHOENIX ARIZONA	03136320	ADELAIDE SECURITIES LTD TORONTO, ONTARIO, CANADA	03095940
AAD SECURITIES CU INC LONGVIEW TEXAS	03145840	ADELMAN & SCHEKKEP NEW YORK N Y	03126850
AARON CUMP DENVER COLORADO	03139050	ADLER C S & CO SILVER SPRING MD	03107640
AARON E L & CO INC NEW YORK, N.Y.	03096010	ADLER COLEMAN & CO NEW YORK N Y	25002004
ABBETT SOMMER & CO INC FORT WORTH, TEXAS	03070350	ADVANCE PLANNING CORP MASSAPEQUA, N.Y.	03075050
ABBOTT K G & CO INC GLENS FALLS, N.Y.	03036080	ADVEST CO HARTFORD, CT	03121990
ABBOTT PROCTOR & PAINE NEW YORK N Y	03032200	ADVISERS FUND MANAGEMENT CORP INDIANAPOLIS INDIANA	03006290
ABBOTT SECURITIES CORP ABINGTON PENNSYLVANIA	03136110	AETNA SECURITIES CORP NEW YORK N.Y.	03032210
ABERCROMBIE LOVETT & CO HOUSTON 2, TEXAS	03017080	AFC INVESTMENTS INC CHEYENNE WYOMING	03126370
ABERDEEN MANAGEMENT CORP NEW YORK N Y	03018670	AFCO SECURITIES CORP BEVERLY HILLS CALIFORNIA	03139420
ABERDEEN SECURITIES CO INC CHESTER PENNSYLVANIA	03146430	AFFILIATED FINANCIAL CONSULTANTS SACRAMENTO CALIFORNIA	03141570
ABRAHAM & CO NEW YORK N Y	03042680	AFFILIATED INSTITUTIONAL DEALERS SERVICES INC HARTSDALE NEW YORK	03134670
ABRAHAM PARDES CO BROOKLYN NEW YORK	03145850	AFP ASSOCIATES SAN JOSE CALIFORNIA	03147400
ABRAMS & CO INC NEW ORLEANS, LA.	03079950	AFUTURE FUND INC DREXEL HILL PENNSYLVANIA	03134210
ACAMPORA LOUIS V CO NEW YORK 36, N.Y.	03091610	AGF MANAGEMENT INC SAN FRANCISCO CALIFORNIA	03136750
ACCUPLAN INC WICHITA FALLS TEXAS	03129130	AHALT & CO INC ARLINGTON VA	03111790
ACHE OIL CORP WICHITA KANS	03072030	AMRENS & GARDINER LOS ALTOS CALIF	03111170
ACORN ESTATE PLANNING CORP FLORAL PARK NEW JERSEY	03112620	AIBEL & CO INC NEW YORK NEW YORK	03134850
ADAMS & MINCKLEY NEWARK N J	03011730	AIRM SECURITY PLANNING CORP HIGHLAND PARK NEW JERSEY	03136670
ADAMS & PECK NEW YORK N Y	03017070	ALAN CO MIAMI BEACH FLORIDA	03062200
ADAMS ASSOCIATES WALLINGFORD CONN	03130470	ALBERT & CO WASHINGTON D C	03131390
ADAMS E M & CO PORTLAND 3, ORE.	03036130	ALBERTUS ASSOCIATES LTD SCHENECTADY N Y	03129080
ADAMS FASTNOW CO LOS ANGELES 14, CALIF.	03037340	ALCORN & BLACK INC PORTLAND OREGON	03147700
ADAMS FREDERIC A DENVER COLO	03073930	ALCUS S T & CO INC NEW ORLEANS LA	03128710
ADAMS M C & CO INC NEW YORK, N.Y.	03118340	ALDEN & CO INC LOUISVILLE 2, KY.	03041770
ADAMS MORTON & CO NEW YORK N Y	03073240	ALDEN & MADONEY INC MINNOLA, N.Y.	03025160
ADAMS RUPERT M & CO LOS ANGELES CALIF	03061530	ALESSANDRINI & CO INC NEW YORK NEW YORK	03143700

NAME	ID. NO.	NAME	ID. NO.
ALEXANDER A SECURITIES INC HEMPSTEAD NEW YORK	03145480	ALM & CO INC OSHKOSH NATL BANK BLDG OSHKOSH WISCONSIN	03023660
ALEXANDER EDWARD LEROY JORDAN MONTANA	03033710	ALM KAME ROGERS & CO CHICAGO 3, ILL.	03005640
ALEXANDER INVESTMENT PLANS GLEN MILLS PENNSYLVANIA	03080410	ALMON & CO DALLAS TEXAS	03127430
ALEXANDER INVESTMENT SERVICES CO LOUISVILLE KY	03125250	ALMSTEDT BROTHERS LOUISVILLE KY	03074630
ALEXANDER ROSCOE M WILLIAMSPORT, PA.	03038480	ALPHA SECURITIES CO INC HONOLULU HAWAII	03098350
ALGER INVESTORS CO NEW YORK CITY NEW YORK	03132090	ALPHA RESEARCH CORP ATLANTA GEORGIA	03138230
ALL AMERICAN MANAGEMENT CORP CHICAGO ILLINOIS	03135140	ALPHA SECURITIES CORP MEMPHIS TENNESSEE	03140480
ALL AMERICAN MUTUAL FUNDS SALES CORP STONEHAM MASS	03128440	ALPHA SECURITIES INC FREEPORT NEW YORK	03140010
ALL AMERICAN SECURITIES CORP HUNTSVILLE ALABAMA	03145340	ALPINE CORP THE DENVER COLORADO	03143850
ALL AMERICAN TRADING CORP CHICAGO ILLINOIS	03143870	ALTOBELLI RICHARD J & CO WESTMINSTER, MASS.	03099750
ALL FUNDS INC NEW YORK N Y	03052090	ALVIS & CO JACKSON MISSISSIPPI	03073730
ALL STATES MANAGEMENT CO BOSTON 9, MASS.	03051060	AMADON CORP NEEDHAM HEIGHTS MASS	03140840
ALLAN M A & CO INC CLIFTON, N.J.	03120080	ANBLER WISLAR CO NEW YORK N Y	03036490
ALLEGHENY FINANCIAL PROGRAMS INC WESTON VA	03126710	AMERICA GROUP EQUITIES INC WORCESTER MASSACHUSETTS	03147160
ALLEGHENY PLANNED INCOME INC PITTSBURGH, PA.	03119820	AMERICAN BIRTHRIGHT TRUST MANAGEMENT INC WEST PALM BEACH FLORIDA	03132050
ALLEN & CO NEW YORK N Y	03018040	AMERICAN CORPORATION SHARES INC LONG BEACH CALIF	03112420
ALLEN & CO LAKELAND FLORIDA	03098770	AMERICAN DIVERSIFIED INVESTORS INC PHILADELPHIA PENNSYLVANIA	03139180
ALLEN & CO HAZELHURST, MISS.	03009980	AMERICAN EMPIRE CO BRONY NEW YORK	03105270
ALLEN & CO INC NEW YORK, N.Y.	03121230	AMERICAN EQUITY CO EVERETT MASSACHUSETTS	03126020
ALLEN G K & CO INC BALA CYNHYD PENNSYLVANIA	03101130	AMERICAN EQUITY CORP NEWARK NEW JERSEY	03145830
ALLEN ROGERS & CO INC BALA-CYNHYD, PA.	03123870	AMERICAN ESTATE PLANNING BROOKLYN NEW YORK	03122990
ALLIANCE MANAGEMENT CO INC NEW YORK NEW YORK	03147560	AMERICAN FIDELITY CORP INC WICHITA, KANS.	03123330
ALLIED BOND & SHARE INC INDIANAPOLIS INDIANA	03135240	AMERICAN FINANCIAL CO CHEYENNE WYO	03099370
ALLIED MUTUAL FUNDS CO INC NEW YORK, N.Y.	03106520	AMERICAN FUNDS & TRUSTS INC SOUTH SALT LAKE, UTAH	03069390
ALLIED MUTUAL FUNDS INC LEWISTON, IDAHO	03061270	AMERICAN FUNDS DISTRIBUTORS INC LOS ANGELES 17, CALIF.	03033730
ALLISON M E & CO INC SAN ANTONIO 3, TEXAS	03014020	AMERICAN FUTURITIES PHILADELPHIA 7, PA.	03097450
ALLISON WILLIAMS CO MINNEAPOLIS 2, MINN.	03032230	AMERICAN GENERAL MANAGEMENT COMPANY HOUSTON TEXAS	03133300
ALLOWAY INVESTMENT CO DOWNEY, CALIF.	03117120	AMERICAN GLOBAL INVESTORS CORP NEW YORK N Y	03089850
ALLSTATE ENTERPRISES FUNDS SALES INC NORTHBROOK ILLINOIS	03147980		

NAME	ID. NO.	NAME	ID. NO.
AMERICAN GROWTH FUND SPONSORS INC DENVER, COLO.	03048080	ANDERSON CARL P BOSTON MASSACHUSETTS	03136840
AMERICAN INVESTFUND INC NEW YORK, N.Y.	03067570	ANDERSON CLYDE C INVESTMENTS CO EL PASO TEXAS	03143780
AMERICAN INVESTORS CU HAYWARD CALIF	03129410	ANDERSON DON D & CO INC OKLAHOMA CITY 2, OKLA.	03100590
AMERICAN INVESTORS MANAGEMENT CORP BLOOMINGTON, ILL.	03120840	ANDERSON JOSEPH H SAN PEDRO, CALIF.	03101460
AMERICAN INVESTORS MUTUAL SHARES INC GREENSBURG PENNSYLVANIA	03137940	ANDERSON V F & CO SALT LAKE CITY UTAH	03012180
AMERICAN MANAGEMENT FUNDS INC ROCHESTER NEW YORK	03111320	ANDRE HARVEY & JONES INC RURBANK CALIF	03131950
AMERICAN MUTUAL FUNDS CHICAGO 3, ILL.	03089740	ANDRFSEN & CO NEW YORK NEW YORK	03146870
AMERICAN PACIFIC MANAGEMENT CORP HONOLULU HAWAII	03109200	ANDRESEN & CO INC NEW YORK NEW YORK	03128080
AMERICAN PLANS DISTRIBUTORS INC NEW YORK N Y	03068840	ANDREWS ARNOLD CO NEMARK, N.J.	03049530
AMERICAN REPUBLIC ASSURANCE CO DES MOINES IOWA	03134980	ANDREWS CHARLES & ASSOCIATES HOUSTON, TEXAS	03107510
AMERICAN SAVINGS PLANS INC CHICAGO ILLINOIS	03138170	ANDREWS E T & CO HARTFORD 4, CONN.	03035630
AMERICAN SECURITIES CORP NEW YORK N Y	03025490	ANDREWS EDGAR D & CO IPSWICH, MASS.	03026910
AMERICAN SECURITIES INC PORTLAND OREGON	03137410	ANDREWS J D CO BALA-CYMRVO PENNSYLVANIA	03133360
AMERICAN SHAREHOLDERS INC JACKSONVILLE FLORIDA	03145010	ANDREWS POSNER & ROTHSCHILD NEW YORK 5, N.Y.	03017870
AMERICAN UNION INTERNATIONAL CORP NEW YORK N Y	03127420	ANDRUS JAMES W DEALER BISMARCK NORTH DAKOTA	03083450
AMERICAN UNITED LIFE INSURANCE CO INDIANAPOLIS INDIANA	03136710	ANSLEY DONALD & CO INC NEW YORK NEW YORK	03143510
AMERICAN VARIABLE ANNUITY LIFE ASSURANCE CO WORCESTER MASSACHUSETTS	03135750	ANTHONY JAMES & CO INC NEW YORK N Y	03036820
AMEROPINA INC PITTSBURGH PENNSYLVANIA	03141970	ANTON & CO KING OF PRUSSIA PENNSYLVANIA	03146240
AMES A E & CO INC NEW YORK, N.Y.	03013500	APACHE OIL PROGRAMS INC MINNEAPOLIS, MINN.	03058840
AMOTT BAKER & CO INC NEW YORK, N.Y.	03011710	APPELBAUM MUTUAL FUNDS SALES INC BROOKLYN 11, N.Y.	03102570
AMSEL IRVING BROOKLYN NEW YORK	03072990	APC INVESTMENTS LONG ISLAND CITY 6, N.Y.	03112500
AMSWISS INTERNATIONAL CORP NEW YORK NEW YORK	03143490	APPEL WILLIAM VALENTINE ABERDEEN, WASH.	03009760
AMUNDSEN E G & CO HOUSTON TEXAS	03118110	APPLEBY J SECURITIES LTD TORONTO ONTARIO CANADA	03125110
ANCHOR CORP ELIZABETH NEW JERSEY	03137370	ARCHARD HOWELL O CO NEW YORK NEW YORK	03136090
ANDANIC SECURITIES CORP NEW YORK NEW YORK	03138030	ARCO SECURITIES CHEYENNE WYO	03067510
ANDEL CO COMMACK, L.I., N.Y.	03058000	ARCO SECURITIES INC CHEYENNE WYOMING	03141300
ANDERSON & STRUDWICK RICHMOND 12 VA	03030460	ARDEN & GITTERMAN NEW YORK N Y	03080810
ANDERSON A M & CO DALLAS, TEXAS	03119570	ARISTO INVESTMENTS OF AMERICA INC MIAMI FLORIDA	03129780
ANDERSON ARTHUR M CO INC BRIDGEPORT CONNECTICUT	03139160	ARK SECURITIES NEW YORK N Y	03104970

NAME	ID. NO.	NAME	ID. NO.
ARLINGTON FUNDS INC WEST ORANGE, N.J.	03061030	ASSOCIATED SECURITIFS CO PITTSBURGH 19, PA.	03091810
ARMSTRONG BENJAMIN ALMERAN SAN FRANCISCO, CALIF.	03116890	ASSOCIATED SERVICES INC FARGO N D	03091730
ARNET MCCABE & CO INC PORT DODGE IOWA	03133600	ASTA CORP LTD TORONTO 1, CANADA	03095740
ARNHOLD & BLEICHKOEUER S INC NEW YORK N Y	03007190	ASTOR & ROSS NEW YORK, N.Y.	03119260
ARNOLD RICHARD J & CO INC SOMERVILLE NEW JERSEY	03137170	ASTUTE INVESTORS CORP BRONX N Y	03104410
ARNULU WILKENS & CO INC NEW YORK, N.Y.	03094550	ATHERIDM INVESTMENT CORP PALO ALTO CALIF	03129570
ARONOW CO BROOKLYN 36, N.Y.	03101230	ATKIN & CO INC EVANSVILLE INDIANA	03134780
ARONSON BERNARD & CO INC NEW YORK NEW YORK	03134560	ATKINSON & CO PORTLAND ORE	03040070
ARTALE V A & ASSOCIATES PLAINFIELD, N.J.	03123100	ATKINSON INVESTMENT CORP HOUSTON, TEXAS	03054660
ARTHUR & CO INC PITTSBURGH PA	03028420	ATLANTA SECURITIES CORP ATLANTA GEORGIA	03146830
ARTHURS LESTRANGE & CO PITTSBURGH 22, PA.	03006330	ATLANTIC PLANNING CO INC BROOKLYN, N.Y.	03119990
ASCHKAR PAUL M & CO LOS ANGELES, CALIF.	03107210	ATLANTIC SECURITIES INC BALTIMORE 2, MD.	03109630
ASCHKAR PAUL M & CO INC LOS ANGELES CALIFORNIA	03146450	ATLANTIC SECURITIES INC NEW YORK NEW YORK	03147770
ASHLAR CORP THE GLENDALE CALIFORNIA	03135680	ATLAS PLANNING CO NEW YORK, N.Y.	03068510
ASHMUN C S CO MINNEAPOLIS MINN	03030490	AUB A E & CO CINCINNATI OHIO	03128840
ASHPLANT F B & CO NEW YORK N Y	03032270	AUBUCHON LOUIS G & CO FITCHBURG, MASS.	03119010
ASHTON & CO DETROIT 35, MICH.	03037790	AUCHINCLOSS PARKER & REDPATH NEW YORK N Y	03032280
ASHTON & CO DETROIT MICHIGAN	03147740	AUERBACH PILLAK & RICHARDSON NEW YORK, N.Y.	03121310
ASTEL & CO NEW YORK N Y	25203100	AUGUSTIN & CO TOTAL FINANCIAL PLANNING S COLORADO SPRINGS COLORADO	03119160
ASKER ROBERT C & CO ARDMORE, OKLA.	03059900	AULT A M & CO CINCINNATI OHIO	03139230
ASHUS WALT & ASSOCIATES DENVER COLORADO	03146050	AUSTIN JAMES & CO INC NEW YORK NEW YORK	03143030
ASNES PAUL & CO NEW YORK NEW YORK	03137970	AUTOMATED FINANCIAL SERVICES INC SAINT LOUIS MISSOURI	03144890
ASSESSMENT BOND SERVICE INC CHICAGO 2, ILL.	03028430	AVERY GORDON C & CO NEW BEDFORD, MASS.	03101830
ASSOCIATED FINANCIAL SERVICES INC HONOLULU HAWAII	03140460	AXE SECURITIES CORP TARRYTOWN, N.Y.	03011230
ASSOCIATED INVESTMENT ADVISORS LAFAYETTE CALIF	03129630	AXELROD & CO NEW YORK NEW YORK	03140440
ASSOCIATED INVESTMENT CO FLUSHING 54, N.Y.	03072660	AXEL PLANNING CORP GREAT NECK NEW YORK	03100150
ASSOCIATED INVESTMENT COUNSELLORS INC DENVER COLORADO	03132180	AYRES J M & CO INC COLORADO SPRINGS COLORADO	03083870
ASSOCIATED INVESTORS NEW YORK, N.Y.	03047780	AZTEC SECURITIFS CORP PROVO UTAH	03147000
ASSOCIATED SECURITIES NEW YORK NEW YORK	03078960	B A BRADSHAW & CO LUPKIN TEXAS	03122680

NAME	ID. NO.	NAME	ID. NO.
B W INVESTMENT CO THE DENVER COLORADO	03145440	BALANCED PROGRAMS INC CLEVELAND OHIO	03135580
BABB INVESTMENTS INC PITTSBURGH PENNSYLVANIA	03145800	BALANCED SECURITY PLANNING INC SAINT PETERSBURGH FLORIDA	03148420
BABBITT MEYERS & HAIDELL PITTSBURGH PENNSYLVANIA	03113740	BALANCED SECURITY PROGRAMS INC READING PA	03126800
BABCOCK & CO OGDEN, UTAH	03119020	BALDINI JOHN CO INC FLUSHING 05, N.Y.	03113100
BABCOCK L D & LO NEW YORK N Y	03033560	BALOWIN & CO DUBUQUE IOWA	03131990
BACHE & CO INC NEW YORK, N.Y.	03123210	BALOWIN ALLEN C NEW HARTFORD, N.Y.	03024650
BACHE & CO PAN AMERICA INC NEW YORK N Y	03130000	BALDWIN PROPERTIES CORP BALDWIN NEW YORK	03133400
BACHER FRED & CO NEW YORK N Y	03126500	BALKIAN INVESTMENT RESEARCH ASSOCIATION LOS ANGELES 14, CALIF.	03105060
BACON STEVENSON & REEVES NEW YORK, N.Y.	03021990	BALL BURGE & KRAUS CLEVELAND OHIO	03081980
BACON WHIPPLE & CO CHICAGO 3, ILL.	03017030	BALLOUGH GERALD P & CO INC DAYTONA BEACH, FLA.	03116220
BAEN SECURITIES CORP NEW YORK N Y	03115260	BANCROFT SECURITIES CO WORCESTER, MASS.	03087740
BAERWALD & DEBBER NEW YORK NEW YORK	03128270	BANKERS BOND & SECURITIES CO HANNIBAL, MO.	03032300
BAGWELL & ASSOCIATES KILGORE, TEXAS	03056950	BANKERS SECURITIES CO ST. LOUIS 1, MO.	03006760
BAIKIE & ALCANTARA SANTA CRUZ CALIF	03124750	BANKERS SECURITIES CORP SAINT PAUL MINNESOTA	03138990
BAILEY & COOKE SALEM VIRGINIA	03113910	BANKERS SECURITIES CORP PHILADELPHIA, PA.	03022290
BAILEY & SONKIN LTD NEW YORK NEW YORK	03135740	BAPTIST BUILDING SAVINGS INC LITTLE ROCK, ARK.	03121870
BAILEY GEORGE A & CO PHILADELPHIA PA	03030530	BARAD SMAFF SECURITIES CORP NEW YORK NEW YORK	03145950
BAILEY LUCIEN L & CO KNOXVILLE, TENN.	03123160	BARBER & KANE INC CHICAGO 3, ILL.	03048190
BAIRD PATRICK & CO NEW YORK N Y	03014560	BARCLAY INVESTMENT CO EVANSTON ILL	03060050
BAIRD ROBERT W & CO MILWAUKEE, WISC.	03004530	BARCLAY SECURITIES INC NEW YORK NEW YORK	03145650
BAIRD ROBERT W & CO INC MILWAUKEE WISC	03004970	BARCUS KINDRED & CO INC CHICAGO ILLINOIS	03138250
BAKER CHARLES & CO BOULDER COLO	03124490	BARDSLEY INVESTMENT SERVICE BARDSLEY INVESTMENT SERVICE SOMERVILLE NEW JERSEY	03141430 03141430 03079130
BAKER R J & CO INC PALO ALTO, CALIF.	03118270	BARING GOULD E D CO SANTA BARBARA, CALIF.	03001910
BAKER ROBERT E & CO PHILADELPHIA 10, PA.	03082960	BARKER NATHANIEL & CO PRESQUE ISLE, MAINE	03009390
BAKER WATTS & CO BALTIMORE MD	03006680	BARKER R M & CO INC ROCKPORT MASSACHUSETTS	03137290
BAKER WEEKS & CO NEW YORK N Y	03002650	BARNES R J & SON INC PHILADELPHIA PENNSYLVANIA	03139340
BALANCED ESTATE PLANNING CO WHITE PLAINS, N.Y.	03103350	BARNETT SECURITIES INC NEW YORK NEW YORK	03147970
BALANCED INVESTMENTS INC CHICAGO ILLINOIS	03109430	BAROFF F D CO INC SPRINGFIELD N J	03130060
BALANCED INVESTORS SERVICE INC ALBANY N Y	03082500	BARDN ROBERT I INVESTMENTS HURLEY, N.Y.	03108010

NAME	ID. NO.	NAME	ID. NO.
BARDOODY & CO FORT LAUDERDALE FLA	03119410	BAXTER & CO CLEVELAND, OHIO	03071950
BARR CLARENCE L & CO OKLAHOMA CITY, OKLA.	03002620	RAY SECURITIES CORP SAN FRANCISCO CALIFORNIA	03144990
BARR J S & CO INC ITHACA, N.Y.	03025550	BEACON INVESTING CORP BOSTON MASSACHUSETTS	03132360
BARRAGO & CO SALT LAKE CITY UTAH	03138830	BEACON PARK SECURITIES CORP BOSTON MASSACHUSETTS	03138180
BARRETT & CO PROVIDENCE 3, R.I.	03017630	BEALL GEORGE H JR CAMDOR NORTH CAROLINA	03110100
BARRETT & SON ERIE PENNSYLVANIA	03012740	BEAN & CO CORPUS CHRISTI TEXAS	03131480
BARRETT A P & CO BALTIMORE MARYLAND	03087600	BEAR STEARNS & CO NEW YORK N Y	03002930
BARRETT CHRISTOPHER SULLIVAN HACKENSACK NEW JERSEY	03135700	BEARD C B PORTLAND MAINE	03137980
BARRETT JOHN E & CO INC NEW YORK N Y	03086150	BECHTEL BLAIR B MOORESTOWN, N.J.	03119840
BARRIOS INVESTMENTS ST PETERSBURG FLA	03017370	BECK CHAS & CO INC NEW YORK NEW YORK	03139400
BARROW DANIEL INC TUCSON ARIZONA	03134800	BECKER & CONNIE INC DES MOINES 9, IOWA	03025590
BARROW LEARY & CO SHREVEPORT LOUISIANA	03018060	BECKER A G & CO CHICAGO ILL	03130910
BARRY JOSEPH G & SONS DAYTONA BEACH FLA	03110250	BECKMAN & CO INC Lodi, CALIF.	03092050
BARTH J & CO SAN FRANCISCO CALIF	03019930	BEFBE LAVALLE & RUDF INC HOUSTON TEXAS	03127000
BARTH J L CO CINCINNATI 2, OHIO	03004940	BECKADYF COLE & CO TOPEKA KANS	03010740
BARTLETT & CLARK CO PORTLAND 3, MAINE	03017380	BEER A E & CO INC NEW YORK N Y	03116140
BARTLETT BENJAMIN D & CO CINCINNATI OHIO	03000270	BFH CARLETON D CO DES MOINES IOWA	03147500
BARTMAN FRED A JR BEVERLY HILLS, CALIF.	03038520	BEL AIRE SECURITIES INC SALT LAKE CITY UTAH	03149870
BARTON WILBUR A SACRAMENTO CALIF	03044490	BEL BRUND JAMES JOSEPH CLIFTON NEW JERSEY	03141810
BARZILAY AARON INC FORT LAUDERDALE, FLA.	03111310	BELBER AGENCY MILLBURN, N.J.	03114790
BASIC INVESTORS INC NEW YORK NEW YORK	03054680	BELFORD & CO INC PORTLAND ORE	03095350
BASIL INVESTMENT CORP RICHMOND PENNSYLVANIA	03141360	BELL & BECKWITH TOLEDO, OHIO	03013090
BASILE R & CO INC NEW YORK NEW YORK	03144410	BELL BONNER INVESTMENT SERVICES INC WALNUT CREEK CALIFORNIA	03140650
BASKERVILLE ROBLEY INC SAN DIEGO CALIF	03089770	BELL GQUINLOCK & CO INC NEW YORK N Y	03033320
BATCHKER EATON & CO NEW YORK N Y	03047250	BELL JOHN M & ASSOCIATES SANTA ANA CALIFORNIA	03137480
BATEMAN EICHLER HILL RICHARDS INC LOS ANGELES, CALIF.	03119930	BELL JOHNSTON E CO BRADENTON FLORIDA	03057170
BAUM GEORGE K & CO KANSAS CITY MO	03016990	BELL SECURITIES CORP SAN DIEGO CALIFORNIA	03149660
BAUM OTTO & CO CINCINNATI OHIO	03035030	BELL W E & CO HASTINGS NEBR	03023310
BAUMGARTNER CASPER LTD SCOTTSBLUFF NEBR	03131790	BELLAMAH NEUMAUER & BARRETT INC WASHINGTON, D.C.	03096980

NAME	ID. NO.	NAME	ID. NO.
BELT R D WASHINGTON 5, D.C.	03019180	BERMANT J & CO RYE NEW YORK	03040850
BEMAN C B LAURINBURG, N.C.	03029320	BERNARD WINKLER & CO NEW YORK N Y	03018070
BENDER CO LA JOLLA CALIF	03130300	BERNE SECURITIES CORP NEW YORK NEW YORK	03147840
BENEFICIAL INVESTMENT CORP SPARTA, N.J.	03094810	BERNHARD ARNOLD SECURITIES INC NEW YORK N Y	03029460
BENEFICIAL INVESTMENTS NEW YORK N Y	03092200	BERNHARD R E & CO HOLLYWOOD 28, CALIF.	03072370
BENGSTON & CO INC NEW YORK N Y	03012860	BERNING INVESTMENTS NORTH LITTLE ROCK ARKANSAS	03137320
BEKIZ LAMMAN MANAGEMENT CU INC LA JOLLA CALIF	03129900	BERNSTEIN & CO PITTSBURGH 19, PA.	03054130
BENJAMIN HILL & CO NEW YORK NEW YORK	03035390	BERNSTEIN D J & CO SCARSDALE N Y	03086290
BENKERT A W & CO INC NEW YORK N Y	03024490	BERNSTEIN SANFORD C & CO NEW YORK NEW YORK	03134500
BENNETT & CO HOLLYWOOD 28, CALIF.	03035270	BERNSTEIN SANFORD C & CO INC NEW YORK NEW YORK	03145620
BENNETT ALFRED I INC MOBILE ALABAMA	03144350	BERNIE SECURITIES INC BENTON HARBOR, MICH.	03032340
BENNETT HAROLD S BALTIMORE 2, MD.	03021600	BERRIN CO INVESTORS SERVICE INC NEW YORK N Y	03129840
BENNETT IRVINE CO SEATTLE 99, WASH.	03060940	BERRY DOUGLAS & FITZMUGH INC NASHVILLE TENN	03073830
BENSODRF HERMAN & CO MEMPHIS 3, TENN.	03003430	BERRYSSA & CO INC SAN FRANCISCO CALIFORNIA	03129790
BENSODRF HERMAN & CO INC MEMPHIS TENNESSEE	03145820	BERTHOLD ROMLAND AGENCY INC NEW YORK NEW YORK	03140540
BENSEN ROBERT R CO BURLINGTON, VT.	03121270	BERTNER BROTHERS NEW YORK N Y	03117990
BENSON & CO FORT LAUDERDALE FLORIDA	03144320	BEST A C & CO MILWAUKEE WISC	03032350
BENSON RICHARD ALLEN OMAHA NEBRASKA	03138130	BEST FINANCIAL PROGRAMS INC FLUSHING N Y	03111380
BENTON & CO NEW YORK, N.Y.	25007000	BEST INVESTMENT CO FRESNO, CALIF.	03040590
BERENTSON DUANE INVESTMENTS BURLINGTON, WASH.	03110540	BETTER LIFE SECURITIES CORP LOS ANGELES, CALIF.	03054670
BERGER JACOB BROOKLYN 23, N.Y.	03107490	BETTS BORLAND & CO CHICAGO, ILL.	03042110
BERGER MAY SECURITIES CORP NEW YORK, N.Y.	03089890	BETZ FRANK T & CO INC PHILADELPHIA PENNSYLVANIA	03195710
BERK & CO NEW YORK N Y	03021610	BEYER & CO DAVENPORT, IOWA	03005190
BERK BERNARD & CO NEW YORK N Y	03013120	BFC SECURITIES INC CHICAGO ILLINOIS	03145810
BERKSHIRE EQUITY SALES INC PITTSFIELD MASSACHUSETTS	03193360	BI PLANNING SECURITY CORP DF NEW YORK JERICHO N Y	03122390
BERKSON JACK JAY INC NEW YORK N Y	03102090	BIEDER & CO ST PETERSBURG FLA	03099400
BERLINGER FREDERICK F NEW YORK N Y	03026960	BIENFANG GEORGE RAYMOND SHREVEPORT LOUISIANA	03135880
BERMAN & CO CINCINNATI OHIO	03144900	BIESANT TANNER & ASSOCIATES MINNEAPOLIS 24, MINN.	03110370
BERMAN SELWICK & CO CINCINNATI 2, OHIO	03005710	BIGELOW YOUNG INC BOSTON 9, MASS.	03002740

NAME	ID. NO.	NAME	ID. NO.
BIGLEY & CO NEW YORK N Y	03008960	BLC EQUITY SERVICES COPP DES MOINES IOWA	03139790
BIJOREN & CO PHILADELPHIA, PA.	03016960	BLOCK M & R FAMILY SERVICES INC KANSAS CITY MISSOURI	03137420
BIDREN & CO INC PHILADELPHIA PENNSYLVANIA	03141190	BLOCK ROY MONROE GEORGIA	03128370
BIRKENMAYER & CO INL DENVER 2, COLO.	03046450	BLOCK SIMON J & SON BALTIMORE 2, MD.	03009600
BIRR WILSON & CO INC SAN FRANCISCO CALIF	03071170	BLUMENGARTEN KRAVITZ & CO NEW YORK N Y	03020830
BISHOP & SON INC NEW YORK, N.Y.	03121400	BLUMENTHAL HASKELL & CO NEW YORK N Y	03122010
BISHOP M H & CO INC MINNEAPOLIS MINN	03126960	BLUNT ELLIS & SIMMONS CHICAGO, ILL.	03003030
BISHOP WELLS CO BOSTON MASSACHUSETTS	03105320	BLYTH & CO INC NEW YORK, N.Y.	03024660
BJ SECURITIES INC SPOKANE WASH	03123600	BNA SECURITIES CORP KANSAS CITY MISSOURI	03142680
BLACK & CO INC PORTLAND 5 ORE	03076020	BOARDHOUSE & CO INC NEW YORK N Y	03128730
BLACK CHARLES CLARKE KENAI ALAS	03103650	BOARDMAN DAVID M BURLINGTON VERMONT	03136150
BLACK JAMES I & CO LAKELAND, FLA.	03120770	BOE HELEN TRAYN N C	03030580
BLACK WATCH HERDS CORP WAPPINGERS FALLS NEW YORK	03145920	BOENNING & CO PHILADELPHIA, PA.	03026990
BLACKBURN ACE JOSEPH CONCORD NEW HAMPSHIRE	03147080	BOETTCHER & CO DENVER COLO	03006930
BLATNE & CO INC PHILADELPHIA PA	03116050	BOGASSE GLENN E C L U CHARLESTON WEST VIRGINIA	03133680
BLAIR & CO NEW YORK, N.Y.	03012790	BOHLANDER C E & CO INC BLOOMINGTON ILLINDIS	03041450
BLAIR D H & CO NEW YORK CITY, N.Y.	03082390	BOHNER ALLAN & CO CINCINNATI 8, OHIO	03081470
BLAIR D H SECURITIES CORP NEW YORK NEW YORK	03139860	BOHN WILLIAMS SECURITIES CORP SPOKANE WASHINGTON	03138430
BLAIR HENRY & CO INC NEW YORK N Y	03101340	BOHNKE IRENE F KINGSTON N Y	03128980
BLAIR LORRAINE L INC CHICAGO, ILL.	03059240	BOJELLEN INVESTMENTS INC ENCINO CALIFORNIA	03141070
BLAIR WILLIAM & CO CHICAGO, ILL.	03026980	BOLAND JOHN R & CO INC NEW YORK N Y	03032380
BLAKEY A G & CO YORK PENNSYLVANIA	03017890	BOLDING & CO PORTSMOUTH VA	03090030
BLALACK WELLS ASSOCIATES INC SAN MARINO, CALIF.	03090100	BONBRIGHT GEORGE D B & CO ROCHESTER N Y	03007870
BLANKENSHIP BLAKELY & STRAND INC PORTLAND ORE	03029150	BOND RICHMAN & CO NEW YORK N Y	03118980
BLASINGAME J G INVESTMENTS INL SEATTLE, WASH.	03122620	BONDED PLANNING CORP VALLEY STREAM N Y	03072760
BLATE M & CO INC FT LAUDERDALE FLORIDA	03131500	BONDS INC ATLANTA GEORGIA	03141840
BLAU DAVID & CO INC NEW YORK NEW YORK	03017400	BONGARD LESLIE & CO LTD LEXINGTON PARK MARYLAND	03129530
BLAUNER HILTON D & CO INC NEW YORK N Y	03028380	BONHE HAROLD B NEW YORK, N.Y.	03101120
BLAUNER SEYMOUR CO NEW YORK N Y	03081380	BORNEVILLE SECURITIES INC SALT LAKE CITY UTAH	03144910

NAME	ID. NO.	NAME	ID. NO.
BOOKER BROTHERS INC WILKES BARRE, PA.	03016930	BRADY GARVIN & CO INC NEW YORK CITY N Y	03120060
BORAN & CO SECURITIES BROKERS LOS ANGELES, CALIF.	03051760	BRAGER & CO NEW YORK N Y	03089760
BORGER GEORGE I INC WASHINGTON, D.C.	03017640	BRAINARD JUDD & CO HARTFORD, CONN.	03013760
BORD HALL INVESTORS GROWTH INC NEW YORK N Y	03079320	BRAK ORGANIZATION INC IMF NEW YORK NEW YORK	03138770
BORST INVESTMENT PLANNING SERVICES ALBANY GEORGIA	03149890	BRAKE DONLEY C POMONA CALIFORNIA	03140780
BOSTON BALANCED DISTRIBUTORS INC BOSTON MASS	03129340	BRANCH & CO RICHMOND VA	03023680
BOSTON MUTUAL SALES CORP BOSTON MASSACHUSETTS	03142360	BRANCH CABELL & CO RICHMOND, VA.	03071650
BOSTON TRUST FUNDS INC BOSTON, MASS.	03038920	BRAND GRUNFT & SEIGEL INC NEW YORK N Y	03084290
BOSWORTH SULLIVAN & CO INC DENVER 2, COLU.	03006810	BRAND WALTER J & CO SHEBOYGAN WISC	03011400
BOTJES MARIA ASSOCIATES EAST ORANGE, N.J.	03113710	BRANDING IRON SECURITIES INC TOPEKA KANSAS	03134110
BOTZUM C A & CO LOS ANGELES 14, CALIF.	03100750	BRANDON & CO LOS ANGELES, CALIF.	03090120
BOVE KENNETH & CO INC NEW YORK N Y	03128360	BRANDON WILLIAM E MINNEAPOLIS MINNESOTA	03133190
BOWEN & CO AUGUSTA GA	03130540	BRANSFORD SHARP WALLACE ANNUITIES INC NASHVILLE TENNESSEE	03132790
BOWERS ROBERT A ASSOCIATES INC HADDONFIELD NEW JERSEY	03142920	BRASE JULIUS NEW YORK N Y	03036260
BOWERS ROBERT ALEXANDER HADDONFIELD NEW JERSEY	03141620	BRATTER G J & CO INC MINNEAPOLIS MINNESOTA	03137130
BOWLES GEORGE L & CO KANSAS CITY 11, MO.	03100610	BRAULT GUY CHAPUT INC MONTREAL P Q CANADA	03124250
BOWLES THOMAS C & CO LOS ANGELES 14, CALIF.	03059540	BRAUN MONROE & CO MILWAUKEE 2 WISC.	03018100
BOWNES ARTHUR F & CO ST. LOUIS 1, MO.	03035660	BRAUN YANPELL & HESS NEW YORK N Y	03121200
BOYD INVESTMENT CO WINTERVILLE N C	03037540	BRAVERMAN L S & CO BROOKLINE, MASS.	03088940
BOYER & CO INC TERRE HAUTE, IND.	03018090	BRAVERMAN RICHARD D WESTFIELD, N.J.	03070370
BOYER CO NEW YORK N Y	03113850	BRAZIER DONALD H & CO INC SEATTLE 9, WASH.	03109830
BRADFORD J C & CO NASHVILLE TENN	03039530	BREAKENRIDGE & CO BLOOMFIELD N J	03080630
BRADFORD J C & CO INC NASHVILLE TENN	03046480	BRECK MCNEISH & MAGLE INC BOSTON, MASS.	03123730
BRADFORD STALLARD WAYNE MINDSDALE ILLINOIS	03145630	BREGMAN & CO NEW YORK N Y	03030590
BRADLEY & CO INC KNOXVILLE, TENN.	03068220	BREIDENBACH FREDERIC R CLEVELAND OHIO	03136440
BRADLEY GAMMONS & CO INC NEW YORK N Y	03021640	BRENNAN & CO ST. LOUIS, MO.	03018110
BRADLEY R G INVESTMENTS SACRAMENTO CALIF	03125070	BRESLIN DANIEL & ASSOCIATFS NEEDHAM MASS	03126480
BRADLEY SECURITIES CORP NEW YORK N Y	03080170	BREYDOOT MANAGEMENT CO INC BROOKLYN NEW YORK	03138160
BRADSHAW GEORGE L INC NEW YORK N Y	03038800	BREWER & CHANDLER INC TUSTIN CALIF	03116100

NAME	ID. NO.	NAME	ID. NO.
BREWER M W & CO BOSTON 9, MASS.	03052480	BROWN F N & CO SAN FRANCISCO 4 CALIF	03012090
BREWER INVESTMENT CO PHOENIX ARIZ	03127990	BROWN FRANCIS J CO UTICA 2, N.Y.	03045920
BRIDGE M L & CO ARDMORE, OKLA.	03038310	BROWN GORDON & CO INC DENVER, COLO.	03100180
BRIER DANIEL S & CO INC NEW YORK NEW YORK	03199080	BROWN GORDON DELBERT SANTA BARBARA CALIFORNIA	03147640
BRIGHTON SECURITIES CORP ROCHESTER NEW YORK	03145990	BROWN HAROLD C & CO INC BUFFALO N Y	03049190
BRILL DAVID E CO NEW YORK NEW YORK	03132670	BROWN K J & CO INC MUMFEE, IND.	03033760
BRILLSON MICHAEL I & CO INC NEW YORK NEW YORK	03135110	BROWN LITTLE & MARSHALL INC PROVIDENCE R I	03127160
BRINBERG & CO NEW YORK N Y	03052810	BROWN MANAGEMENT CO HONOLULU 13, HAWAII	03052400
BRINSON & HALLMAN CHARLOTTE, N.C.	03121790	BROWN ROLLINS SECURITIFS WAKEFIELD N H	03107170
BRITTEMU ASSOCIATES INC MEMPHIS TENN	03120340	BRUKENFELD MITCHELL & CO NEW YORK NEW YORK	03133820
BRITTINGHAM INC WILMINGTON DELAWARE	03145900	BRUNELL ASSOCIATES KEW GARDENS, N.Y.	03076590
BRITTON C W & CO SIOUX CITY, IOWA	03034670	BRUNS MORDEMAN & CO NEW YORK, N.Y.	03032410
BROAD STREET SALES CORP NEW YORK N Y	03009790	BRUNS MORDEMAN SECURITIES CORP NEW YORK NEW YORK	03147090
BROADWAY PLAN INC HOUSTON TEXAS	03133480	BRUSH SLOCUHA & CO INC SAN FRANCISCO CALIF	03002000
BROCCARDO SECURITIES & INVESTMENT CU KINGAID, ILL.	03076190	BRYAN WILLIAM FRANK SAN ANTONIO TEXAS	03134910
BRDO A T & CO NEW YORK NEW YORK	03065030	BUCHER & CO MAPLES FLORIDA	03144640
BROUNAX & KNIGHT INC BIRMINGHAM ALA	03021650	BUCHMOLZ INVESTMENT CO OKLAHOMA CITY, OKLA.	03059720
BRODSKY LOUIS CO QUEENS VILLAGE 29, N.Y.	03079940	BUCHNIS CORP MT. KISCO, N.Y.	03081790
BRODY NATHAN S CEDARHURST NEW YORK	03032390	BUCKINGHAM SECURITIES INC NEW YORK NEW YORK	03146140
BRONSTEIN B CO ENGLEWOOD NEW JERSEY	03094470	BUCKNER & CO NEW YORK N Y	03091830
BROOKE BYRON & CO ATLANTA, GA.	03009450	BUDD THOMAS P BURLINGTON, N.J.	03017420
BROOKS J H & CO SCRANTON PA	03006660	BUDIN PHILIP S & CO INC JERSEY CITY NEW JERSEY	03134880
BROTHERS & CO INC SALT LAKE CITY 1, UTAH	03117640	BUELL ROBERT C & CO HARTFORD CONN	03029360
BROWOFF & CO NEW YORK N Y	03009600	BULLINGTON SCHAS & CO MEMPHIS TENN	03029690
BROWN & CO BOSTON MASSACHUSETTS	03079930	BULLOCK CALVIN LTD NEW YORK N Y	03043870
BROWN & GROOVER INC MACON GA	03130790	BURBANK & CO INC BOSTON MASSACHUSETTS	03088710
BROWN ALEX & SONS BALTIMORE MD	03001940	BURGAN INVESTMENT & SECURITIES BILLINGS, MONT.	03111880
BROWN ALLEN & CO DALLAS TEXAS	03007140	BURGESS & LEITH BOSTON, MASS	03027030
BROWN BROTHERS HARRIMAN & CO NEW YORK N Y	03200000	BURGESS MILES GREENBRAF CALIF	03034680
BROWN C S & CO CHICAGO 27 ILLINOIS	03016910		

NAME	ID. NO.	NAME	ID. NO.
BURGHIN & CO PITTSBURGH PA	03048880	BUTTERFIELD JAMPS C INC JACKSON MICH	03124290
BURKE LANTON & CO PHILADELPHIA, PA.	03121730	BUTTONWOOD CORP DETROIT MICHIGAN	03144650
BURLEY HARRINS & FUNK INC BOSTON MASSACHUSETTS	03145640	BUTTONWOOD SECURITIES INC LA JOLLA CALIFORNIA	03144620
BURNET W E & CO NEW YORK N Y	03019430	BUYS MACGREGOR & CO GRAND RAPIDS MICHIGAN	03039210
BURNETT EMERSON CO SAN FRANCISCO CALIFORNIA	03122920	BYCK MAURICE S NEW YORK N Y	03126110
BURNETT WELDON ASSOCIATES INC WEBSTER GROVES MISSOURI	03140070	BYER INC BOCA RATON FLORIDA	03100720
BURNHAM & CO NEW YORK N Y	03038340	BYRD BROTHERS NEW YORK N Y	03099000
BURNHAM SECURITIES CORP NEW YORK, N.Y.	03061610	BYRNE & CO BROOKLYN 1, N.Y.	03118760
BURNHAM SECURITIES S A BRUSSELS, BELGIUM	03118790	BZF PLANNING CO NEW YORK N Y	03092190
BURNS BARRON & CO PORTLAND, MAINE	03004460	C L B FINANCIAL SERVICES INC MIAMI FLORIDA	03145110
BURNS BROTHERS & DENTON INC NEW YORK NEW YORK	03002490	C W MANAGEMENT CORP DES MOINES IOWA	03138050
BURNS POTTER & CO OMAHA, NEBR.	03010980	CABLES SECURITIES CO EUREKA CALIFORNIA	03146740
BURNS S C & CO INC KINGS POINT NEW YORK	03102070	CABOT J P INC NEW YORK N Y	03113960
BURNS STIX FRIEDMAN & CO INC ST LOUIS 1 MO	03127100	CABOT J P ORGANIZATION CORP NEW YORK N Y	03121940
BURNS WILLIAM JOSEPH WORCESTER MASSACHUSETTS	03135020	CADWUS WILLIAM VAN R LIVINGSTON N J	03046700
BURNSIDE WILLIS E & CO INC NEW YORK N Y	03036170	CADY & CO SUISUN CALIFORNIA	03143210
BURROWS SMITH & CO SALT LAKE CITY, UTAH	03075480	CAFASSO JOSEPH MICHAEL RIVER EDGE NEW JERSEY	03142600
BURT GEORGE R & CO INC LAKELAND FLORIDA	03138900	CAMILL SMITH & GALLATIN NEW YORK, N.Y.	25011006
BURTON & LENCE INC SALT LAKE CITY, UTAH	03084690	CAMM ERNST I NEW YORK, N.Y.	03108180
BURTON DANA & CO NEW YORK N Y	03008070	CAL WESTERN SECURITIES CO SACRAMENTO CALIFORNIA	03142970
BURTON JOHN & CO INC PROVIDENCE RHODE ISLAND	03144850	CALDWELL CASEY & ASSOCIATES INC CHATTANOOGA, TENN.	03120370
BUSBY H JAY & CO HOUSTON 3 TEXAS	03125190	CALDWELL PAUL B TUSCALOOSA, ALA.	03110420
BUSH & CO INC WICHITA, KANS.	03120120	CALDWELL PHILLIPS INC ST. PAUL, MINN.	03092100
BUTCHER & SHERRERD PHILADELPHIA, PA.	03010060	CALFUND DISTRIBUTORS LAJOLLA CALIFORNIA	03142790
BUTLER A J & CO NEW YORK NEW YORK	03136530	CALIFORNIA INVESTORS LOS ANGELES 19, CALIF.	03056170
BUTLER JOSEPH T JR SALT LAKE CITY UTAH	03136270	CALIFORNIA VARIABLE ANNUITY CORP ALHAMBRA CALIF	03131250
BUTLER ROY DARLAND 11, CALIF.	03076730	CALIFORNIA WESTERN STATES INSURANCE CO SACRAMENTO CALIF	03132150
BUTLER WICK & CO YOUNGSTOWN OHIO	03131340	CALL SIDNEY J INVESTMENTS CHICO CALIFORNIA	03106590
BUTTERFIELD JACK V INVESTMENT CO JACKSON MICH	03124940	CALMES MARQUIS LTD MAILUKU HAWAII	03029470

NAME	ID. NO.	NAME	ID. NO.
CALUMET INVESTMENTS EAST CHICAGO IND	03106940	* CARLIE & J. C. QULLIN NEW YORK N.Y.	25501001
CAMP & CO PORTLAND ORE	03130410	CAPITAL SECURITIES CO COLUMBUS OHIO	03114190
CAMPBELL D A CO INC NEW YORK N Y	03125060	CAPITAL SECURITIES CO LTD HONOLULU, HAWAII	03036520
CANADIAN SECURITIES CORP NEW YORK NEW YORK	03145100	CAPITAL SPONSORS INC NEW YORK, N.Y.	03077390
CANFIELD & CO OKLAHOMA CITY 2, OKLA.	03078640	CAPITOL INVESTMENT CO OF NEW ENGLAND BROOKLINE MASS	03124470
CANGELOSI CARLO F NEW ORLEANS LA	03067950	CAPITOL SECURITIES CO CASPER WYOMING	03129090
CANNARA DAVID MUSKOGEE, OKLA.	03041520	CAPPS ALBERT G CO GARDEN GROVE, CALIF.	03096440
* CANNON & CO INC FLOUROTOWN PENNSYLVANIA	03121300	CARABELLO JOHN R & CO INC WYOMISSING PENNSYLVANIA	03146680
CANTELLA & CO BOSTON MASSACHUSETTS	03145900	CAREY & DUNCAN INC NEW YORK N Y	03121250
* CANTOR FITZGERALD & CO INC BEVERLY HILLS, CALIF.	03002010	CARHARTT FORREST M INVESTMENTS GRAND JUNCTION COLO	03089590
CANTOR RICHARD M NEW YORK N Y	03027080	CARLETON SECURITIES CO NEW ROCHELLE N Y	03073310
CANTOR S B CO NEW YORK N Y	03032440	CARLTON CAMBRIGE & CO INC HASBROUCK HEIGHTS NEW JERSEY	03119390
CAPEL JAMES INC CHICAGO ILLINOIS	03138200	CARLTON F A & CO CHICAGO 3, ILL.	03044460
* CAPITAL CLEARING CORP MEDIA PENNSYLVANIA	03146640	CARMEN & CO INC BOSTON 9, MASS.	03013670
CAPITAL CONSULTANTS LOS ANGELES, CALIF.	03122660	CARNO A J CO INC NEW YORK, N.Y.	03089360
CAPITAL CONSULTANTS LOS ANGELES CALIFORNIA	03134380	CAROLINA INVESTORS CORP RALEIGH N C	03116550
CAPITAL CONSULTANTS CORP LOS ANGELES CALIFORNIA	03145470	CAROLINA SECURITIES CORP RALEIGH, N.C.	03000860
CAPITAL COUNSELLORS INC NEW YORK N Y	03084660	CARPENTER WILLIAM W NEW HARTFORD NEW YORK	03015270
CAPITAL DEVELOPMENT ASSOCIATES MEDIA PENNSYLVANIA	03139110	CARR & THOMPSON INC BOSTON MASS	03020890
CAPITAL EQUITIES INC INDIANAPOLIS IND	03131240	CARR SECURITIES CORP NEW YORK CITY NEW YORK	03073050
CAPITAL FOR ISRAEL INC NEW YORK N Y	03127720	CARRAU SMITH INC BRIDGEPORT CONN	03020710
CAPITAL FOUNDERS CORP WASHINGTON D C	03014690	CARREY & CO INC NEW YORK N Y	03117730
CAPITAL GROWTH CORPORATION OF SOUTHERN CALIFORNIA SAN DIEGO CALIFORNIA	03139920	CARROLL JAMES M CO LACONIA, N.H.	03092500
CAPITAL PLACEMENT CORP TAGOMA WASHINGTON	03145260	CARROLL JAMES R CO INVESTMENT SECURITIFS NIAGARA FALLS N Y	03111760
CAPITAL PLANNING CORP SALT LAKE CITY 10, UTAH	03112920	CARROLL JOHN J & CO VERO BEACH FLA	03066930
CAPITAL PLANNING SERVICES INC NASHVILLE TENNESSEE	03075850	CARROLL LEF W & CO NEWARK 2, N.J.	03029190
CAPITAL PROGRAMMING NEW YORK N Y	03129390	CARSON BAYER & ASSOCIATES INC TOLEDO OHIO	03133840
CAPITAL PROGRAMS INC SAN FRANCISCO CALIFORNIA	03137440	CARSON ROYAL W & CO INC OKLAHOMA CITY, OKLA.	03097260
CAPITAL SECURITIES CO SAN FRANCISCO CALIFORNIA	03127520	* CARTER BERLIND & WEILL INC NEW YORK N Y	03123240
		CARTER SECURITIES CORP NEW YORK NEW YORK	03147010

NAME	ID. NO.	NAME	ID. NO.
CARTER WALKER & CO INC NEW YORK N Y	03119090	CHANDLER & CO METROCONK N J	03031790
✓ CARTWRIGHT & PARNELEE NEW YORK N Y	03032490	CHANDLER & CO BEVERLY HILLS CALIFORNIA	03089390
CARTWRIGHT VALLEAU & CO CHICAGO ILL	03063080	CHANDLER JOHN T & CO KANSAS CITY 6, MO.	03001740
✓ CASCADE INVESTMENT CO DENVER, COLO.	03119940	CHANDLER MANAGEMENT CORP BEVERLY HILLS CALIFORNIA	03116130
CASE RUSSELL B	03099780	CHANDLER SECURITIES CONP COLUMBUS, MISS.	03027070
CASGRAIN & CO LTD MONTREAL 1 CANADA	03018140	CHANNING CO INC BATTLE CREEK MICH	03090720
CASHAN SECURITIES INC HAMMONTON NEW JERSEY	03141990	CHANNING SERVICE CORP BATTLE CREEK MICH	03064940
CASS QUINCY ASSOCIATES INC LOS ANGELES 17, CALIF.	03057430	CHAPIN DAVIS & CO BALTIMORE, MD.	03123060
CASSEL M & CO NEW YORK N Y	03023900	CHAPLIN MCGINNESS & CO PITTSBURGH PA	03018160
CASSIN D M CO NEW YORK NEW YORK	03147660	CHAPMAN & CO INC GROVELAND, MASS.	03029060
CATSKILL MOUNTAINS SECURITIES CO	03116990	CHAPMAN SECURITIES CORP LOS ANGELES CALIFORNIA	03146620
CAUGHLIN EDWARD J & CO PHILADELPHIA PENNSYLVANIA	03023690	CHARNICK SEYMOUR FLUSHING N Y	03063110
CAUNTER L A & CO CLEVELAND OHIO	03029210	CHAPPELLE FRANK & CO INC BIRMINGHAM 3, ALA.	03098400
CAVE CABLES & YAMOR INC EUREKA CALIFORNIA	03142850	CHARLESON CO HOBBS, MINN.	03092440
CAVETT MALL INC JACKSON MISS	03101060	CHARTER INVESTMENTS INC LOS ANGELES CALIFORNIA	03146820
CAVETT W R & CO AUSTIN TEXAS	03130990	CHARTER MANAGEMENT INC DALLAS TEXAS	03140350
CAZENOVE INC SAN FRANCISCO CALIFORNIA	03136500	CHARTER PLANNING CORPORATION NEW YORK CITY NEW YORK	03133510
CECIL WALLER CO INC RICHMOND, VA.	03122140	CHARTER SECURITIES CO LIMITED NEW YORK NEW YORK	03139320
CENTRAL CASHIERING CORP BEVERLY HILLS CALIF	03128790	CHARTER SECURITIES MANAGEMENT CONP LOS ANGELES CALIFORNIA	03141230
CENTRAL STATES SECURITIES INC LAWRENCEVILLE ILLINOIS	03140380	CHARTERED NEW ENGLAND CORP NEW YORK NEW YORK	03143480
CENTURY PLANNING ASSOCIATES INC POWYON PLAINS N J	03077790	CHASE DISTRIBUTORS CORP BOSTON, MASS.	03057770
CENTURY SECURITIES CORP ATLANTA GEORGIA	03131620	CHASE INVESTMENT SERVICES INC BOSTON MASS	03119000
CENTURY SECURITIES INC FORT WORTH TEXAS	03140700	CHASE L S CORP READING PENNSYLVANIA	03145000
CESSNA CLINTON MODESTO, CALIF.	03069160	CHELSEA PLANNING CORP NEW YORK N Y	03096130
CG EQUITY SALES CO BLOOMFIELD CONNECTICUT	03134310	CHENEY CHARLES M CORTLAND, N.Y.	03010170
✓ CHADBOURNE F H & CO INC NEWARK N J	03127610	CHERNOW GANG & CHERNOW INC NEW YORK N Y	03040380
CHALKE CECIL THOMAS SPRINGFIELD MASSACHUSETTS	03135460	CHESTER SECURITIES CO NASHVILLE TENN	03109300
CHAMBERLAIN L W & CO INC RED WING, MINN.	03032460	CHEWINGTON & CO PITTSBURG 22, PA.	03017370
CHAMBERS K W & CO ST. LOUIS 24, MO.	03105330	CHERRY RIBERT FOSTER ARLINGTON VIRGINIA	03147600

NAME	ID. NO.	NAME	ID. NO.
CHESLEY & CO CHICAGO ILL	03039670	CLARK MELVIN & CO INC ANNAPOLIS, MD.	03089680
CHESLEY & CO INC CHICAGO ILLINOIS	03149560	CLARKE & FRENCH CO EAST SYRACUSE N Y	03117070
CHICAGO BALANCED ESTATES INC CHICAGO, ILL.	03119980	CLARKE JOHN W & CO CHICAGO 3, ILL.	03007700
CHICAGO CORP CHICAGO, ILL.	03122910	CLARKE RICHARD W & CO NEW YORK CITY N Y	03004970
CHILDRESS & CO JACKSONVILLE FLORIDA	03049040	CLARKE RICHARD W COPP NEW YORK, N.Y.	03004980
CHILDS JEFFRIES & THURNDIKE INC BOSTON 9, MASS.	03018180	CLAY J P INVESTMENTS ARLINGTON, VA.	03079690
CHILDS S W MANAGEMENT CORP NEW YORK, N.Y.	03123460	CLAYTON SECURITIES CORP ROSTON MASS	03006170
CHILES & CO OMAHA NEBR	03029710	CLEAVES T CLAYTON DOVER DELAWARE	03119360
CHILSON NEWBERRY & CO INC KINGSTON, N.Y.	03032490	CLINGER & CO INC HOUSTON TEXAS	03124230
CHITFENDEN CARL LYMAN LOS GATOS CALIF	03129170	CLIPPER HOWARD D & CO NEW YORK NEW YORK	03990080
CHORBAJIAN & CO INC MIAMI FLORIDA	03147390	CLISBY & CO NACON, GA.	03119950
CHOUERA MAX BROOKLYN NEW YORK	03136810	CLOHESSY THOMAS W MUNICH 23 GFRMANY	03126140
CHRISTENSEN & CO EMCINDO CALIFORNIA	03134470	CN AGFNCY INC JACKSONVILLE, ILL.	03111030
CHRISTIANSEN PAUL M & CO INC ROCHESTER NEW YORK	03140970	CNA INVESTOR SERVICES INC CHICAGO ILL	03130230
CHRISTOPHER B C & CO KANSAS CITY MO	03009150	COASTAL SECURITIES INC HOUSTON TEXAS	03096740
CHURCH G F & CO ST. LOUIS 17, MO.	03034130	COBB JAMES GLENN MOBILE ALABAMA	03132220
CHURCH R R & CO INC HILTON HEAD ISLAND S C	03126940	COBURN & LIBBY INC HARTFORD, CONN.	03024740
CHURCH SECURITIES INC CHARBLEE GA	03131490	COBURN WILLIAM M & CO BOSTON 9 MASS	03023910
CIRCLE SECURITIES CORP INDIANAPOLIS, IND.	03113980	COCHRAN & CO INDIANAPOLIS 4 IND	03018190
CITARELLA KAPLAN & WALTER INC WAYDOME NEW JERSEY	03142780	COCHRANE & AYER OAKLAND CALIF	03126640
CITIZENS SECURITIES CO GREEN BAY, WISC.	03000990	COCHRANE DON I SHANNEE OKLA	03002810
CITIZENS SECURITY FUNDS INC OWENSBORO KENTUCKY	03147310	COCKRELL KIRK & CO INC HOUSTON TEXAS	03138980
CITIZENS TRUST CO GREENWOOD S C	03043590	CODORI ROBERT A GETTYSBURG, PA.	03120280
CITY SECURITIES CORP INDIANAPOLIS, IND.	03009750	COENEN & CO INC NEW YORK NEW YORK	03144120
CLARK & CLARK SECURITIES INC DALLAS TEXAS	03061660	COFFMAN ROBERT D & CO PASADENA, CALIF.	03097660
CLARK & CO NEW YORK N Y	03003570	COGGESHALL & HICKS NEW YORK N Y	03042620
CLARK ARTHUR L CO BEVERLY HILLS, CALIF.	03113270	COHEN ALBERT S & CO GARY INDIANA	03139890
CLARK D R & CO SAN FRANCISCO CALIFORNIA	03142740	COHEN SIMONSON & REA INC NEW YORK NEW YORK	03138070
CLARK ODGGE & CO INC NEW YORK, N.Y.	03089310	COHN DELATRE & KAUFMAN NEW YORK N Y	03116960

NAME	ID. NO.	NAME	ID. NO.
CONN IVERS & CO INC NEW YORK N Y	03084200	COMBINED FUND MANAGEMENT CO PHOENIX ARIZONA	03137850
CONN S O & CO NEW YORK NEW YORK	03131530	COMBINED PLANS INC PALATINE ILLINDIS	03103270
CONNOR MORRIS & CO NEW YORK N Y	03037080	COMBINED SECURITY CO ALAMEDA CALIF	03126670
COLBURN H L CO LOS ANGELES 14 CALIF	03036800	COMMERCE SECURITIES CORP HOUSTON TEXAS	03134770
COLE DUN I & CO WOODSTER OHIO	03093930	COMMERCIAL CORP DALLAS, TEXAS	03133460
COLEMAN & CO NEW YORK N Y	03029750	COMMERCIAL SECURITIES INC DENVER COLORADO	03139310
COLKER ALVIN & CO INC SHERMAN OAKS CALIFORNIA	03139300	COMMERCIAL STATE EQUITY CORP ST LOUIS MISSOURI	03141310
COLLAZO MORTGAGE & INVESTMENT CO PONCE PUERTO RICO	03129470	COMMON DAWN & CO INC BUFFALO NEW YORK	03144800
COLLESTER HAUDE PLUNKETT NEW YORK 16 N Y	03039110	COMMONWEALTH CAPITAL CORP SALT LAKE CITY UTAH	03146160
COLLETT & CO INC INDIANAPOLIS 4 IND	03010040	COMMONWEALTH CHEMICAL SECURITIES INC NEW YORK NEW YORK	03144940
COLLINGS C C & CO INC PHILADELPHIA, PA.	03025760	COMMONWEALTH INVESTMENT PLANNING BOSTON, MASS.	03122810
COLLINS DONALD W CO BUFFALO NEW YORK	03136400	COMMONWEALTH SECURITIES CORP NASHVILLE TENN	03111670
COLLINS JOHN PAUL JR SAN FRANCISCO CALIFORNIA	03112050	COMPANIA FINANCIERA DE INVERSIONES INC HAIO REY PUERTO RICO	03056990
COLLINS JULIEN & CO CHICAGO 3 ILL	03003170	COMPETITIVE CAPITAL CORP SAN FRANCISCO CALIFORNIA	03137250
COLLINS SECURITIES CORP DENVER, COLO.	03088820	COMPUFUND MANAGEMENT CORP NEW YORK N Y	03128650
COLLOPY JAMES S JAMESTOWN, N.Y.	03000670	COMPUTER OPTIONS CO INC NEW YORK NEW YORK	03142370
COLOGNE RUTH M INVESTMENTS SECURITIES MIAMI ST, FLA.	03069960	CONDREN WALKER & CO INC NEW YORK NEW YORK	03143890
COLONIAL DISTRIBUTORS INC BOSTON 10 MASS	03035050	CONFERENCE CONSULTANTS INC PATCHOGUE NEW YORK	03144660
COLONIAL INVESTMENT SECURITIES WORCESTER MASSACHUSETTS	03141870	CONFIDENCE GROWTH SECURITIES CORP NEW YORK NEW YORK	03142630
COLONIAL INVESTMENT SERVICES BOSTON MASSACHUSETTS	03139990	CONLON R J & CO INC NEW YORK N Y	03100730
COLONIAL INVESTMENT SERVICES INC BOSTON MASSACHUSETTS	03146610	CONNECTICUT CREDIT UNION LFAGUF INC KENSINGTON CONN	03009580
COLONIAL INVESTORS ARLINGTON VIRGINIA	03049870	CONNECTICUT MUTUAL LIFE INSURANCE CO HARTFORD CONNECTICUT	03139460
COLONY CO WASHINGTON, D.C.	03089870	CONNELL ROBERT J INC DENVER 2 COLO	03044940
COLTHARP E H & CO SALT LAKE CITY, UTAH	03064420	CONNERS & CO INC CINCINNATI OHIO	03082280
COLUMBIA CASCADE SECURITIES CORP SEATTLE 1, WASH.	03094570	CONNING & CO HARTFORD CONNECTICUT	03136870
COLUMBIA INVESTMENT CO BANGOR ME	03020900	CONOLLY & CO HAUKEGAN ILL	03126360
COLUMBIAN SECURITIES CORP TOPEKA KANSAS	03010310	CONRAD & CO INC HYATTSVILLE, MD.	03084080
COLUMBIAN SECURITIES CORP OF TEXAS SAN ANTONIO TEXAS	03014030	CONRAD BRUCE & CO SAN MATEO CALIFORNIA	03136890
COLUMBIAN SECURITIES INC CHICAGO ILLINDIS	03101400	CONRAD SECURITIES CORP HYATTSVILLE MARYLAND	03146250

NAME	ID- NO.	NAME	ID- NO.
CONRAD THERUN O & CO INC SUNBURY PA	03020080	COOPER INVESTMNTS INC BAL HARBOUR, FLA.	03124100
CONRADS PAUL & CO INC ROCKFORD ILLINOIS	03133590	COOPER STANLEY CO INC CINCINNATI OHIO	03024750
CONSOLIDATED EQUITIES INC CHICAGO ILLINOIS	03141010	COORDINATED FUTURES MO. HOLLYWOOD, CALIF.	03123510
CONSOLIDATED FINANCIAL PROGRAMS INC DENVER COLO	03097010	COORDINATED SECURITIFS CORP REVERLY HILLS, CALIF.	03123080
CONSOLIDATED INVESTMENT CU NEWARK NEW JERSEY	03079410	COPELAND HUREAT INVESTMNTS MOCCA TEXAS	03126610
CONSOLIDATED INVESTMENT SERVICES NORFOLK VA	03012230	CORPORATE OPTION CO INC NEW YORK N Y	03118210
CONSOLIDATED INVESTORS CU HERRICK, N.Y.	03118970	CORPORATE SECURITIES INC ARCADIA CALIF	03083930
CONSOLIDATED PLANNING CO NEW YORK N Y	03091160	CORTLANDT INVESTING CORP NEW YORK N Y	03096300
CONSOLIDATED PROGRAMS INC HOUSTON TEXAS	03135990	COSMOS SECURITIES CORP NEW YORK, N.Y.	03121920
CONSOLIDATED SECURITIES CURP POMPANO BEACH, FLA.	03066810	COTTER W R & CO DALLAS TEXAS	03027140
CONSUMER INVESTOR PLANNING CORP ST. LOUIS, MO.	03009640	COTZIN MARGAND & CO WORCESTER MASS	03174920
CONTES & CO LONG ISLAND CITY N Y	03114690	COUGHLIN & CO INC DENVER, COLO.	03096530
CONTINENTAL CHURCH INVESTMENT CU INC SHREVEPORT, LA.	03113950	COUNTRY CAPITAL MANAGEMENT CO BLOOMINGTON ILL	03126450
CONTINENTAL CONSULTANTS CORP REGO PARK NEW YORK	03123760	COUNTRYWIDE INVESTORS CORP SAXONBURG PENNSYLVANIA	03140570
CONTINENTAL ESTATE PLANNING ASSOCIATES I NEW YORK N Y	03116900	COURTS & CO ATLANTA 1 GA	03001370
CONTINENTAL INCDNE PLANNING INC SCHENECTADY, N.Y.	03097120	COURY GEORGE BROKER MIAMI FLA	03067190
CONTINENTAL MANAGEMENT INC OMAHA NEBRASKA	03140520	FOWELL WAYNE G & CO TRAVERSE CITY MICH	03028340
CONTINENTAL SECURITIES CO OMAHA NEBRASKA	03143280	COHEN & CO NEW YORK N Y	03016420
CONTINENTAL SECURITIES CO GRAND RAPIDS 2, MICH.	03009110	COKR PAUL J KANSAS CITY MO	03020100
CONTINENTAL SECURITIES CORP PHILADELPHIA PENNSYLVANIA	03144670	COYNE MARTIN LEO GREENFIELD MASS	03020910
CONTINENTAL SECURITIES INC DES MOINES IOWA	03137460	CPI SECURITIES CORP NEW YORK N Y	03126490
CONTINUING OBJECTIVES PARKERSBURG WEST VIRGINIA	03139990	CRABBE THOMAS L CO CEDAR RAPIDS IOWA	03032590
CONTINUING OBJECTIVES INC PARKERSBURG WEST VIRGINIA	03143550	CRABTREE C O & CO SAN ANTONIO TEXAS	03093640
CONVILLE & CO BIRMINGHAM 3, ALA.	03021690	CRABTREE CHARLES E SECURITIFS PSCONDIDO CALIFORNIA	03118190
CONWAY BROTHERS FIRST OF IOWA CURP DES MOINES, IOWA	03032220	CRAIG HALLUM INC MINNEAPOLIS, MINN.	03016410
COOK C E & CO GRAND RAPIDS MICHIGAN	03067920	CRAIGIE F W & CO INC RICHMOND, VA.	03108470
COOK INVESTMENT CO CHICAGO ILL	03058640	CRAIN NATHAN ASSOCIATES INC WANTAGN, N.Y.	03081810
COOLEY & CO HARTFORD 4 CONN	03017660	CRANG J H & CO TORONTO CANADA	03051640
COUDLEY VERNON A ASSOCIATES SALT LAKE CITY UTAH	03081190	CRAWFORD G H CO INC COLUMBIA 1 S C	03002910

NAME	ID. NO.	NAME	ID. NO.
CREATIVE INVESTUR SERVICES INC LARCHMONT N Y	03129110	CUMMINGS & TAYLOR INC NEW YORK NEW YORK	03131770
CREATIVE PLANNING CO SEAFORD N Y	03124940	CUMMINGS & TAYLOR SECURITIES CORP NEW YORK NEW YORK	03139260
CREATIVE PROGRAMS CORP NEW YORK N Y	03124820	CUMMINGS T M INVESTMENT CO GAINESVILLE, FLA.	03110970
CREDIT LYONNAIS CORP NEW YORK NEW YORK	03137330	CUNIFF DOMINIC LAMM & STOUTFNRUGH NEW YORK NEW YORK	25020007
CREHAN JOHN PATRICK COL INC OKLAHOMA CITY 7 OKLA	03099290	CUNNINGHAM SCHMERTZ & CO INC PITTSBURGH PENNSYLVANIA	03038120
CRETORS FREDERICA T & CO INC INDIANAPOLIS 4, IND.	03106250	CURRAN V T CO PROVIDENCE, R. I.	03056380
CRIGHTON CHERASHORE & CO INC NEW YORK, N. Y.	03094170	CURRY EUGENE RAY READING PENNSYLVANIA	03134520
CRILLEY & CO INC LOS ANGELES CALIFORNIA	03132660	CURTIN LAWRENCE F CARRIBDGF MASSACHUSETTS	03136600
CRISLER R C & CO INC CINCINNATI, OHIO	03073250	CURTIS MITCHELL T & CO SAN FRANCISCO CALIF	03047850
CROCKER & ASSOCIATES INC LOS ANGELES CALIFORNIA	03105780	CUSHING PLACF INC BUFFALO NEW YORK	03129490
CRONIN JAMES FRANCIS BURLINGTON VERMONT	03134650	CUTLER YANTIS & CO CHICAGO ILL	03109960
CROSBY CORP BOSTON MASS	03009630	CUTLER & ASSOCIATES INVESTORS INC BATON ROUGE, LA.	03122630
CROSBY PLANS CORP BOSTON MASS	03087750	CUTTER & CO BOSTON BROOKLINE MASS	03109520
CROME INVESTMENT SERVICES CASTRO VALLEY CALIF	03126200	CUTTER & DIXON NEW YORK, N. Y.	03121770
CROWELL WOODEN & CO LOS ANGELES CALIF	03037100	CUTTER BFMNETT SECURITIES CORP NEW YORK N Y	03125480
CROWN SECURITIES INC FREEHOLD NEW JERSEY	03147170	CYF SECURITIES INC NEW YORK NEW YORK	03142440
CROWN TRADING CO BROOKLYN NEW YORK	03147300	D F S & ASSOCIATES TRENTON NEW JERSEY	03144950
CRUSEY DAVID L ANCHORAGE, ALASKA	03097180	D H A ASSOCIATES INC NEW YORK NEW YORK	03140910
CRUTTENBEN & CO INC CHICAGO, ILL.	03086460	DEB INVESTMENTS DALLAS TEXAS	03125850
CRYSTAL SECURITIES CORP MENDHAM, N. J.	03081010	DABBS SULLIVAN TRULOCK & CO INC LITTLE ROCK ARK	03078160
CSIK STEPHEN J & CO WILLINGBORO NEW JERSEY	03139150	DAC INVESTMENT CO INC LONG BEACH CALIF.	03090150
CUBB SECURITIES INC NEW YORK NEW YORK	03144960	DAC SECURITIES INC LONG BEACH CALIFORNIA	03139650
CULBERTSON A B & CO FORT WORTH, TEXAS	03066090	DACEY NORMAN F & ASSOCIATES INC BRIDGEPORT 3, CONN.	03087300
CULLEN COPLIN & ASSOCIATES INC TOWSON MD	03131760	DACKERMAN HARRY C & CO INC PHILADELPHIA PA	03115480
CULLEN LAWRENCE MICHAEL FARGO NORTH DAKOTA	03135510	DAHLGREN RICHARDSON & CO LOS ANGELES CALIFORNIA	03139830
CULVER M W & CO 50 WEYMOUTH 90 MASS	03035060	DAIN KALMAN & QUAIL INC MINNEAPOLIS 2, MINN.	03032590
CULVERWELL & CO INC SPRINGFIELD MASSACHUSETTS	03141480	DAIWA SECURITIES CO AMERICA INC NEW YORK N Y	03122420
CUMBERLAND SECURITIES CORP NASHVILLE TENN	03008580	DALEN INVESTMENTS & FUNDS INC MIAMI 37 FLA	03087610
CUNNINGMS & CO INC PROVIDENCE R I	03108930	DALLAS WASH & CO PHILADELPHIA 2 PA	03055580

NAME	ID. NO.	NAME	ID. NO.
		DE COFFET & DONLUS NEW YORK N.Y.	25502000
DALLEY & CO MEDIA PENNSYLVANIA	03134790	DAVIS H C INC NEW YORK N Y	03131180
DALMAN INC NEW YORK N Y	03113810	DAVIS H C & CO INC NEW YORK, N.Y.	03092370
DALY & CO INC BOISE IDAHO	03008020	DAVIS RALPH W & CO CHICAGO ILLINOIS	03018380
DANAIS R INVESTMENT CO INC MANCHESTER NEW HAMPSHIRE	03145790	DAVIS ROBERT C NORTH HOLLYWOOD CALIF	03130110
DANAIS ROMEO CO MANCHESTER N H	03127020	DAVIS RONALD & MICHAELS INC DETROIT MICH	03040620
DANCE HAROLD W BROKERAGE LOGAN, UTAH	03121600	DAVIS SECURITIES CO INC NEW YORK NEW YORK	03140360
DANIBERG & CO INC NEW YORK CITY NEW YORK	03143400	DAVIS SHELBY CULLON & CO NEW YORK N Y	03000790
DANIEL SECURITIES CO INC NEW YORK NEW YORK	03141750	DAVIS SKAGGS & CO SAN FRANCISCO CALIF	03021770
DANIELS D W CO INC WANTAGH, L.I., N.Y.	03074830	DAVOLD RICHARD M & CO INC PHILADELPHIA PENNSYLVANIA	03146900
DANZIG JACKSON S FLUSHING 50, N. Y.	03028310	DAWSON JAMES R & CO SKILLMAN N J	03065710
DARGAN & CO SPARTANBURG SOUTH CAROLINA	03021710	DAWSON JOHN A & CO CHICAGO 2 ILL	03008760
DARPEL J F & CO ST LOUIS MO	03117750	DAY CHAS A & CO INC BOSTON MASS	03005320
DAUGHERTY COLE INC PORTLAND 5, ORE.	03014360	DAYTON RONDO CORP DAYTON OHIO	03027160
DAVENPORT & CO RICHMOND VIRGINIA	03115660	DE HAYDU INVESTMENT SECURITIFS SAN JOSE CALIFORNIA	03143900
DAVENPORT E R & CO INC PROVIDENCE, R.I.	03118850	DEAN MARKETING SERVICES INC NEW YORK NEW YORK	03139660
DAVENPORT SECURITIES INC DAVENPORT, IOWA	03118200	DEAN SECURITIES CORP NEW YORK N Y	03025820
DAVID IRA & CO FOREST HILLS NEW YORK	03094890	DEAVERS & ASSOCIATES WEST PALM BEACH FLA	03130810
DAVID MORRIS NEW YORK 1 N Y	03032630	DEBARY MARQUETTE CO NEW YORK N Y	03111910
DAVIDSON & CO INC KNOXVILLE 2, TENN.	03017430	DEBELL INVESTMENT PLANNING WASHINGTON, PA.	03093100
DAVIDSON C N & CO DETROIT, MICH.	03076940	DEBLOIS INC NEW ORLEANS LOUISIANA	03134220
DAVIDSON D A & CO INC GREAT FALLS, MONT.	03023490	DECADE DISTRIBUTING CORP DOWNEY CALIFORNIA	03144790
DAVIDSON VINK SADLER INC MICHITA 2, KANS.	03041320	DECADE MANAGEMENT CORP DOWNEY CALIF	03130740
DAVIS & CO SALT LAKE CITY UTAH	03140670	DECATHLON DISTRIBUTORS INC PORTLAND OREGON	03141790
DAVIS BURTON & CO INC NEW MILFORD NEW JERSEY	03146170	DECKARD M H & CO INC SANTA ANA CALIFORNIA	03137070
DAVIS C R & CO SPRINGFIELD, ILL.	03008590	DECORDOVA CYRIL & BROTHER NEW YORK N Y	03049470
DAVIS DONALD & CO BOSTON 9 MASS	03009050	DEGOLVER JOHN J CO INC ROCHESTER NEW YORK	03062330
DAVIS E B CO INC HERPSTEAD, N.Y.	03096510	DEHAVEN & TOWNSEND CROUTER & BODINE PHILADELPHIA, PA.	03013240
DAVIS ELBERT M SPRINGFIELD VERMONT	03048250	DELAFIELD & DELAFIELD NEW YORK, N.Y.	03002480
DAVIS EVAN L TULSA OKLAHOMA	03017260	DELAFIELD WALTER B & CO INC NEW YORK, N.Y.	03114670

NAME	ID. NO.	NAME	ID. NO.
DELAGO SECURITIES CO INC CHICAGO ILL	03086340	DFY RUSSELL P TRENTON, N.J.	03119530
DELAWARE MANAGEMENT CO INC PHILADELPHIA 2, PA.	03003480	DEYOUNG & CO GRAND RAPIDS, MICH.	03090110
DELODDO WALTER & CO FITZWILLIAM DEPOT N H	03054080	DIAMOND & CO NEW YORK N Y	03030690
DELFI CAPITAL SALES INC WILMINGTON DELAWARE	03120940	DIAMOND B J COMPANY INC NEW YORK NEW YORK	03139290
DELGER CORP SALT LAKE CITY, UTAH	03099140	DIAMOND MANAGEMENT INC SAN FRANCISCO CALIFORNIA	03137230
DELLON DAVID CO NEW YORK NEW YORK	03099990	DIAMOND SECURITIES CO LOS ANGELES 12 CALIF	03066850
DELTA FUNDS INC OKLAHOMA CITY, OKLA.	03115910	DIAMOND TURK & CO NEW YORK N Y	03027170
DELTA SECURITIES CORP ATLANTA GA	03123190	DIASO JOSEPH MARTEL FRESNO, CALIF.	03076710
DELTEC PUERTO RICO INC NEW YORK N Y	03118780	DICK & MERLE SMITH NEW YORK N Y	03002940
DELTEC SECURITIES CORP NEW YORK N Y	03000240	DICK LEWIS C COMPANY PHILADELPHIA 2 PA	03005120
DELUCA ALFED AGENCY CLIFTON N J	03045980	DICK W H & CO ALEXANDRIA LA	03014420
DEMERS ARTHUR & CO ELIZABETH N J	03014450	DICKENSON ASSOCIATES GREAT NECK NEW YORK	03143260
DEMPSEY & CO CHICAGO ILL	03008330	DICKEY JOHN R & CO PARAGOULD, ARK.	03107310
DEMPSEY JOHN & CO INC NEW YORK NEW YORK	03140800	DICKINSON GORDON H & CO ALLENTOWN PA	03118470
DEMPSEY TEGELER & CO INC ST LOUIS MO	03098870	DICKINSON GORDON H & CO INC ALLENTOWN PENNSYLVANIA	03144100
DENGLER G MACDONALD PHILADELPHIA PA	03016760	DICKINSON R G & CO DES MOINES IOWA	03075030
DENHAM E Y & CO LOGANSPOUT, IND.	03007530	DICKSON JOHN W CHICAGO ILLINOIS	03145970
DENISON H C CO SHEBOYGAN WISC.	03000440	DICKSON R S & CO CHARLOTTE, N.C.	03016740
DENO & CO INC SACRAMENTO, CALIF.	03065820	DIEMAN JULIUS NAST NEWARK NEW JERSEY	03134670
DENTON & CO INC HARTFORD, CONN.	03033340	DILL D G & CO SPRINGFIELD OHIO	03139500
DENVER CORP DENVER COLO	03129810	DILLON J H & CO INC SPOKANE WASHINGTON	03132950
DESIGNED PROGRAMS INC NEW YORK N Y	03085380	DILLON READ & CO INC NEW YORK, N.Y.	03092620
DETROIT BOND & SHARE CORP DETROIT MICH	03126510	DILLON READ MUNICIPALS INC NEW YORK NEW YORK	03143450
DEUTSCHMANN SIDEL & CO NEW YORK NEW YORK	03138930	DILLON READ OVERSEAS CORP NEW YORK NEW YORK	03147130
DEVELOPMENT CORP FOR ISRAEL NEW YORK N Y	03042180	DINES CHARLES WILLIAM OAKLAND CALIFORNIA	03135000
DEVINE & CO INC WHITTIER, CALIF.	03122450	DINKINS LADD & CO NEW ORLEANS LA	03050210
DEVON SECURITIES NEW YORK NEW YORK	03144210	DINSMORE EUGENE C OMAHA NEBR	03013580
DEWEES & EHRMAN FORT WAYNE, IND.	03065720	DIONNE LFD J & CO GLOUCESTER, MASS.	03111570
DEWEY JOHNSON & GEORGE INC NEW YORK NEW YORK	03134400	DIRKS BROTHERS LTD NEW YORK NEW YORK	03146810

NAME	ID. NO.	NAME	ID. NO.
DISBRO & CO HILLOUGHBY, OHIO	07080320	DOMMER JULIUS NEW YORK CITY N Y	03108310
DISBRO & CO INC CLEVELAND OHIO	03090420	DONDVAN GILBERT & CO LANSING 68, MICH.	03024830
DISHY EASTON & CO NEW YORK N Y	03110950	DOOLY GERRISH & CO INC MIAMI, FLA.	03121170
DISTRIBUTORS GROUP INC NEW YORK NEW YORK	03020120	DOORLEY & CO INC PROVIDENCE R I	03130680
DITMORE WILLIAM PATRICK & CO INC KNOXVILLE TENN	03130570	DOPLER SECURITIFS CORP NORTH WOODMERE NEW YORK	03147520
DITTMAR & CO INC SAN ANTONIO, TEXAS	03055170	DORN BERNARD N CO NEW YORK N Y	03032660
DIVERSIFIED BROKERAGE SERVICES INC DES MOINES IOWA	03120580	DORN WEINSTEIN CO INC THE WYNDMORR PENNSYLVANIA	03146220
DIVERSIFIED INVESTMENT SERVICES INC TEQUESTA FLORIDA	03146380	DORSEY & CO INC NEW ORLEANS LA	03079410
DIVERSIFIED INVESTMENTS INC NORTH PROVIDENCE R I	03112690	DORSKY DAVID A & CO NEW YORK NEW YORK	03147260
DIVERSIFIED MARKETING SERVICES INC BUFFALO NEW YORK	03145690	DOUGHERTY A WEBSTER & CO INC PHILADELPHIA PENNSYLVANIA	03142460
DIVERSIFIED PLANNING CORPORATION BEVERLY HILLS CALIF	03126650	DOUGLAS ASSOCIATES BROOKLYN N Y	03034580
DIVERSIFIED PLANNING SERVICES YONKERS, N.Y.	03115210	DOUGLAS DONALD C & CO INC SEATTLE WASHINGTON	03143910
DIVERSIFIED SECURITIES INC LONG BEACH CALIF	03126780	DOUGLAS JOHN & CO INC OMAHA NEBR	03018260
DIXON BRETSCHER MOONAN INL SPRINGFIELD ILLINDIS	03007690	DOUGLAS SECURITIES INC CHICAGO ILL	03066290
DODGE EDWIN FORLEST NEW YORK N Y	03058920	DOUGLASS COFFIN & CO CORAL GABLES 46, FLA.	03091180
DODGE R S & CO NEW YORK N Y	03016730	DDW CORNING CORP	03009140
DOFT & CO INC NEW YORK N Y	03100480	DOH LANEY CO SEATTLE WASH	03126220
DOHERTY & CO ALBUQUERQUE NEW MEXICO	03136720	DOH LANEY CORP SEATTLE WASHINGTON	03147330
DOHERTY EDWIN MEEHAWKEN, N.J.	03024820	DOWNTOWN MANAGEMENT CORP MIDDLETOWN NEW JERSEY	03144170
DOLLAN & CO INC SEATTLE WASHINGTON	03140660	DOYLE F W & CO LYNN MASSACHUSETTS	03002060
DOLLAR DIRECTORS INC AURORA COLO	03131030	DOYLE DONNOR & CO INC DELAWARE CHICAGO ILL	03129710
DOMINICK & DOMINICK INC NEW YORK, N.Y.	03121590	DRABUN INC NEW ORLEANS LOUISIANA	03137340
DOMINION SECURITIES CORP NEW YORK N Y	03016720	DRACHMAN & CO NEW YORK N Y	03049120
DONAHUE F S & CO RED BANK NEW JERSEY	03136520	DRAPER SEARS & CO INC BOSTON, MASS.	03119330
DONAHUE F S SANTO & CO RED BANK NEW JERSEY	03142540	DRAYER M H CO NEW YORK N Y	03128630
DONALD & CO NEW YORK N Y	03002050	DRESNER CO NEW YORK N Y	03060150
DONALDSON LUFKIN & JENRETTE INC NEW YORK NEW YORK	03079900	DREXEL HARRIMAN RIPLEY INC NEW YORK N Y	03127750
DONAN & CO INC SPRINGFIELD N J	03101770	DREXEL HARRIMAN RIPLEY MANAGEMENT CO PHILADELPHIA PENNSYLVANIA	03145060
DONELAN PHELPS & CO SAINT LOUIS MISSOURI	03144510	DREYFUS & CO NEW YORK N.Y.	03024560

NAME	ID. NO.	NAME	ID. NO.
DREYFUS CORP OF CANADA LTD THE TORONTO ONTARIO CANADA	03137590	DUPONT FRANCIS E A C ALLYN INC NEW YORK N Y	03117110
DREYFUS SALES CORP THE NEW YORK NEW YORK	03138010	DUPREE F L & CO INC LEXINGTON KENTUCKY	03109780
DRISCOLL THOMAS C & CO CHICAGO ILLINOIS	03141630	DURF W N CO UTICA N Y	03021730
DROULIA & CO NEW YORK, N.Y.	03060980	DURKIN R P & CO INC LOWELL MASSACHUSETTS	03179740
DROZ D V & CO SPOKANE WASHINGTON	03087420	DURUM SECURITIES CORP NEW YORK NEW YORK	03088480
DRY FOODS JOHN M & CO NEW YORK NEW YORK	03142960	DUSTIN BROOKS LTD FINGLEWOOD NEW JERSEY	03134740
DRYFOOS DUNALD NEW YORK N Y	03057260	DUTEL INVESTMENT CO INC MIAMI FLORIDA	03143360
DRYFOOS FLLIS & KLUGER NEW YORK N Y	03127480	DUVAL SECURITIES FRESNO CALIFORNIA	03135310
DRYSDALE & CO NEW YORK, N.Y.	03027190	DYORAK & CO WESTFIELD N J	03067890
DUAL DOLLAR INVESTMENT CORP INDIANAPOLIS INDIANA	03135760	DWIGHT EDMUND FREEMAN JR TAMPA FLORIDA	03133950
DUAL SECURITY INC WATERFOWN NEW YORK	03115140	DWYER & CO SAN FRANCISCO CALIFORNIA	03075160
DUANE JAMES J & CO NEW YORK S N Y	03023730	DYNAVEST INC PHILADELPHIA PENNSYLVANIA	03144540
DUCOURNAU & KEES NEW ORLEANS LA	03032670	DYNAVEST SECURITIES INC CHICAGO ILLINOIS	03144020
DUDLEY E S CO INC MANCHESTER N H	03000460	E & E SECURITIES INC COLUMBUS OHIO	03141520
DUDLEY ORIN R CO NEW YORK N Y	03117620	E I SALES INC DES MOINES IOWA	03140370
DUFF F X & PERONE ASSOCIATES INC ARDMORE PA	03119520	E M C SECURITIES INC NEW YORK NEW YORK	03138900
DUFFY & CO NEW YORK NEW YORK	03142650	EARLS WILLIAM THOMAS CINCINNATI, OHIO	03120590
DULANEY J D & ASSOCIATES INC LONG BEACH CALIF	03126970	EAST COAST INVESTORS INC MERRICK NEW YORK	03131730
DULLNIG GEORGE E & CO SAN ANTONIO TEXAS	03098090	EAST TEXAS INVESTMENT CO TYLER TEXAS	03011890
DUNAF CHARLES C NEW YORK N Y	03043450	EAST WISCONSIN TRUSTEE CO MANITOWOC WIS	03027210
DUNBAR & PRINGLE INC SCOTTSDALE ARIZONA	03136080	EASTAMERICA FINANCIAL PLANNING CO ATLANTA GEORGIA	03143730
DUNCAN CALVIN E & CO SAN FRANCISCO & CALIF	03013270	EASTERN SECURITIES INC NEW YORK N Y	03012670
DUNHILL SECURITIES CORP NEW YORK N Y	03116160	EASTERN STATES INVESTORS CORP NEW YORK N Y	03084190
DUNN A S & CO LTD TORONTO I, ONTARIO, CANADA	03099550	EASTLAND SECURITIES CORP COVINA CALIFORNIA	03146910
DUNN JAMES LAMPIN NEW ORLEANS LOUISIANA	03140560	EASTMAN DILLON UNION SECURITIES & CO NEW YORK N Y	03003710
DUNNE & CO NEW YORK N Y	03018300	EASTMAN SECURITIES INC ROCHESTER NEW YORK	03148090
DUNSCOMBE & CO NEW YORK N Y	03042640	EATON & CO INC BOSTON MASS	03016680
DUPASQUIER & CO INC NEW YORK N Y	03064580	FATON & CO INC NEW YORK N Y	03016690
DUPONT FRANCIS I & CO NEW YORK N Y	03005100	EATON & HOWARD INC BOSTON MASS	03015700

NAME	ID. NO.	NAME	ID. NO.
EBERSTADT F & CO NEW YORK, N.Y.	03039280	EDWARDS R J INC OKLAHOMA CITY, OKLA.	03010390
EBERSTADT F & CO MANAGERS & DISTRIBUTORS NEW YORK, N.Y.	03004260	EFFECTIVE MANAGEMENT CO ANAHEIM CALIFORNIA	03147890
EBIN ROBERTSON & CO INC MINNEAPOLIS MINN	03108040	EGAN LOUIS DALLAS 1 TEXAS	03075680
ECCO INC MADISON WISCONSIN	03139810	EGAN PAUL J INVESTMENTS JERSEY SHORE PA	03019500
ECKARD BOND & CO PORTERVILLE CALIF	03078120	EGRET DISTRIBUTING CO INC BOSTON, MASS.	03121090
ECKERT RICHARD E & CO CARPINTERIA, CALIF.	03119560	EHLI & HUGHES INC BILLINGS, MONT.	03108050
ECKLUND E M AGENCY UNION NEW JERSEY	03135400	EISENMAN NORMAN W & CO INC TROY NEW YORK	03035070
ECONALYSIS INVESTMENT CORP ALTADENA CALIFORNIA	03126740	EISEN JEROME BROOKLYN NEW YORK	03058780
ECONOMETRICS INC STAMFORD CONNECTICUT	03144340	EISENACH RICHARD CARL DETROIT MICHIGAN	03135670
ECONOMIC PLANNING CORP NEW YORK NEW YORK	03060250	EISENBERG MILTON F & CO INC SAVANNAH, GA.	03117340
ECONOMIC RESEARCH ANALYSTS INC BAY HARBOR ISLANDS FLA	03115400	EISENBERG PAUL CO INC NEW YORK N Y	03101000
EDEE SECURITIES CORP ALBUQUERQUE NEW MEXICO	03136800	EISING ROBERT E NEW YORK N Y	03062310
EODEN EARL CO ROCKVILLE CENTRE NEW YORK	03136220	EL PASO REAL ESTATE INVESTMENT TRUST EL PASO TEXAS	03126840
EDDINS & CO TULSA OKLAHOMA	03145050	ELCO SECURITIES ELBA ALABAMA	03148160
EDDY & CO INC NEW BRITAIN CONN	03124040	ELDOM EMMOR & CO INC HARMOND IND	03128500
EDELSTEIN & CO INC NEW YORK NEW YORK	03132940	ELDRIDGE CHARLES H & CO CHICAGO 4, ILL.	03077240
EDELSTEIN CAMPBELL & CO SAN FRANCISCO CALIF	03122050	ELKINS MORRIS STROUD & CO PHILADELPHIA PA	03052330
EDGAR RICKER & CO MILWAUKEE WISC	03021740	ELKS DAVID & CO UNION, N.J.	03049770
EDUCATORS FUND MANAGEMENT CORP WASHINGTON, D.C.	03117790	ELLIS HOLYOKE & CO LINCOLN 8, NEBR.	03008210
EDUCATORS INVESTMENT SERVICES INC SWARTHMORE PENN	03132020	ELLIS STEWART & CO INC LOS ANGELES CALIFORNIA	03136010
EDWARD JOHN & CO INC LEBANON NEW HAMPSHIRE	03136580	ELLMAN FOWIN H COLUMBUS, OHIO	03122180
EDWARD P FITCH INVESTMENT SECURITIES NASHUA N H	03038970	ELMORE JAMES L INVESTMENTS FORT SMITH ARK	03040560
EDWARDS & CO MONTGOMERY ALABAMA	03059250	ELWELL & CO NEW YORK CITY NEW YORK	03142160
EDWARDS & CO SAN FRANCISCO CALIFORNIA	03143040	EMANUEL DEETJEN & CO NEW YORK N Y	03030740
EDWARDS & CO INC PORTSMOUTH OHIO	03022490	EMCH & CO MILWAUKEE, WISC.	03018320
EDWARDS & HANLY HENPSTEAD L I N Y	03029320	EMERSON & CO SAN ANTONIO TEXAS	03125220
EDWARDS & HANLY SECURITIES INC NEW YORK NEW YORK	03141280	EMERSON R S & CO INC AGAMA GUAM	03132320
EDWARDS A G & SONS INC SAINT LOUIS MISSOURI	03135800	EMERY CO EVANSTON ILL	03018330
EDWARDS E M CO PHILADELPHIA PENNSYLVANIA	03027230	EMPIRE FUND MANAGEMENT CO LOS ANGELES CALIFORNIA	03142830

NAME	ID. NO.	NAME	ID. NO.
EMPIRE PLANNING CO HARRISBURG PENNSYLVANIA	03142570	EQUIVEST CORP BALTIMORE MARYLAND	03143230
EMPIRE PLANNING CORP NEW YORK, N.Y.	03052770	ERDMAN & CO NEW YORK N Y	03019510
EMPIRE STATE SECURITIES BROOKLYN NEW YORK	03146980	ERIT CORP NEW YORK N Y	03128740
ENGLANDER BENNI BROOKLYN NEW YORK	03140750	ERNST & CO NEW YORK, N.Y.	03010180
ENGLER & BUDD CO MINNEAPOLIS MINNESOTA	03098100	ERRINGER ORVILLE W INC DALLAS TEXAS	03060970
ENTENPRISE INVESTMENT CORP HOUSTON TEXAS	03063090	FRMTH & CO INC DURHAM, N.C.	03056210
ENTERPRISE SECURITIES CORP LAS VEGAS NEVADA	03143050	ESICO CORP NEW YORK N Y	03105390
EPIC PLANNING CORP VALLEY STREAM, N.Y.	03107500	ESPENSCHIED & CO UNIVERSITY CITY 10 MO	03109610
EPPLER AVERY L CO REDWOOD CITY CALIF	03115420	ESSEX INC NEWPORT BEACH CALIFORNIA	03117940
EPPLER GUERIN & TURNER INC DALLAS TEXAS	03005720	ESSEX SECURITIES CORP DENVER COLORADO	03137160
EQUALIZED ESTATE LTD WHITE PLAINS NEW YORK	03067010	ESTABROOK & CO BOSTON MASS	03077950
EQUITABLE CANADA INCORPORATED NEW YORK NEW YORK	03051780	ESTATE FUND MANAGEMENT CORP SALT LAKE CITY, UTAH	03099660
EQUITABLE INTERNATIONAL CORP NEW YORK N Y	03040480	ESTATE INVESTMENT CO WASHINGTON D C	03014800
EQUITABLE LIFE ASSURANCE COMPANY OF THE UNITED STATES NEW YORK NEW YORK	03140820	ESTATE PLANNING ASSOCIATES WAXFORD, PA.	03070880
EQUITABLE SECURITIES MORTON & CO INC NEW YORK NEW YORK	03101350	ESTES & CO INC TOPEKA, KANSAS	03009740
EQUITY ANNUITY AGENCY INC WASHINGTON 10, D.C.	03088750	ESTES W N & CO INC NASHVILLE TENN	03037600
EQUITY CONSULTANTS INC SYRACUSE N Y	03124740	ETHAN INVESTMENT COMPANY INC SOUTH MIAMI FLORIDA	03145290
EQUITY GROWTH CORP CINCINNATI OHIO	03144930	EULER & CO PHILADELPHIA 7, PA.	03115610
EQUITY GROWTH FUND DISTRIBUTORS INC BEVERLY HILLS CALIF	03128750	EULER JOSEPH F & CO WASHINGTON D C	03136070
EQUITY INVESTMENT CORP NEW HAVEN CONN	03125230	EURAM SECURITIES CORP NEW YORK NEW YORK	03144570
EQUITY PLANNING CORP POMPANO BEACH FLA	03121880	EUSTIS GEO & CO CINCINNATI, OHIO	03017450
EQUITY PROGRAMMERS INC ELMHURST N Y	03099340	EVANS & CO INC NEW YORK N Y	03049880
EQUITY RESEARCH ASSOCIATES INC NEW YORK NEW YORK	03132450	EVANS WALTER RALPH WINDDALE ILLINOIS	03145700
EQUITY RESEARCH INC HOUSTON TEXAS	03106740	EVERETT INVESTMENT CO LAFAYETTE, IND.	03088780
EQUITY SECURITIES CORP BEVERLY HILLS, CALIF.	03057590	EVERSMAN INVESTMENT CO NEW KNOXVILLE, OHIO	03077660
EQUITY SERVICES INC MONTPELIER VERMONT	03142860	EWING ALLEN C & CO JACKSONVILLE FLORIDA	03066890
EQUITY TRENDS INC NEW YORK NEW YORK	03146410	EXCELSIOR OPTION CORP BOSTON, MASS.	03117690
EQUITY UNDERWRITERS PORTLAND OREGON	03138320	EXCHANGE INVESTMENT CORP DENVER COLORADO	03144780
EQUITYAMERICA PALO ALTO CALIFORNIA	03136680	EXECUTIVE CLUB SECURITIES ANAHEIM CALIFORNIA	03142380

NAME	ID. NO.	NAME	ID. NO.
EXECUTIVE GROWTH SECURITIES CORP NEWARK NEW JERSEY	03138530	FARRELL CHARLES CONSTANTINE HOUSTON TEXAS	03135490
EXECUTIVE INSURANCE AGENCY INC CLEVELAND OHIO	03130890	FARRELL JOHN M WELLESLEY HILLS MASS	03139000
EXECUTIVE INVESTMENT CO NEEDHAM HEIGHTS MASSACHUSETTS	03140080	F FAULKNER DANKINS & SULLIVAN NEW YORK N Y	03075880
EXECUTIVE INVESTMENT COMPANY MINNEAPOLIS MINNESOTA	03132600	FAULKNER DANKINS & SULLIVAN SECURITIES INC NEW YORK NEW YORK	03137720
EXECUTIVE INVESTMENTS INC SPRINGFIELD ILLINOIS	03142710	FAVER BERNARD JAY CO BROOK 69, N.Y.	03076610
EXECUTIVE MANAGEMENT CORP FORT WAYNE 2 IND	03094110	FAZIO JOSEPH X & CO BECKLEY, W. VA.	03013250
EXECUTIVE SECURITIES CORP NORTH MIAMI FLORIDA	03135260	FECHTER & CO BOSTON MASSACHUSETTS	03145600
EXECUTIVE SECURITIES INC SPRINGFIELD MISSOURI	03141930	FEDER SECURITIES CO EAST ORANGE NEW JERSEY	03144070
EXECUTIVE SECURITY BEVERLY HILLS CALIFORNIA	03136730	FEDERAL INVESTMENT CO ARLINGTON 6, VA.	03034050
EZRA JOSEPH & CO INC NEW YORK, N.Y.	03084870	FEDERATED INVESTORS INC PITTSBURGH PENNSYLVANIA	03062030
F D & S MANAGEMENT CO INC NEW YORK NEW YORK	03134730	FEDERMAN H L & CO INC NEW YORK N Y	03115220
F P C SECURITIES CORP DEERFIELD BEACH FLORIDA	03145450	FEE RICHARD MCELWEE MINNEAPOLIS MINNESOTA	03134340
FABIAN & CO BEVERLY HILLS, CALIF.	03001380	F FEINER J P CO NEW YORK NEW YORK	03132930
FABRIKANT BROTHERS & CO NEW YORK N Y	03123180	F FEIS SECURITIES CO NEW YORK NEW YORK	03143060
FAGAN & CO NEW YORK, N.Y.	03054090	FELDER MURRAY DENVER 10, COLO.	03091270
F FARGENSON & FRANKEL CO INC NEW YORK N Y	03099920	FELDMAN ARNOLD CO NEW YORK N Y	03002320
FAMNESTOCK & CO NEW YORK NEW YORK	03025910	FELDMAN NED & CO INC NEW YORK NEW YORK	03132780
FAIN & FAIN PROVIDENCE R I	03079600	FELL RUDDMAN & CO HIGHLAND PARK, ILL.	03110390
FAIRMAN & CO LOS ANGELES 14 CALIF	03015560	FELLIN KENNETH JOHN SCRANTON PENNSYLVANIA	03134350
FAMILY INCOME PLANNING INC ARLINGTONVILLE N Y	03006210	FELRO PLANNING CORP NEW YORK N Y	03066770
FAMILY INDUSTRY & COLLEGE PLANNING CO IN SYRACUSE, N.Y.	03073460	FELS ARTHUR CO KANSAS CITY MO	03061870
FAMILY INVESTMENT CO FLUSHING, N.Y.	03068810	FELSETTE & CO & INVESTMENT INSTITUTE LOS ANGELES 28 CALIF	03068430
FAMILY INVESTORS CO FAHWOOD, N.J.	03111900	FERGUSON & ASSOCIATES INC ATLANTA GA	03117370
FAMILY MUTUAL FUNDS LONG ISLAND CITY NEW YORK	03132690	FERGUSON JOHN A & CO INC SAN FRANCISCO CALIF	03124900
FAMINE FIGHTERS INC WASHINGTON D C	03140410	FERKAUF ROGGEN BRESSMAN & CLASEN INC NEW YORK NEW YORK	03141290
FANE L L & CO INC PLAINFIELD, N.J.	03064870	FERNAN ROBERT L & CO INC MIAMI, FLA.	03058530
FARGO AGENCY INVESTMENTS CO FARGO NORTH DAKOTA	03132800	FERRELL JANE I INVESTMENTS GRAND JUNCTION COLORADO	03067980
FARMERS EQUITY CORP CORAL GABLES FLORIDA	03142240	FERRELL WILLARD E PHILADELPHIA, PA.	03071770
FARRELL & CO NEW YORK N Y	03030750	FERRIS & CO WASHINGTON D C	03000550

NAME	ID. NO.	NAME	ID. NO.
FIDELITY FINANCIAL INVESTORS FAIRVIEW N J	03121720	FINANCIAL PLANING CO INC WILMINGTON DEL	03084010
FIDELITY INTERNATIONAL SECURITIES CORP SANTA BARBARA CALIFORNIA	03132790	FINANCIAL PLANNING SERVICE MIDLAND TEXAS	03077680
FIDELITY MANAGEMENT CO RICHMOND VA	03072840	FINANCIAL PLANNING SERVICES HAYWARD CALIF	03109980
FIDELITY SECURITIES & INVESTMENT CO INC ASBURY PARK N J	03036320	FINANCIAL PLANNING SERVICES INC GREAT FALLS, MONT.	03107010
FIDELITY SECURITIES CORP RICHMOND VIRGINIA	03147750	FINANCIAL PLANS INC DES MOINES IOWA	03136700
FIDUCIARY ASSOCIATION BOSTON 5 MASS	03001100	FINANCIAL PROGRAMS INC DENVER COLO	03028560
FIDUCIARY PLANNING INC BIRMINGHAM MICH	03124730	FINANCIAL SECURITY CORP LONG BEACH CALIFORNIA	03085740
FIELD DANFORTH CO SAN FRANCISCO 4 CALIF	03032720	FINANCIAL SECURITY PLANNERS INC SEATTLE WASHINGTON	03133270
FIELD RICHARDS & CO CINCINNATI OHIO	03138630	FINANCIAL SECURITY PLANNING SAN JUAN PUERTO RICO	03078000
FIELDS H J & CO NEW YORK N Y	03051360	FINANCIAL SECURITY PLANNING CORP OF ALABAMA MIAMI FLORIDA	03135070
FIELDS JACKSON CARVIN JACKSONVILLE FLORIDA	03133890	FINANCIAL SECURITY PLANNING CORP OF FLORIDA MIAMI FLORIDA	03175080
FIEETH C W & CO KANSAS CITY 13 MO	03040980	FINANCIAL SECURITY PLANNING CORP OF GEORGIA MIAMI FLORIDA	03135090
FILER ALVIN V & CO INC NEW YORK N Y	03103400	FINANCIAL SERVICE CORP OF AMERICA ATLANTA GEORGIA	03137720
FILER SCHMIDT & CO INC NEW YORK NEW YORK	03119420	FINANCIAL SERVICE INVESTMENT CORP ATLANTA GEORGIA	03137990
FILOR BULLARD & SMYTH NEW YORK N Y	03000870	FINANCIAL SERVICES CO TULSA OKLAHOMA	03131380
FIN PLAN INVESTMENTS INC SAINT DAVIDS PENNSYLVANIA	03141450	FINANCIAL SERVICES CO NEW YORK, N.Y.	03091930
FINANCE SECURITIES INC HONOLULU IS, HAWAII	03110410	FINANCIAL SURVEYS INC LAKEWOOD COLO	03130440
FINANCIAL AGENCIES INC CLEVELAND OHIO	03137540	FINANCIAL TREND INC HONOLULU HAWAII	03132380
FINANCIAL CONSULTANTS INC BOSTON MASSACHUSETTS	03141540	FINKLE & CO NEW YORK, N.Y.	03093940
FINANCIAL DISTRIBUTORS INC WASHINGTON D C	03140420	FINSEC OF CALIFORNIA MIAMI FLORIDA	03131000
FINANCIAL ESTATE PLANNING PITTSBURGH PA	03108570	FINSEC OF PENNSYLVANIA INC MIAMI FLORIDA	03139030
FINANCIAL INVESTORS OF WESTCHESTER DOBBS FERRY NEW YORK	03128020	FINSTCO INC NEW YORK NEW YORK	03145080
FINANCIAL MANAGEMENT CORP SEATTLE WASHINGTON	03012540	FIRESTONE SECURITIES CORP REVERLY HILLS CALIFORNIA	03146320
FINANCIAL METHODS INC MINNEAPOLIS MINNESOTA	03142770	FIRST ALABAMA SECURITIES INC MONTGOMERY, ALA.	03079330
FINANCIAL OPPORTUNITIES INC LOS ANGELES CALIFORNIA	03134150	FIRST ALBANY CORP ALBANY 7 N Y	03020180
FINANCIAL PLANNERS INC BOSTON MASSACHUSETTS	03135720	FIRST AMERICAN CORP SOUTHFIELD MICHIGAN	03134070
FINANCIAL PLANNING ASSOCIATES BERKELEY CALIF	03129580	FIRST AMERICAN SECURITIES CORP SPRINGFIELD ILLINOIS	03144090
FINANCIAL PLANNING ASSOCIATES INC DUMONT NEW JERSEY	03144260	FIRST ARIZONA CORP PHOENIX ARIZONA	03146990
FINANCIAL PLANNING CO WANTAGH NEW YORK	03142450		

NAME	ID. NO.	NAME	ID. NO.
FIRST BERGEN CORP PARAMUS, N.J.	03073170	FIRST INVESTORS MANAGERNT CO INC NEW YORK N Y	03081700
FIRST BOSTON CORP NEW YORK, N.Y.	03004220	FIRST INVSTORS OF GEORGIA INC SAVANNAH GA	0128420
FIRST BUFFALO CORP BUFFALO N Y	03102100	FIRST MANHATTAN CO NEW YORK, N.Y.	03104380
FIRST CALIFORNIA CO INC SAN FRANCISCO CALIF	03009320	FIRST MID-CONTINENT SECURITIES CORP OKLAHOMA CITY OKLAHOMA	03140710
FIRST CENTRAL BOND CORP COLUMBUS OHIO	03130880	FIRST MIDSTATE INC BLOOMINGTON ILLINOIS	03115750
FIRST CITIZENS INVESTMENT CO SANTA ROSA CALIF	03126340	FIRST MIDWESTERN FINANCIAL PLANNING CO MINNEAPOLIS MINN	03088790
FIRST CITY SECURITIES CORP TEMPLE, TEXAS	03111390	FIRST MONROE CORP ROCHESTER NEW YORK	03141700
FIRST CO SILVER SPRING MARYLAND	03142280	FIRST MONTICELLO SECURITIES CORP ELLENVILLE, N.Y.	03117000
FIRST COLORADO INVESTMENTS INC COLORADO SPRINGS COLO	03128560	FIRST MULTIFUND ADVISORY CORP NEW YORK NEW YORK	03135270
FIRST COLUMBUS CORP COLUMBUS OHIO	03070270	FIRST MUTUAL PLANNING CORP FOREST HILLS N Y	03085110
FIRST COMMONWEALTH CAPITAL INVESTMENT CORP RICHMOND VIRGINIA	03146470	FIRST NEBRASKA SECURITIES CORP LINCOLN NEBR	03099240
FIRST CONTINENTAL SECURITIES INC DALLAS TEXAS	03121700	FIRST NEBRASKA SECURITIES INC LINCOLN NEBR	03085190
FIRST DAYTON CORP DAYTON OHIO	03126490	FIRST NEW ENGLAND SECURITIES CO INC SOUTHBRIDGE MASS	03102970
FIRST DENVER SECURITIES CORP DENVER COLORADO	03143770	FIRST NEW JERSEY SECURITIES CO INC ASSURY PARK N J	03023960
FIRST DETROIT SECURITIES CORP DETROIT, MICH.	03123130	FIRST NORTHEAST SECURITIES INC NEW YORK, N.Y.	03042580
FIRST DEVONSHIRE CORP BOSTON MASSACHUSETTS	03137490	FIRST NORTHWEST CO ST PAUL MINN	03127070
FIRST EASTERN INVESTMENT CORP RED BANK, N.J.	03043560	FIRST OF MICHIGAN CORP DETROIT MICH	03002340
FIRST EQUITIES OF KENTUCKY LOUISVILLE, KY.	03102560	FIRST OF TEXAS INC HOUSTON TEXAS	03131960
FIRST EQUITY CORP BOSTON MASSACHUSETTS	03138150	FIRST OHIO SECURITIES CO COLUMBUS OHIO	03102940
FIRST FIDELITY INVESTMENT & BOND CO ADILENE TEXAS	03089350	FIRST PHILADELPHIA CORP NEW YORK NEW YORK	03075570
FIRST FINANCIAL FUNDING SECURITIES CORP OF AMERICA WEST SPRINGFIELD MASSACHUSETTS	03143080	FIRST PRUDENTIAL CORP NEW YORK N Y	03115450
FIRST GENERAL CORP TULSA OKLAHOMA	03146480	FIRST REPUBLIC CORP HERRICK N Y	03061840
FIRST GOTHAM INVESTORS PLANNING CORP BROOKLYN, N.Y.	03116400	FIRST REPUBLIC SECURITIES INC WACO TEXAS	03140530
FIRST HANOVER CORP NEW YORK, N.Y.	03123260	FIRST SECURITIES CO PROVIDENCE R I	03053530
FIRST HUNTINGTON CO HUNTINGTON N VA	03028280	FIRST SECURITIES CO OF CHICAGO CHICAGO ILL	03009240
FIRST INVESTMENT PLANNING CO WASHINGTON D C	03069410	FIRST SECURITIES CO OF HILD LTD HILO HAWAII	03080710
FIRST INVESTMENT SAVINGS CORP BIRMINGHAM ALA	03049410	FIRST SECURITIES CO OF KANSAS INC WICHITA 2, KANS.	03005130
FIRST INVESTORS CORP NEW YORK NEW YORK	03138910	FIRST SECURITIES CO OF MANITOWOC INC MANITOWOC, WISC.	03091850
		FIRST SECURITIES CORP OF NORTH CAROLINA DURHAM NORTH CAROLINA	03144420

NAME	ID, NO.	NAME	ID, NO.
FIRST SECURITY INVESTMENT CORP CEDAR RAPIDS IOWA	03117900	FLUSS JACOB A BROOKLYN 1, N.Y.	03099070
FIRST SIERRA CORP SAN FRANCISCO CALIF	03096450	FML FUNDS DISTRIBUTION CO PHILADELPHIA PENNSYLVANIA	03137910
FIRST SOUTHEASTERN CO COLUMBUS, GA.	03060220	FOGARTY FRANK J & CO PHILADELPHIA 2 PA	03017310
FIRST SOUTHWEST CO DALLAS TEXAS	03015230	FOLEY THOMAS F STATEN ISLAND NEW YORK	03107670
FIRST THEATER INVESTING SERVICE INC NEW YORK, N.Y.	03090660	FOLGER NOLAN FLEMING K CO INC WASHINGTON 5, D.C.	03037350
FIRST VIRGINIA MANAGEMENT & RESEARCH CORP RICHMOND VA	03129750	FONCHHEIMER PAUL NEW YORK N Y	03059360
FIRST WASHINGTON CO ST LOUIS MO	03129140	FONCHHEIMER PAUL L & CO NEW YORK N Y	03032730
FIRST WASHINGTON CORP SEATTLE 1 WASH	03034180	FORD FRANK D CO SPOKANE WASHINGTON	03147320
FIRST WILLIAM STINEY SECURITIES INC NEW YORK NEW YORK	03148600	FORDSON CO DALLAS TEXAS	03118490
FIRST WILLISTON CORP FLUSHING N Y	03113770	FORDMST FUNDING CORP NEW ROCHELLE NEW YORK	03143590
FIRSTAMERICA BOND & MORTGAGE CO FORT WORTH TEXAS	03138750	FORNA JOHN SECURITIES INC BROOKLYN NEW YORK	03144270
FISCHL GABOR AKIVE BROOKLYN NEW YORK	03143340	FORREST & CO BUFFALO N Y	03067300
FISH C A ASSOCIATES INC GRESHAM ORE	03087220	FORRESTAL EMMET & CO INC NEW YORK, N.Y.	03095560
FISH EDWARD A NASHVILLE TENNESSEE	03141880	FORRESTER INVESTMENT BROKERS INC TACOMA, WASH.	03113080
FISHER L C CO INC SHEFFIELD ALABAMA	03109350	FORT WAYNE SECURITIES CORP FORT WAYNE 2 IND	03000760
FISHER WILLIAM CO NEW YORK N Y	03004070	FOSTER & ADAMS NEW YORK N Y	03008930
FITTS WILMA CO HONOLULU, HAWAII	03074710	FOSTER & CO GREENWICH CONN	03131310
FITZGERALD COWEN & ROBERTS INC TULSA OKLA	03117680	FOSTER & MARSHALL INC SEATTLE, WASH.	03104840
FITZGERALD T H & CO NAUGATUCK, CONN.	03078180	FOSTER BROTHERS WEBER & CO TOLDO 4 OHIO	03034210
FLAX J CLAYTON & CO INC SPRINGFIELD, MASS.	03096870	FOSTER DYKEMA CABOT & CO INC BOSTON, MASS.	03117130
FLEET & CO LA JOLLA CALIF	03080980	FOUNDERS MUTUAL DEPOSITOR CORP DENVER COLO	03015550
FLEISCHER RICHARD & CO LOS ANGELES 28 CALIF	03021020	FOUR SQUARE SECURITIES CORP SYRACUSE N Y	03130560
FLEMING ROBERT INC NEW YORK NEW YORK	03138940	FOURDEE PLANNING CORP BOSTON, MASS.	03115730
FLEMING WILLIAM TERRELL PENSACOLA, FLA.	03121330	FOURSQUARE CORP BOSTON MASS	03096370
FLORENHAFT L & CO INC NEW YORK N Y	03111840	FOWLER & ROSENAU NEW YORK N Y	25030009
FLODD & PETERSON INVESTMENT CO GREELEY COLORADO	03131230	FOX JOHN P MOUNT VERNON N Y	03066760
FLORIDA FIRST SECURITIES-INC JACKSONVILLE FLORIDA	03071700	FOX P F & CO INC NEW YORK, N.Y.	03052580
FLORIDA GROWTH CO PALM BEACH FLORIDA	03092690	FOX RAFF & CO INC SEATTLE WASHINGTON	03136450
FLOTRON KARRHOFF & CO INC ST LOUIS MO	03119440	FOX SECURITIES CO NEW YORK NEW YORK	03147480

NAME	ID. NO.	NAME	ID. NO.
FRANCES L A LTD NEW YORK N Y	03116350	FREESF S E & CO EL PASO TEXAS	03119060
FRANCIS CHARLES J CU SAN RAFAEL CALIF	03131360	FREIBERG ALBFAT M & CO BALA-CYNYWD PENNSYLVANIA	03133830
FRANCIS CHARLES J CO THE SAN RAFAEL CALIFORNIA	03143320	FREIDAY & CO NEW YORK N Y	25031000
FRANCOEUR & CO CHICAGO ILL	03020190	FREILER WILLIAM RAYMOND TAYVINGTON 2 N J	03081340
FRANK E J ASSOCIATES INC PITTSBURGH PENNSYLVANIA	03143100	FRENCHER HARRY NEW YORK N Y	03122400
FRANK EISING & CO NEW YORK NEW YORK	03139930	FRENCHT & CO MIAMI SHORES, FLA.	03117980
FRANK GOLDMAN AND DRAKE INC EAST URANGE NEW JERSEY	03141910	FRIED ALBFAT & CO NEW YORK N Y	03013370
FRANK ROBERT S NEW YORK NEW YORK	03062350	FRIEDLANDER PERCY & CO NEW YORK NEW YORK	03044890
FRANKEL WILLIAM V & CO INC NEW YORK N Y	03037840	FRIEDMAN MAXFIELD M SAN FRANCISCO CALIF	03002100
FRANKENBUSH & CO NEW YORK N Y	03043000	FRIES DAVE & ASSOCIATES FREMONT CALIF	03127670
FRANKLIN & CO NEW YORK NEW YORK	03029370	FRISBIE LEONARD A CU INC NEW CANAAN CONNECTICUT	03113500
FRANKLIN BOND & SHARE FRANKLIN, IND.	03107320	FROHMERTZ P F CO WESTFIELD, N.J.	03115850
FRANKLIN CO MALONE NEW YORK	03115650	FRONTIER PLANNING SERVICES LTD NEW YORK N Y	03128930
FRANKLIN DISTRIBUTORS INC NEW YORK, N.Y.	03050890	FRONTIER SECURITIES CO INC TOPEKA KANSAS	03130940
FRANKLIN SAMUEL B & CU INC LOS ANGELES, CALIF.	03118250	FROST JOHNSTON READ & SMITH INC CHARLESTON S C	03070220
FRANKLIN SECURITIES INC COLUMBUS OHIO	03118910	FROWIN MURRAY & CO DETROIT MICH	03104750
FRASER GEORGE CORWIN SECOND PORTLAND OREGON	03133980	FUCHS RICHARD PAYNE DENVER COLORADO	03139030
FRASIER CLINT NEW YORK N Y	03077140	FUESS CARL ANDREW MEMPHIS TENNESSEE	03133730
FRAZEE OLIFIERS & CO INC NEW YORK N Y	03105970	FULLER ERNEST M & CO NEW YORK N Y	03076710
FRAZER JOE K CO SAN ANTONIO 9, TEXAS	03066590	FULLER S D & CO NEW YORK NEW YORK	03109000
FRAZIER LAURENCE & CO INC NEW YORK N Y	03041380	FULLER S D & CO INC NEW YORK NEW YORK	03140180
FREBEN INC FULLERTON, CALIF.	03096680	FULLER WILLIAM A & CO CHICAGO, ILL.	03089900
FREDERICK & CO NEW YORK NEW YORK	03140390	FULTON OMER M PHILADELPHIA T PA	03007130
FREDERICK & CO INC MILWAUKEE, WISC.	03089700	FULTON REID & STAPLES INC CLEVELAND, OHIO	03095790
FREDERICKS C L CO INC PHILADELPHIA, PA.	03117490	FULTON SECURITIES INC EAST MEADOW NEW YORK	03107090
FREEMING & CO CHICAGO, ILL.	03042810	FUND AMERICAN INVESTMENT MANAGEMNT CO SAN FRANCISCO CALIF	03101840
FREELAND CO LEMA OHIO	03119840	FUND CONSULTANTS INC PALM BEACH FLORIDA	03134040
FREEMAN & CO NEW YORK N Y	03016600	FUND DISTRIBUTORS INC NEW YORK NEW YORK	03136050
FREEMAN M W & CO FORT MYERS, FLA.	03118320	FUND DISTRIBUTORS INC FORT MAYNF 2, IND.	03094180

NAME	ID. NO.	NAME	ID. NO.
FUND FOR MUTUAL DEPOSITORS INC NEW YORK NEW YORK	03148230	GAGE WILFY & CO INC SPRINGFIELD MASS	03003460
FUND INVESTMENTS INC PHOENIX ARIZ	03129200	GARDNER & CO INC NEW YORK N Y	03012700
FUND MANAGEMENT & RESEARCH CORP JACKSON MISSISSIPPI	03119500	GALDI SECURITIES CORP NEW YORK N Y	03049020
FUND MANAGEMENT CO DALLAS TEXAS	03039300	GALF & CO WILMINGTON 3, DEL.	03079110
FUND MANAGEMENT CO SALT LAKE CITY UTAH	03141850	GALE ALAN SECURITIES INC SURFSIDE FLORIDA	03134120
FUND MANAGEMENT INC LINCOLN NEBRASKA	03117600	GALE WILLIAM P GLENDALE CALIFORNIA	03121780
FUND RESEARCH & MANAGEMENT INC BOSTON, MASS.	03056320	GALEBA DONALD H LITTLETON COLORADO	03144030
FUND SECURITIES & CO INC STATEN ISLAND NEW YORK	03147670	GALLAGHER H D & CO INC JERSEY CITY N J	03065770
FUND SECURITIES INC STATEN ISLAND N Y	03051490	GALLANT MURPHY & LOPES NEW BEDFORD MASSACHUSETTS	03140040
FUND UNDERWRITERS SAN DIEGO CALIFORNIA	03139940	GALLEHER & CO INC RICHMOND VA	03030840
FUNDAMENTAL PLANNING CORP NEWARK N J	03122750	GALLON SECURITIES CO INC NEW YORK NEW YORK	03137880
FUNDAMENTAL SERVICE CORP ATLANTA GEORGIA	03141920	GAMBLE ALDEN SECURITIES INC MINNEAPOLIS MINN	03129270
FUNDED FUTURE INC SPRINGFIELD, ILL.	03106630	GANDR & CO NEWARK N J	03012390
FUNDS FOR PEOPLE INC MILLSTONE N J	03124160	GARDINIER & CO LITTLETON, COLO.	03074840
FUNDS FOR SECURITY INC PHOENIX ARIZONA	03138290	GARDNER ASHER & CO INC ENCINO CALIFORNIA	03142820
FUNDS INC HOUSTON TEXAS	03007520	GARDNER HARRY R AKRON 8, OHIO	03084510
FUNDS INC CHARLESTON W VA	03099960	GARDNER R H & CO ENCINO CALIFORNIA	03136190
FUNDS INTERNATIONAL LTD LOS ANGELES CALIFORNIA	03141890	GARDNER SECURITIES CORP NEW YORK NEW YORK	03145120
FUNDS INVESTMENT CO DALLAS 29 TEXAS	03049220	GARDNER W A & CO NEWARK 2 N J	03049710
FUNK HUBBS & HART INC SAN ANTONIO TEXAS	03014050	GARFIELD & CO NEW YORK N Y	03004550
FUNK JAMES O CO TULSA OKLAHOMA	03066240	GARNES F L & CO HUNTINGTON WEST VIRGINIA	03030850
FUREY INC REDDING BEACH CALIFORNIA	03132210	GARRARD ROBERT M & CO SAGINAW MICHIGAN	03137790
FURMAN ALESTER G CO GREENVILLE SOUTH CAROLINA	03005610	GARRETT ROBERT & SONS INC BALTIMORE, MD.	03121050
FURTHNEY INVESTMENT CO CHEYENNE WYOMING	03140340	GARRISON REYNOLD CARL SOUTHFIELD MICHIGAN	03135660
FUSZ SCHMELZLE & CO INC ST. LOUIS 1, MO.	03044070	GARTMAN ROSE & FEUER NEW YORK N Y	03040130
FUTURE DIVERSIFIED PLANNERS NEW YORK N Y	03076910	GARVIN HANTEL CORP NEW YORK N Y	03129090
FUTURE PLANNING SECURITIES SHERMAN OAKS CALIFORNIA	03119990	GARWIL SECURITIES CO MANTON NEW YORK	03138640
GEM INVESTMENT CENTER INC INDIANAPOLIS, IND.	03112120	GARY A J & CO INC NEW YORK NEW YORK	03148240
GAETA LEONARD GEDRGE STATEN ISLAND, N.Y.	03119330	GATES CARTER & CO INC GULFPORT MISSISSIPPI	03011500

NAME	ID. NO.	NAME	ID. NO.
GATEWAY STOCK & BOND INC PITTSBURGH 41 PA	03076840	GEORGE G C SECURITIES INC SPOKANE, WASH.	01116820
GATTI ALEXANDER P FRESNO CALIF	03128150	GEORGESON & CO NEW YORK, N.Y.	03028600
GAULTNEY JERRY LEE ALBUQUERQUE NEW MEXICO	03134660	GEORGIA INTERNATIONAL SECURITIES CO ATLANTA GEORGIA	01118740
GAUTHIER ALBERT D INVESTMENTS LOS ANGELES 14, CALIF.	03039010	GEORGIA TIMBERLANDS MANAGEMENT CO WARM SPRINGS GA	03119070
GAY BRYAN P BROOKLYN NEW YORK	03116470	GERARD SECURITIES INC NEW YORK NEW YORK	03132730
GEARY & GEARY LAKE FOREST ILLINOIS	03066280	GERDING G B CO COLLINGSVILLE ILL	01116830
GEE HUGH ASSOCIATES INC SAN FRANCISCO CALIFORNIA	03109610	GERHARDT DUNNING & SAINT CHARLES INC CINCINNATI OHIO	03133030
GEHR FRED K JR BROOKLYN NEW YORK	03101050	GERHART ARTHUR J ROCKY RIVER 16, OHIO	03043540
GEIST GED CO PORTLAND OREGON	03111190	GERHART DANIEL GEORGE ALLENTOWN PA	03036940
GELDZAMLER MENDEL BROOKLYN 19 N Y	03009720	GERMAN AMERICAN SECURITIES CORP BOSTON MASSACHUSETTS	03142190
GEMMA SECURITIES INC WORCESTER, MASS.	03122720	GERSTLEY SUNSTEIN & CO INC PHILADELPHIA PENNSYLVANIA	03140030
GENERAL AMERICAN LIFE INSURANCE CO SAINT LOUIS MISSOURI	03145940	GETTYS INVESTMENTS INC TOLEDO OHIO	03139980
GENERAL BOND & SHARE CO DENVER COLO	03073030	GETZENDANNER & CO SPRINGFIELD ILLINOIS	03144300
GENERAL EQUITY CORP TROY NEW YORK	03139510	GEYER A T & CO NEW YORK N Y	03040670
GENERAL FUNDS CORP PHILADELPHIA PA	03129620	GHEL INVESTMENTS INC SAN FRANCISCO CALIFORNIA	03139210
GENERAL FUNDS INC CORAL GABLES, FLA.	03098760	GHANIS & CO INC NEW YORK N Y	03094930
GENERAL INVESTMENT CO FARGO NORTH DAKOTA	03140300	GIANNI C V & CO NEW YORK N Y	03007180
GENERAL INVESTMENT CO MEMPHIS TENNESSEE	03137500	GIBB E H & CO INC NEW YORK N Y	03110760
GENERAL INVESTMENT SALES CORP MILWAUKEE, WISC.	03098330	GIBSON LOUIS EDWARD FORT WORTH TEXAS	03135010
GENERAL INVESTORS PLANNING INC DES MOINES IOWA	03082820	GIESSING M P & CO FARMINGTON MD	03011250
GENERAL PLAN INC FORT WORTH TEXAS	03133150	GIFFORD HAROLD J INVESTMENT SECURITIES LITTLE FALLS N Y	03059740
GENERAL SECURITIES CORP BELLE FOURCHE SOUTH DAKOTA	03061160	GILBERT ADAM E NEW YORK N Y	03082700
GENERAL SECURITIES CORP DALLAS, TEXAS	03122730	GILDER R & CO INC NEW YORK NEW YORK	03141660
GENERAL SECURITIES INC BOSTON MASS	03130960	GILMART A D & CO INC NEW YORK NEW YORK	03132660
GENERAL SECURITIES MANAGEMENT CO WEST COVINA CALIFORNIA	03143760	GILLEN & CO NEW YORK N Y	03073770
GENERAL UNITED SERVICES INC DES MOINES IOWA	03140320	GILLIGAN WILL & CO NEW YORK N Y	03076580
GENESEE VALLEY SECURITIES CO INC ROCHESTER N Y	03050000	GILLY EDWARD JOSEPH NEW ORLEANS LOUISIANA	03145310
GENKINGER J H & CO NEW CASTLE PENNSYLVANIA	03004610	GILMAN CHARLES H & CO INC PORTLAND, MAINE	03013450
GEOPFRION ROBERT & GELINAS INC MONTREAL 1, P. O., CANADA	03056750	GILMORE & CO DENVER 2 COLO	03022530

NAME	ID. NO.	NAME	ID. NO.
GILUTIN HOWELL & CO INC DALLAS TEXAS	03126280	GOLD WEISSMAN CO NEW YORK N Y	03048390
GINSBERG FRANK & CO INC NEW YORK NEW YORK	03086840	GOLDBERG CARL L PANTUCKET, R.I.	03123230
GINSBERG ALBERT HIBBING, MINN.	03077130	GOLDBERG H L & CO NEW YORK N Y	25037006
GINTEL & CO NEW YORK NEW YORK	03148030	GILDREAG LEONARD DOUGLASTON 07, N.Y.	03041000
GIOVANNOTTO FRANK UOHINICK NEW YORK NEW YORK	03142810	GOLDMAN & CO NEW YORK, N.Y.	03018510
GLASER VOGEL & LU ST. LOUIS, MO.	03081130	GOLDMAN HORTON A YONKERS N Y	03004320
GLASHEEN GABRIEL J INVESTMENTS NEWPORT NEWS, VA.	03084060	GOLDMAN SACHS & CO NEW YORK NEW YORK	03001290
GLASS ARTHUR ASSOCIATES INC NEW YORK N Y	03087260	GOLDMAN SACHS INTERNATIONAL CORP NEW YORK NEW YORK	03130840
GLASS HARDY F NEW YORK N Y	03048870	GOLDSMITH & ADLER NEW YORK N Y	03091380
GLASTON & CO NEW YORK N Y	03024880	GOLDSMITH HORACE W NEW YORK NEW YORK	03145130
GLEIGH D CO NEW YORK N Y	03021050	GOLDSTEIN JERRY D & CO INC NEW YORK NEW YORK	03146330
GLENN HART INC NEW YORK NEW YORK	03142950	GOLDSTEIN M F & CO INC NEW YORK N Y	03131190
GLENWOOD SECURITIES INC EAST ORANGE NEW JERSEY	03138450	GOLDWATER VALENTE FITZPATRICK & SCHALL NEW YORK, N.Y.	03058370
GLICKENHAUS & CO NEW YORK N Y	03096140	GOMBERG SAMUEL NEW YORK N Y	03091650
GLICIA ASSOCIATES INC NEW YORK NEW YORK	03141080	GOOD HENRY S & CO BALTIMORE MARYLAND	03142800
GLIDDEN MORRIS & CO NEW YORK NEW YORK	03021060	GOODRINO & CO NEW YORK NEW YORK	03003010
GLOBUS INC NEW YORK N Y	03081860	GOODELL CLARENCE A CO SYRACUSE 2, N.Y.	03030930
GLORE FORGAN WILLIAM R STAATS INC NEW YORK N Y	03120420	GOODKIND & CO INC NEW YORK N Y	03081720
GLOVER & CO LTD POMPANO BEACH FLA	03087130	GOODRICH INVESTMENT CORP BEVERLY HILLS CALIFORNIA	03115220
GLOVER & MACGREGOR INC PITTSBURGH PA	03030900	GOODWIN M H & CO HOUSTON TEXAS	03076400
GLYNN J A & CO ST. LOUIS, MO.	03058400	GORDON & CO INC ROSTON MASSACHUSETTS	03143470
GODFREY HAMILTON TAYLOR & CO INC NEW YORK, N.Y.	03074910	GORDON CHARLES SECURITIES LTD HONOLULU 14 HAWAII	03070100
GODNICK & SON INC NEW YORK CITY N Y	03097130	GORDON KULMAN CO NEW YORK N Y	03071630
GOEBEL MILLER VINCENT INVESTMENTS INC BISMARCK NORTH DAKOTA	03081700	GORDON MELVIN CO ROSTON MASSACHUSETTS	03065680
GOELZER & CO INC INDIANAPOLIS INDIANA	03147070	GORDON S W CO ROCKAWAY PARK 94 L I N Y	03021070
GOFFE CARKNER BLACKFORD SEC CORP KANSAS CITY MISSOURI	03095620	GORDON SAMUEL M LTD NEW YORK N Y	03081870
GOLD FREEDMAN & CO NEW YORK N Y	03021800	GORDON WALLACE & HOWARD INC SANTA ANA CALIFORNIA	03137770
GOLD JULIUS JAY FINANCIAL PLANNING PALO ALTO, CALIF.	03102190	GOREY WALTER C CO SAN FRANCISCO CALIFORNIA	03145910
GOLD WEISSMAN & FRANKEL INC NEW YORK N Y	03097870	GOREY WALTER C CO SAN FRANCISCO CALIF	03131350

NAME	ID. NO.	NAME	ID. NO.
GORMIGAN & KORB INC FOND DU LAC, WISC.	0308310	GRAY SECURITIFS CORP READING, PA.	03110240
GOSB REHART & COMPANY INC LOS ANGELES CALIFORNIA	03143750	GRAYBILL FLOYD F GLENDALE 8, CALIF.	03088500
GOTTFURCH INVESTMENT SECURITIES BEVERLY HILLS, CALIF.	03080160	GREAT COMMONWEALTH SECURITIFS CORP FAIR LAWN N J	03114250
GOTTSACKER INVESTMENT CO INC SHEBOYGAN, WISC.	03074990	GREAT EASTERN INVESTMENT CO QUEENS VILLAGE L I M Y	03051810
GOULD J W & CO NEW YORK N Y	03019560	GREAT NORTHERN INVESTORS CORP NEW YORK N Y	03127850
GOULD MORTON IRWIN ARLINGTON VIRGINIA	03120850	GREAT NORTHERN SECURITIFS CORP CLEVELAND OHIO	03146800
GOULD W E & CO CHICAGO 3 ILL	03001780	GREAT OAKS INVESTMENT CO KANSAS CITY MISSOURI	03134940
GOVITS GUS B & CO SAN JOSE 12, CALIF.	03100230	GREAT REPUBLIC SECURITIFS CORP VANCOUVER WASHINGTON	03135170
GP SECURITIFS CO CASPER WYO	03129070	GREAT SOUTHWEST DISTRIBUTION CORP BATON ROUGE, LA.	03080480
GRACE CANADIAN SECURITIFS INC NEW YORK N Y	03050590	GREAT WALL SECURITY PLANNING INC NEW YORK CITY N Y	03125350
GRACEFFO & HAZARD INC SYRACUSE NEW YORK	03139420	GREAT WEST LIFE ASSURANCE CO THE WINNIPEG MANITOBA CANADA	03119900
GRACER DAVID CO NEW YORK N Y	03113640	GREATER MIAMI FINANCIAL CORP MIAMI 32 FLA	03079580
GRADISON W D & CO CINCINNATI, OHIO	03001700	GREEN ERB & CO CLEVELAND 14, OHIO	03094670
GRADY BERNALD & CO INC NEW YORK, NEW YORK	03026000	GREEN GRDINA & CO CLEVELAND OHIO	03099100
GRAHAM & KING INC BOSTON, MASS.	03066470	GREEN JAMES B & CO SACRAMENTO, CALIF.	03071340
GRAHAM LOVING & CO NEW YORK N Y	03131750	GREEN ROBERT & CO INC LOS ANGELES CALIF	03118130
GRAHAM M L & CO SAN FRANCISCO CALIFORNIA	03141380	GREEN WALTER C ASSOCIATFS INC NEW ORLEANS LOUISIANA	03139840
GRAHAM REGINALD E NEW MAVEN OOH	03012720	GREENBERG LESTER MILTON BEVERLY HILLS CALIFORNIA	03147400
GRAND CENTRAL DISTRI-FUND INC NEW YORK NEW YORK	03139700	GREENBURG H A & CO NEW YORK NEW YORK	03137080
GRANDE & CO INC SEATTLE WASH	03002820	GREENE & CO NEW YORK N Y	03007070
GRANET GILBERT KENNETH NEW YORK NEW YORK	03050260	GREENE & GREENE NEW YORK N Y	03126320
GRANGER & CO NEW YORK N Y	03014490	GREENE & LADD DAYTON 2 OHIO	03001410
GRANGER SEEMAN CO INC NEW YORK N Y	03126820	GREENE D F & CO SAN FRANCISCO, CALIF.	03043240
GRANITE SECURITIFS CORP NEW YORK NEW YORK	03136780	GREENE DAVID J & CO NEW YORK N Y	03042400
GRANOFF & CO ASBURY PARK N J	03124870	GREENE LANCASTER & NORVIN INC NEW YORK N Y	03017190
GRANT JONES & CO INC WASHINGTON, D.C.	03097190	GRENE M E ASSOCIATES LTD TORONTO 12, ONTARIO, CANADA	03121040
GRANTHAM J T INVESTMENTS JACKSON MISS	03040550	GREENFIELD ARNOLD NEW YORK N Y	03113790
GRAY AMONETTE & CO SAN FRANCISCO, CALIF.	03114460	GREEMAN & CO NEW YORK NEW YORK	03115090
GRAY N Y INVESTMENTS INC MIDLAND MICH	03121900	GREENSHIELDS & CO INC NEW YORK N Y	03039860

NAME	ID. NO.	NAME	ID. NO.
GREENWOOD M T & CO PHILADELPHIA PA	03017690	GUDE WINMILL & CO NEW YORK N Y	03034600
GREGORY & SONS NEW YORK N Y	03034110	GUERIN J P & CO LOS ANGELES, CALIF.	03122930
GRENADEK SECURITIES LTD TORONTO CANADA	03121660	GUIDUCCI LOUIS NEW YORK N Y	03109530
GRIER DON L & CO INC MILWAUKEE WISC	03127410	GULF COAST SECURITIES (MPP) VICTORIA TEXAS	03142690
GRIFFIN SECURITIES CORP BEVERLY HILLS CALIFORNIA	03144220	GULF SECURITIES CO HOUSTON TEXAS	03147389
GRIFFITH M INC UTICA N Y	03022590	GUNDLING INVESTMENT CO CEDAR RAPIDS IOWA	03021840
GRINES HOOPER & MESSER INC LOS ANGELES CALIFORNIA	03147350	GUST NERAB & CO INC SANTA ANA CALIFORNIA	03145550
GRIMM & DAVIS INC NEW YORK NEW YORK	03141090	H & C SALFS CO INC NEW YORK NEW YORK	03138140
GROSS & CO INC LOS ANGELES 17, CALIF.	03010800	H G & O SECURITIES CORP SPOKANE WASHINGTON	03145370
GROSS CHAIM BROOKLYN N Y	03131470	HAAS CARL F SECURITIES CORP BROOKLYN NEW YORK	03139640
GROSS RICHARD G PHILADELPHIA 9, PA.	03083360	HAAS G C & CO NEW YORK N Y	03032790
GRUSVENDOR SECURITIES INC NEW YORK, N.Y.	03100270	HAAS S & CO INC NEW YORK NEW YORK	03144580
GROVES & CO SAVANNAH GA	03038590	HAAS SECURITIES CORP NEW YORK N Y	03130120
GROWERS INVESTMENT CO LIHUE HAWAII	03051750	HABERMAN BROTHERS NEW YORK N Y	03058510
GROWTH PROGRAMS INC NEW YORK, N.Y.	03103720	HACK PHILIP F & CO NEW ORLEANS 12, LA.	03085870
GROWTH SECURITIES LTD SALEM ILL	03107890	HACKETT & CO INC BURLINGTON, VT.	03093270
GRUBER ISIDORE BAYONNE, N.J.	03113030	HACKETT BURTIS HACHATTON JR WYOMISSING PENNSYLVANIA	03145380
GRUBER P J & CO INC NEW YORK, N.Y.	03038690	HAGAMAN SECURITIES CO JACKSON MISS	03040410
GRUMMAN G S & ASSOCIATES INC BOSTON MASS	03118410	HAGAN INVESTING CORP UTICA, N.Y.	03038950
GRUNBERG BELLOMO KAUFER HAFF & CO EAST ORANGE, N.J.	03101850	HAGEDORN F C & CO INC MANILA, PHILIPPINES	03072630
GRUNTAL & CO NEW YORK, N.Y.	03001540	HAGEN INVESTMENTS INC OKLAHOMA CITY OKLAHOMA	03132080
GRUPP OTTO THIRD PHILADELPHIA PENNSYLVANIA	03140470	HAIGHT & CO INC WASHINGTON D C	03084270
GRUSS & CO NEW YORK NEW YORK	03011390	HAIGNEY DAYTON & CO INC BOSTON 10 MASS	03030660
GRUSS OSCAR & SON NEW YORK N Y	03007770	HALBERT HARGROVE & CO LONG BEACH CALIF	03018530
GUARANTY BOND & SECURITIES CORP NASHVILLE, TENN.	03107850	HALCYON FUND DISTRIBUTING CO INC NEW YORK NEW YORK	03142040
GUARDIAN LIFE INSURANCE COMPANY OF AMERICA THE NEW YORK NEW YORK	03140120	HALDEN & CO NEW YORK N Y	03030150
GUARDIAN SECURITIES CO BUFFALO NEW YORK	03132100	HALL & HALL INC FRESNO CALIF	03125920
GUARDIAN SECURITIES INC SAN LEANDRO CALIF	03129330	HALL CLAIR S CO INC THE CINCINNATI OHIO	03141320
GUARDSMAN EQUITY CORPORATION DES MOINES IOWA	03133430	HALLE & STIFGLITZ NEW YORK N Y	03020270

NAME	ID. NO.	NAME	ID. NO.
HALLE WILL S & CO CLEVELAND OHIO	03141350	HAR LEE INVESTMENT CO NEW YORK NEW YORK	03071480
HALLGARTEN & CO NEW YORK N Y	03017710	HARBRO INVSTORS CO NEW YORK NEW YORK	03104320
HALLDWELL SULZBERGER JENKS KIRKLAND & CO PHILADELPHIA 7, PA.	03010570	HARDIN E L & CO INC SALISBURY M C	03021110
HALLS & CO INC CLEVELAND OHIO	03127510	HARDY & CO NEW YORK N Y	03034570
HALSEY STUART & CO INC CHICAGO, ILL.	03007810	HARDY HARDY & ASSOCIATES INC SARASOTA FLA	03059170
HALSTED DONALD M & CO INC JERSEY CITY N J	03017960	HARGRAVE 'S HOPKINS INC ROCHESTER NEW YORK	03029040
HALSTED JAMES E NEW YORK, N.Y.	03036970	HARKNESS & HILL INC BOSTON, MASS.	03032710
HAMBRECHT & QUIST SAN FRANCISCO CALIFORNIA	03138580	HARLEY HAYDON & CO INC MADISON, WISC.	03026020
HAMBURG JED L & CO INC NEW YORK NEW YORK	03146670	HARLEYSVILLE SECURITIES CORP HARLEYSVILLE PENNSYLVANIA	03142480
HAMBURG JED L CO NEW YORK, N.Y.	03099630	HARPER WILLIAM P & SON & CO SPATTLE 1 WASH	03035290
HAMERSHLAG BORG & CO NEW YORK NEW YORK	03024040	HARPSTER RODNEY M LOS ANGELES CALIFORNIA	03135930
HAMIL & CO INC ROCHESTER, N.Y.	03080130	HARRINGTON LEROY JOHN JR EL PASO TEXAS	03135060
HAMILTON INVESTMENT SERVICE INC MIAMI BEACH FLA	03125340	HARRIS & PARTNERS INC NEW YORK N Y	03034040
HAMILTON INVESTORS PLAN INC JAMAICA 32, N.Y.	03073020	HARRIS HARRY WILLIAM SR ROANOKE VIRGINIA	03132650
HAMILTON MANAGEMENT CORP DENVER COLORADO	03127120	HARRIS SECURITIES CORP NEW YORK N Y	03058390
HAMILTON SECURITIES CO DALLAS TEXAS	03065100	HARRIS UPHAM & CO INC NEW YORK N Y	03125880
HAMMERS INC ABERDEEN S D	03122410	HARRISON & AUSTIN INC SOUTH BEND IND	03006440
HAMPTON INVESTMENT CO PRATT KANSAS	03055910	HARRISON & CO CINCINNATI OHIO	03014640
HANCOCK JOHN DISTRIBUTORS INC BOSTON MASSACHUSETTS	03139950	HARRISON H A & CO PINEHURST N C	03125640
HANCOCK SECURITIES CORP NEW YORK, N.Y.	03072610	HARRISON RICHARD A INC SACRAMENTO CALIF	03056840
HANCOCK WIM S SHELBY OHIO	03022620	HARRISON W LEWIS CINCINNATI OHIO	03133870
HAND MAURICE E NEW HARTFORD NEW YORK	03142700	HARRIS LANE & DEAM INC MIAMI FLA	03129510
HANDEL LUNDBORG & CO PORTLAND, ORE.	03024910	HART S I OKLAHOMA CITY, OKLA.	03110910
HANIFEN IMHOFF & SANFORD INC DENVER 2, COLO.	03080140	HART WHITLEY & CO WILMINGTON DEL	03095500
HANLON GORDON B & CO BOSTON 9 MASS	03019570	HARTZMARK & CO INC CLEVELAND OHIO	03108200
HANOVER SECURITIES CO NEW YORK N Y	03020290	HARVARD INVESTORS CORP WYNNWOOD PENNSYLVANIA	03138270
HANS ALBERT A BROOKLYN N Y	03124010	HARVEST MANAGEMENT CORP PHILADELPHIA PENNSYLVANIA	03142660
HANSEN RICHARD EUGENE HONOLULU HAWAII	03147600	HARVEY KLEIN & CO INC ST. LOUIS, MO.	03026030
HANSON & HANSON NEW YORK N Y	03002290	HARVEY MARCH & CO INC RIVER EDGE NEW JERSEY	03117700

NAME	ID. NO.	NAME	ID. NO.
HASSENPLU MORGAN & CO KANSAS CITY, MO.	03119470	HELLER STANLEY & CO NEW YORK, N.Y.	03701110
HATTIER & SANFORD NEW ORLEANS LA	03082010	HENDALE SECURITIES CO UNIONDALE L.I., N.Y.	03111690
HAUSER L V CO TACOMA, WASH.	03009970	HENDERSON BOSTON CO INC SAN FRANCISCO CALIF	03131850
HAUSER STANLEY M AMBLER, PA.	03034540	HENDERSON BROTHERS INC NEW YORK NEW YORK	25041002
HAUTZ & ENGEL INC NEW YORK N Y	03033410	HENDERSON D R CO GLENDORA, CALIF.	03081680
HAVENER SECURITIES CORP NEW YORK N Y	03079390	HENDERSON EDWARD F & CO INC NEW YORK, N.Y.	03074390
HAVENFIELD CORP NEW YORK N Y	03129910	HENDERSON HARRISON & CO NEW YORK N Y	03014120
HAVILAND SECURITIES CORP NEW YORK NEW YORK	03143320	HENDERSON DRIFILLY & CO NEW YORK N Y	03126170
HAWLEY & CO INC DENTON TEXAS	03119370	HENDERSON R J & CO INC LOS ANGELES, CALIF.	03777540
HAY & CO ZANESVILLE, OHIO	03071690	HENDERSON T C & CO INC DES MOINES, IOWA	03014290
HAY FALES & CO INC NEW YORK N Y	03034620	HENDERSON WEIDENBORNER CO ST. PAUL 1, MINN.	03106880
HAYDEN STONE INC NEW YORK N Y	03100020	HENDRICKS C H & CO INC HOUSTON TEXAS	03131930
HAYES WILLIAM D LEDONIA, N.J.	03123950	HENDRIX MOHR & HEAD INC BIRMINGHAM, ALA.	03117220
HAYMAN SEYMOUR & CO NEW YORK N Y	03026040	HENLEY CO SOUTH ORANGE, N.J.	03070330
HAZLETT BURT & WATSON WHEELING W VA	03031000	HENNER BROTHERS CHICAGO 4, ILL.	03099680
HEANEY MICHAEL J & CO NEW YORK N Y	03031840	HENNIG CARL M INC OSHKOSH WISCONSIN	03145090
HEATH & CO BERKELEY CALIF	03127140	HENRY BERNARD INVESTMENT CO KILGORE TEXAS	03063050
HEATHCOTE E W & CO EL PASO 2, TEXAS	03053620	HENRY MARK & CO ATCHISON, KANSAS	03010320
HEATHER AGENCY INC DOVER DELAWARE	03109740	HENSBERY & CO ST. PETERSBURG, FLA.	03075530
HEFREN ARTHUR & CO INC PITTSBURGH 22, PA.	03106460	HENTZ H & CO NEW YORK, N.Y.	03008090
HEGEMAN & CO STAMFORD, CONN.	03079480	HERBERT YOUNG & CO INC NEW YORK, N.Y.	03074020
HEIMLICH SIMON W INC ELIZABETH, N.J.	03070910	HERITAGE SECURITIES CORP INC THE MCPHERSON KANSAS	03147320
HEIN & CO INC NEW YORK NEW YORK	03146700	HERITAGE SECURITIES INC COLUMBUS OHIO	03125000
HEITNER CORP ST LOUIS MO	03125460	HERMAN DENNIS L & CO INC VALLEY STREAM NEW YORK	03145590
HELBIG G FREDERIC & CO INC HUDSON NEW YORK	03136340	HERMAN L W & CO NEW YORK NEW YORK	03144020
HELPER BROUGHTON BUCKWALTER INC NEW YORK NEW YORK	03143190	HERNBLOM C H WICHITA FALLS TEXAS	03003250
HELFRICK & CO WESTVILLE N J	03067940	HEROLD WILSON & GERALD NEW YORK, N.Y.	03118330
HELLENIC PENSION CONSULTANTS INC JAMAICA N Y	03124510	HERRON NORTHWEST INC SEATTLE, WASH.	03121630
HELLER & MEYER EAST ORANGE N J	03003080	HERSHEY CORP THE CHICAGO ILLINOIS	03142230

NAME	ID. NO.	NAME	ID. NO.
HERSHOWITZ & CO NEW YORK NEW YORK	03143330	HILLIARD J J R W L LYONS & CO LOUISVILLF KY	03036960
HERTZ WARNER & CO NEW YORK N Y	03116870	HILLMAN INVESTMENT CORP WASHINGTON D C	03127060
F HERZFELD & STERN NEW YORK N Y	03008140	HILLS & HILLS LANCASTER, PA.	03119610
HERZFELD & STERN SECURITIES CORP NEW YORK N Y	03086990	HILLSIDE SECURITIES CORP LONG ISLAND CITY NEW YORK	03118150
HERZIG P H & CO NEW YORK N Y	03066900	HINKLE E F & CO INC PORTLAND OREGON	03089610
HERZOG & CO INC NEW YORK N Y	03104630	HINSCH CHARLES A & CO INC CINCINNATI 2 OHIO	03009970
HESS GRANT REMINGTON INC PHILADELPHIA PA	03078140	HINTON GLFNN E INVESTMENTS INC SEATTLE 4, WASH.	03116330
HESS HOMER I INC WOOSTER OHIO	03060070	HINTON JON S R CO INC SEATTLE WASH	03090070
HESS INVESTMENT CO QUINCY, ILL.	03018980	HIP INVESTORS INC PEEKSKILL, NEW YORK	03069840
HESS WILLIAM L INC CHERRY HILL, N.J.	03120310	HIRASHIMA SECURITIFS LOS ANGELES, CALIF.	03127340
HETTLEMAN & CO NEW YORK NEW YORK	03122840	P HIRSCH & CO NEW YORK N Y	03030360
HEWITT REEDER & CO TACOMA, WASH.	03121690	HIRSCH & CO INC NEW YORK N Y	03098250
HIBLER JULIUS L & CO LOS ANGELES, CALIF.	03106960	HIRSCH MAC ASSOCIATES INC NEW YORK, N.Y.	03121890
HICKEY & CO CHICAGO 3, ILL.	03009620	HIRSCHFELD STERN MOYER & ROSS INC NEW YORK NEW YORK	03137180
HICKEY P H & CO NEW YORK NEW YORK	03119960	HIRSCHLER WILLIAMS & CO SAN MARTINO CALIFORNIA	03149460
HIGGINS ASSOCIATES BOSTON MASS	03092660	HIRSHON ROTH & CO NEW YORK N Y	25042003
F HIGGINS BAROON & CO INC DULUTH, MINN.	03019570	HOCHMAN HERMAN J & CO HOUSTON 2, TEXAS	03060360
HIGHAM WHITRIDGE SECURITIES INC RING OF PRUSSIA PENNSYLVANIA	03138120	HODGDON & CO BOSTON MASS	03035090
HIGHLAND MUTUAL FUNDS CO PHOENIX ARIZONA	03132530	HOFEINGHOFF L W & CO INC CINCINNATI OHIO	03017970
HIGHLAND SECURITIES CORP TARRYTOWN N Y	03129180	HOELSCHER SHEPHERD & CO SAN FRANCISCO CALIF	03036210
HILL & CO CINCINNATI OHIO	03007240	HOFFMAN CARROL & CO BOSTON MASS	03001420
HILL CRAWFORD & LANFORD INC LITTLE ROCK ARKANSAS	03018600	HOFFMAN DANIEL NEW YORK N Y	03067660
HILL DAVID B & CO INC PENNDL PA	03131020	HOGAN HARRY & CO INC BOSTON MASS	03087620
HILL HOYT G & CO INC NASHVILLE TENNESSEE	03139800	HOLDEN & CO BOSTON MASSACHUSETTS	03137930
HILL SAMUEL INC NEW YORK NEW YORK	03141760	HOLDING CLARENCE M & CO RICHMOND 19, VA.	03102780
HILL SAMUEL SECURITIES CORP NEW YORK NEW YORK	03149250	HOLLOWAY & WILLIFORD INC MEMPHIS TENN	03124050
HILL THOMPSON MAGID & CO INC NEW YORK N Y	03037610	HOLMES & CO MADERA, CALIF.	03054730
HILLER MORTON CO OMAHA NEBR	03003590	HOLMES BURTON CALHOUN COLUMBUS OHIO	03134360
HILLGREN & CO SIOUX FALLS S D	03059070	HOLT & CO SAN FRANCISCO, CALIF.	03116970

NAME	ID. NO.	NAME	ID. NO.
HOLT & COLLINS SAN FRANCISCO, CALIF.	03119240	HOWARD ASSOCIATES INC WALLA WALLA WASHINGTON	03137450
HOLT SECURITIES INC SAN FRANCISCO CALIFORNIA	03137360	HOWARD CAPLTON INC BELLE HARBOR NEW YORK	03147510
HOLTZINGER KIM INVESTMENTS YAKIMA WASH	03060560	HOWARD JOHN D & CO BALTIMORE MD	03002660
HOLWAY DARWIN A LOS ANGELES CALIFORNIA	03147540	HOWARD HEIL LABRUISSE FRIEDRICHS & CO NEW ORLEANS LA.	03005090
HOMCO SECURITIES INC APPLETON WISCONSIN	03139630	HOWE BARNES & JOHNSON INC CHICAGO ILLINOIS	03136570
HONTRUST SECURITIES INC HONOLULU HI, HAWAII	03108360	HOWELLS A M & CO INC OAKLAND CALIFORNIA	03147810
HOOD JOHN & CO INC NEW YORK N Y	03108890	HOWETT INC GREENVIEW ILL	03130980
HOOPER KIMBALL INC BOSTON MASSACHUSETTS	03018620	HUANG PAUL K P NEW YORK NEW YORK	03042610
HOPE J S & CO INC SCRANTON PENNSYLVANIA	03137900	HUB PLANNING INC BRONX, N.Y.	03094700
HOPKINS HARBACH & CO LOS ANGELES, CALIF.	03029560	HUBERT WARREN GFNGFMBRE DELRAY BEACH FLA	03043840
HOPP & CO PASSAIC N J	03041910	HUBSHMAN MANAGEMENT CORP NEW YORK N Y	03130040
HOPPER SOLIDAY BROUKE SHEKIDAN INC PHILADELPHIA PA	03027710	HUDSON CEYLON E INC WOOSTER, OHIO	03103220
HOPPIN BROTHERS & CO NEW YORK NEW YORK	03026090	HUDSON R S & CO INC DALLAS 1 TEXAS	03035100
HOPPIN BROTHERS SECURITIES CORP NEW YORK NEW YORK	03138590	HUDSON VALLEY PLANNING INC POUGHKEEPSIE N Y	03098740
HORIZON MANAGEMENT LORP NEW BRITAIN CONNECTICUT	03143180	HUFF RUBERT M & CO LOS ANGELES CALIF	03055620
HORIZON SECURITY PLANNING INC HUNTINGDON VALLEY PENNSYLVANIA	03142330	HUGHBANKS INC SEATTLE WASH	03031030
HORNBLLOWER & WEEKS HEMPHILL NOYES NEW YORK, N.Y.	03002130	HUGHES ALAN F INC SCHENECTADY N Y	03126390
HORNE INVESTMENTS CO THE CAMBRIDGE MASS	03131710	HUGHES ROBERT W DENVER COLORADO	03067050
HORNE ROBERT M VALLEJO, CALIF.	03100210	HULBERT & HULBERT INC ONEONTA, OTSEGO COUNTY, N.Y.	03102670
HORNE T C & CO INC CAMBRIDGE MASSACHUSETTS	03146750	HULL A CO NEW YORK NEW YORK	03142640
HORNER BARKSDALE & CO LYNCHBURG, VA.	03076180	HULNE APPELEGATE & HUMPHREY INC PITTSBURGH PA	03031680
HORDWITZ ALBERT ASSOCIATES NEW YORK CITY NEW YORK	03138780	HUMMER WAYNE & CO CHICAGO 90 ILL	03004840
HORTON GEIB & ORourke INC SPOKANE WASH	03128700	HUNDRED MANAGEMENT CORP DENVER COLO	03128390
HOUBEN & CO WASHINGTON D C	03109210	HUNTER ANDERSON CO COEUR D'ALENE IDAHO	03130480
HOUGH WILLIAM R & CO ST. PETERSBURG, FLA.	03107020	HUNTER PRUGH BALL & DAVIDSON INC DAYTON 2 OHIO	03024130
HOUSE OF SECURITIES CO BALTIMORE MD	03066030	HUNTER WELLINGTON ASSOCIATES JERSEY CITY 2 N J	03012710
HOUSEHOLD INVESTORS CO JACKSON HEIGHTS 72, N.Y.	03057930	HURT WALTER F TULSA, OKLA.	03022650
HOUSTON SECURITIES CORP HOUSTON 2, TEXAS	03089040	HUSS INVESTMENT SERVICE AUBURN, N.Y.	03103740
HOUSTON M G & CO QUINCY, ILL.	03011160	HUSTON HAROLD H & CO SEATTLE 4 WASH	03002720

NAME	ID. NO.	NAME	ID. NO.
HUSTON WILLIAM PEROT ROCKFORD ILLINOIS	03135030	ILS PLANNING CO MASSAPEQUA N Y	03090220
HUTCH W RAY HARTFORD CONNECTICUT	03133650	IMPERIAL FINANCIAL SERVICES INC MINNETONKA MINNESOTA	03000770
HUTCHINS MIXTER & PARKINSON INC BOSTON MASSACHUSETTS	03133440	IMPERIAL SECURITIES INC MINNETONKA MINN	03127130
HUTCHINSON SHOCKEY ERLEY & CO CHICAGO & ILL	03057610	IMPERIAL SECURITIES INC DALLAS TEXAS	03137550
HUTCHINSON WILLIAM E CO INC SAN FRANCISCO CALIFORNIA	03134170	INA SECURITY CORP PHILADELPHIA PENNSYLVANIA	03136590
HUTCHISON M W & CO SPRINGFIELD ILL	03128200	INA TRADING CORP PHILADELPHIA PENNSYLVANIA	03139200
HUTTON E F & CO INC NEW YORK N Y	03092020	INCENTIVE GROUP INC LOS ANGELES CALIFORNIA	03144440
HUTTON W E & CO NEW YORK N Y	03007320	INCENTIVE INVESTMENTS INC NEWPORT BEACH CALIFORNIA	03146600
HYANS CORP NEW YORK N Y	03106440	INCOME BUILDERS INC HOUSTON TEXAS	03097970
HYDER & CO ALBUQUERQUE NEW MEXICO	03113690	INCOME DEVELOPMENT CORP OF AMERICA YONKERS N Y	03101260
HYDINGER & CO INC BIRMINGHAM, ALA.	03111160	INCOME FUNDS INC NEW HAVEN CT CONN	03014550
HYER & LOWE INC NEW YORK NEW YORK	03147910	INCOME INVESTMENT CO LONGVIEW, WASH.	03089800
HYMAN SAMUEL L & CO INC BAYSIDE 60, N.Y.	03088180	INCOME INVESTMENT PLANS INC SALT LAKE CITY UTAH	03142030
HYMSON & CO INC NEW YORK NEW YORK	03140850	INCOME PLANNING ASSOCIATES INC CORAL GABLES, FLA.	03069810
I C S INC PROVIDENCE RHODE ISLAND	03139330	INCOME PLANNING CORP ALLENTOWN PA	03068040
I M A MANAGEMENT INC CLEVELAND OHIO	03144150	INDEPENDENT BROKER & DEALER'S ASSOC INC NEW YORK NEW YORK	03146530
I P C CORP NEW YORK N Y	03004730	INDEPENDENT INVESTOR SERVICES INC JAMAICA NEW YORK	03118030
ICAHN & CO INC NEW YORK NEW YORK	03138650	INDEPENDENT MUTUAL FUND BROKERS FREMONT CALIFORNIA	03141800
ICHINOSE E T & CO LTD HONOLULU HAWAII	03132810	INDEPENDENT SECURITIES CORP PASADENA CALIF	03131570
IDEAL PLANNING CO INC BRONX, N.Y.	03102030	INDEPENDENT SECURITIES OF OHIO INC STOW OHIO	03148150
IDS SECURITIES CORP MINNEAPOLIS MINN	03129500	INDUSTRY SAVINGS PLANS INC DENVER COLORADO	03124000
IFC SECURITIES CORP NEW YORK N Y	03109440	INGALLS & SNYDER NEW YORK N Y	03018650
IFD INC NEW YORK N Y	03127930	INGLOTT WEISS & CO INC LONG BEACH CALIFORNIA	03145420
IFS INVESTORS SERVICE INC COLLEGE PARK MARYLAND	03144560	INGRAM L H & CO NEW YORK N Y	03021170
IFI SECURITIES CORP NEW YORK NEW YORK	03046100	INGRAM HILLET & CO ELLSWORTH, MAINE	03076230
ILLINOIS AGRICULTURAL SERVICE LU BLOOMINGTON ILL	03112610	INGRAM STAN & ASSOCIATES SANTA ANA CALIFORNIA	03141710
ILLINOIS CO INC CHICAGO, ILL.	03019590	INLAND AMERICAN SECURITIES INC SAN BERNARDINO CALIF	03122570
ILLINOIS CONTINENTAL INVESTORS PLANNING CHICAGO ILL	03129590	INLAND SECURITIES CO INC HOMERWOOD ILLINOIS	03110180
ILLINOIS SECURITIES CO JOLIET ILL	03002730	INSTITUTIONAL EQUITY CORP HOUSTON TEXAS	03144400

NAME	ID. NO.	NAME	ID. NO.
INSURANCE & SECURITIES INC SAN FRANCISCO CALIF	03073080	INVESTMENT FUND SERVICES INC CHARLOTTE 7, N.C.	03097000
INSURANCE INDUSTRIES UNDERWRITER INC ATLANTA GEORGIA	03141500	INVESTMENT HOUSE INC DAYTON 6, OHIO	03112990
INSURANCE SECURITIES COMPANY OF SPRINGFIELD INC SPRINGFIELD MISSOURI	03131830	INVESTMENT INCOME SERVICE INC LEWISTON IDAHO	03084030
INSURED INVESTMENTS AGENCY INDIANAPOLIS IND	03126070	INVESTMENT INDICATORS RESEARCH & MANAGEMENT INC SAN RAFAEL CALIFORNIA	03131210
INTERCONTINENTAL INVESTMENTS INC MIAMI FLORIDA	03147630	INVESTMENT MANAGEMENT & RESEARCH INC SAINT PETERSBURG FLORIDA	03137670
INTERFUNDING PLANS INC PRINCETON N J	03124570	INVESTMENT MANAGEMENT CORP SALT LAKE CITY UTAH	03088660
INTERNATIONAL ESTATE PLANNERS INC ENGLEWOOD, N.J.	03090310	INVESTMENT PLANNING ASSOCIATES INC CORSIKANA, TEXAS	03114450
INTERNATIONAL FUND SERVICES INC DENVER COLORADO	03132290	INVESTMENT PLANNING CO WOLF POINT MONT	03131530
INTERNATIONAL PLANNING CO NEW YORK NEW YORK	03134410	INVESTMENT PLANNING CO NEW MILFORD, N.J.	03077830
INTERNATIONAL SECURITIES CORP LOS ANGELES CALIF	03088290	INVESTMENT PLANNING CORP SACRAMENTO 21, CALIF.	03064060
INTERNATIONAL SERVICES MONTEREY CALIF	03117210	INVESTMENT PLANNING CORP ATLANTA GEORGIA	03139970
INTERSTATE SECURITIES CORP CHARLOTTE NORTH CAROLINA	03026120	INVESTMENT PLANNING CORP BALTIMORE, MD.	03086700
INTRA AMERICAN CO LTD NEW YORK N Y	03014520	INVESTMENT PLANNING INC DURUQUE IOWA	03126770
INVESTMENT SECURITIES INC WEST HARTFORD CONNECTICUT	03144430	INVESTMENT PROGRAMS INC OAKLAND CALIF	03130860
INVERNESS DISTRIBUTORS INC NEW YORK NEW YORK	03137200	INVESTMENT RESEARCH PLANS INC BEVERLY HILLS CALIFORNIA	03146000
INVESTAMERICA GROUP INC SAN ANTONIO, TEXAS	03119140	INVESTMENT SECURITIES CORP SALT LAKE CITY, UTAH	03073130
INVESTMENT & DEVELOPMENT ASSOCIATES SPRINGFIELD VIRGINIA	03130330	INVESTMENT SECURITIES CORP CHATTANOOGA, TENN.	03013170
INVESTMENT & INSURANCE AGENCY LONG BEACH CALIFORNIA	03133620	INVESTMENT SECURITIES INC CHEYENNE WYOMING	03142200
INVESTMENT & INSURANCE CONSULTANTS EL PASO TEXAS	03128190	INVESTMENT SERVICES DEPOSITOR CORP	03119490
INVESTMENT & SECURITIES INC WILMINGTON DELAWARE	03139520	INVESTMENT SERVICES INC BELVIDERE N J	03018680
INVESTMENT ADVISORS INC SAINT LOUIS MISSOURI	03146270	INVESTIGENIC SERVICES LOS ANGELES CALIFORNIA	03148070
INVESTMENT ASSOCIATES PHOENIX ARIZ	03128510	INVESTOR CENTERS INC HUNTINGTON STATION NEW YORK	03146900
INVESTMENT BROKERAGE CORP FARGO NORTH DAKOTA	03136030	INVESTOR SERVICE SECURITIES INC WASHINGTON D C	03077940
INVESTMENT CO LTD HONOLULU HAWAII	03057000	INVESTORS ADVISORY SERVICE TROY, N.Y.	03107930
INVESTMENT CORP OF NORFOLK NORFOLK 1 VA	03016930	INVESTORS CAPITAL SERVICES INTERNATIONAL CORP POMPANO BEACH FLORIDA	03147650
INVESTMENT CORP OF VIRGINIA NORFOLK, VA.	03090920	INVESTORS CO BROOKLYN 11, N.Y.	03079160
INVESTMENT CORPORATION OF VIRGINIA AFFIL NORFOLK VIRGINIA	03143440	INVESTORS DIVERSIFIED SERVICES INC MINNEAPOLIS, MINN.	02033007
INVESTMENT COUNSEL INC PHILADELPHIA 7 PA	03012420	INVESTORS EQUITY CO WOODLAND HILLS, CALIF.	03101920
INVESTMENT COUNSEL INTERNATIONAL INC PHILADELPHIA PA	03131740		

NAME	ID. NO.	NAME	ID. NO.
INVESTORS EQUITY SECURITIES CORP FULLERTON CALIFORNIA	03137330	J R RONALD CORP CLIFTON NEW JERSEY	03145580
INVESTORS FINANCIAL SERVICES INC LOS ANGELES CALIF	03131420	JEM EQUITY CORP NEW YORK NEW YORK	03141490
INVESTORS GROWTH CORP NEW YORK 30, N.Y.	03059110	JEL ENTERPRISES LA HABRA CALIF	03130780
INVESTORS IN AMERICA CO NEW YORK N Y	03096810	F JAB SECURITIES CO NEW YORK NEW YORK	03119110
INVESTORS INCOME PLANNING BROOKLYN 19, N.Y.	03095030	F JABLONSKI A I & CO CHICAGO ILLINOIS	03142510
INVESTORS INSTITUTIONAL SERVICES INC NEW ORLEANS, LA.	03118580	JACKSON S SMITH GASTONIA N C	03125100
INVESTORS MANAGEMENT SERVICES ODGEN, UTAH	03120020	JACLEN SECURITIES CORP MILLWOOD N Y	03116760
INVESTORS PLANNING CORP OF AMERICA NEW YORK N Y	03123740	JACOBS SIDNEY CO NEW YORK N Y	03016500
INVESTORS PLANNING SERVICES INC HONOLULU HAWAII	03126180	JACOBSON BFOSSINE & CO NEW YORK NEW YORK	03140110
INVESTORS SECURITIES CO TEMPLE TEXAS	03073120	JACQUEMDT & CO NEW YORK 5 N Y	03045500
INVESTORS SECURITY CU INC SUFFOLK, VA.	03121110	JAFF MUTUAL FUND AGENCY INC NEW YORK N Y	03084400
INVESTORS SECURITY CORP PITTSBURGH PA	03128160	JAFFEE & CO NEW YORK NEW YORK	03114720
INVESTORS SERVICE BATTLE CREEK MICHIGAN	03132240	JAMES I CO INC MAYNE, N.J.	03103360
INVESTORS SERVICE CO MAPLEWOOD N J	03046800	JANNNEY BATTLES C F W CLARK INC PHILADELPHIA 2, PA.	03004620
INVESTORS SERVICES INC SEATTLE WASHINGTON	03137620	F JAS INVESTORS BROKERAGE CORP BROOKLYN NEW YORK	03145960
INVESTORS SHARES CO DENVER N J	03046900	JASON MACDONALD & CO INC NEW YORK NEW YORK	03143650
INVESTORS SYNDICATE LIFE INSURANCE & ANNUITY CO MINNEAPOLIS MINNESOTA	03141240	F JEFFERIES & CO INC LOS ANGELES, CALIF.	03119760
INVESTORS TRADING CO CHICAGO ILLINOIS	03147620	JEFFERSON PLANNING CORP NEW YORK N Y	03091840
IOWA SECURITIES CO WATERLOO, IOWA	03098500	JEN SECURITIES INC DETROIT MICHIGAN	03147710
IPC MANAGEMENT CORP NEW YORK NEW YORK	03143930	JENCKES & CO INC READING PA	03119320
IRE INVESTORS CORP LEVITOWN, N.Y.	03067460	JENKINS W L & CO LUBBOCK, TEXAS	03053780
ISHIKAWA GARY & CO INC HONOLULU 1 HAWAII	03087890	JENNINGS WILLIAM & CO INC TENAFLY, N.J.	03082120
ISI SALES CORP SAN FRANCISCO CALIFORNIA	03144770	F JESUP & LAMONT NEW YORK N Y	03016470
ISLAND PLANNING CORP OF AMERICA NEW YORK N Y	03092720	JESUP & LAMONT INC NEW YORK, N.Y.	03119380
ISLAND SECURITIES CO DAVENPORT IOWA	03128950	JEUETHER EDWARD JR CO WESTFIELD N J	03116880
ISRAEL SECURITIES CORP NEW YORK N Y	03037110	JEWETT NEWMAN & CO NEW YORK N Y	03020360
ISRAEL W JAY WICHITA KANS	03010750	JNL EQUITY CORP INDIANAPOLIS INDIANA	03139790
ITTERLY WALTER INC HARRISBURG PA	03031700	JOANOW M A & CO INC YOUNGSTOWN OHIO	03143020
J A B SECURITIES CO INC NEW YORK NEW YORK	03132520	JOBIN G R INC TAMPA FLORIDA	03140630

NAME	ID. NO.	NAME	ID. NO.
JOEL MARTIN J & CO NEW YORK, N.Y.	03123110	JONES RENSBERGER CURRIER & CASS INC LOS ANGELES, CALIF.	03077300
JOHN MIKE JR INVESTMENT SECURITIES CO MONROE LA	03129970	JONES VICTOR R & CO BURRANK CALIFORNIA	03149170
JOHN STREET INVESTORS INC NEW YORK N Y	03080570	JONES WILLIAM H & CO LOS ANGELES CALIF	03094950
JOHNSON CHARLES T INC ST. PETERSBURG 10, FLA.	03103230	JORDAN J F CO BOSTON 10, MASS.	03020370
JOHNSON EDWARD L TRUSTEE LOS ANGELES 17, CALIF.	03104160	JOSEPH G M & ASSOCIATES ARLINGTON, VA.	03118140
JOHNSON ENTERPRISES CLIFTON N J	03046250	JOSEPH MELLEN C MILLER INC CLEVELAND 14 OHIO	03005920
JOHNSON GLENN H INC TOPEKA KANSAS	03144230	JOSEPH SEYMOUR GREAT NECK, N.Y.	03114870
JOHNSON M CLEON CO INC GALESBURG, ILL.	03082470	JOSEPHSON COMPANY NEW YORK CITY NEW YORK	03142730
JOHNSON HUGH & CO INC BUFFALO 3 N Y	03024150	JOSEPHTHAL & CO NEW YORK N Y	03005860
JOHNSON KEEN & CO PHILADELPHIA PA	03013010	JUDAH S A & CO INC SAN FRANCISCO CALIFORNIA	03140270
JOHNSON L G CO INC SYRACUSE, N.Y.	03095810	JUDSON C F & CO NEW YORK N Y	03023810
JOHNSON LANE SPACE SMITH & CO INC SAVANNAH, GA.	03007410	JUDY R L FINANCIAL MANAGEMENT SAN MATEO COUNTY CALIF	03129400
JOHNSON RICHARD G & CO SHREVEPORT LOUISIANA	03091420	JULIANO FRED C CO NORTH BERGEN, N.J.	03039080
JOHNSON ROLLIN M SEATTLE, WASH.	03007160	JUSTUS FRANCIS K JR SMITHVILLE MO	03007370
JOHNSTON & LUNGER NEW YORK N Y	03021870	K B CAPITAL CORP NEVERLY HILLS CALIFORNIA	03132470
JOHNSTON BROWN CO LOUISVILLE KY	03130030	K-M INVESTORS CORP NEW YORK NEW YORK	03146310
JOHNSTON H WILLIAM & CO SARATOGA SPRINGS N Y	03077620	KAHLMUS HUGHES & CO MERIDIAN, MISS.	03055110
JOHNSTON J B ASSOCIATES MAPLE SHADE N J	03127570	KAHN PECK & CO NEW YORK N Y	03124230
JOHNSTON LEMON & CO WASHINGTON D C	03029590	KAHN PERRY ELIZABETH N J	03022670
JOHNSTON WILLIAM R NIAGARA FALLS N Y	03094130	KAHNE INVESTORS INC SYRACUSE 15, N.Y.	03101380
JOLLEY LEX & CO ATLANTA, GA.	03118440	KAJOURAS ALEX & CO NEW YORK NEW YORK	03136420
JONATHAN FORBES INC NEW YORK, N.Y.	03115490	KALB VOORHIS & CO NEW YORK N Y	03037460
JONES & CO WASHINGTON D C	03132110	KALES KRAMER INVESTMENT CO DETROIT MICH	03031070
JONES BARNHILL YOKOM & ASSOCIATES NORTH HOLLYWOOD CALIFORNIA	03137950	KALLEN R J MIAMI BEACH FLA	03044950
JONES D FRANKLIN INVESTMENTS DALLAS TEXAS	03126800	KALMANSON WATTLES & CO MIAMI FLORIDA	03148200
JONES EDWARD D & CO ST LOUIS MO	03007590	KAMEN & CO NEW YORK N Y	03041750
JONES JUNE S CO PORTLAND ORE	03071110	KAMEN RICHARD L CHICAGO, ILL.	03121680
JONES KREEGER & CO WASHINGTON D C	03017730	KAMEN SOL JOSEPH WOODMERE NEW YORK	03143990
JONES PLANS INC KANSAS CITY 5, MO.	03078020	KANSAS CITY SECURITIES CORP KANSAS CITY MO	03124030

NAME	ID- NO.	NAME	ID- NO.
KAPLAN JOHN M & CO NEW YORK N Y	03044980	KEHDE THOMAS E. CO BOSTON MASSACHUSETTS	03144520
KAPLAN M & J CO INC NEW YORK N Y	03081740	KEIPER & ZIMM NEW YORK N Y	03119400
KAREN CO BROOKLYN NEW YORK	03146770	KEIZER & CO INC BOSTON 9, MASS.	03004530
KARNES C & CU HEMPSTEAD N Y	03038210	KELLER CO THE MONROE LOUISIANA	03136980
KARR A C & CO BEVERLY HILLS CALIF	03022680	KELLEY CLYDE A INC ENDICOTT NEW YORK	03143000
KARR JOHN CO EL PASO, TEXAS	03048830	G KELLY & MOREY INC DENVER, COLO.	03120750
KASCHE ERNEST P MILWAUKEE WISCONSIN	03133880	KELLY ANDRPHS & BRADLEY INC BROOKLYN NEW YORK	03140070
KASHNER V L INC BRONX NEW YORK	03146840	KELLY INVESTMENT SERVICES CORP BOSTON MASSACHUSETTS	03139770
KASHU SECURITIES CO INC LOS ANGELES CALIF	03113600	KELLY J F & CO NEW YORK NEW YORK	03141580
KASS KENNETH NEW YORK, N.Y.	03070240	KELLY MACBETH CO SALT LAKE CITY UTAH	03073960
KASTNER STUART P & ASSOCIATES INC LOS ANGELES 49 CALIF	03086450	KELLY W J & CO SUNBURY PENNSYLVANIA	03139910
KATCHER A CO NEW YORK, N.Y.	03104570	KEMBLE GEORGE FRANCIS FRESNO, CALIF.	03123280
KATZENBERG SOUR & CO NEW YORK N Y	03002140	KEMPER JOHN A & CO DAYTON OHIO	03105800
KAUFMAN BROTHERS CO NORFOLK VIRGINIA	03076360	KEMPER SECURITIES CORP DAYTON OHIO	03125040
KAUFMAN W E & CO DANVILLE ILL	03032810	KENNEDY CABOT & CO BEVERLY HILLS, CALIF.	03086210
KAUFMANN & CO NEW YORK N Y	03126310	KENNEDY INVESTMENT SERVICES ENGLAND ARKANSAS	03134550
KAUFMANN ALSBERG & CO NEW YORK N Y	03004560	KENNEDY INVESTMENTS INC TULSA, OKLA.	03104080
KAUFMANN JAY W & CO NEW YORK N Y	03106240	KENNEDY J A LTD TORONTO 1 CANADA	03125940
KAUFMANN M CO NEW YORK N Y	03050240	KENNEDY JOHN P & ASSOCIATES INC SEATTLE WASHINGTON	03139490
KAWANO H & CO INC HONOLULU, HAWAII	03051560	KENNEDY MORSE & CO INC HARTSDALE N Y	03130830
KAY RICHARDS & CO PITTSBURG, PA.	03005750	KENNEDY PETERSON INC HARTFORD CONN	03014430
KAYSER & CO BIRMINGHAM ALA	03068570	KENNEY D RAYMOND E CO NEW YORK N Y	03031090
KCC RESEARCH COMPANY LTD JAMAICA NEW YORK	03142390	KENNEDY MACARTHUR & CO DETROIT 26 MICH	03006340
KEARNS THOMAS J & SONS CO INC LONG ISLAND CITY NEW YORK	03135690	KENT SECURITIES CO GRAND RAPIDS MICH	03116170
KEATOR RANDALL M & CO RUMSON, N.J.	03073660	KENTUCKY CO LOUISVILLE, KY.	03011650
KEEFE BRUYETTE & WOODS INC NEW YORK N Y	03108880	KERRS & CO NEW YORK, N.Y.	03001450
KEENAN & CLAREY INC MINNEAPOLIS 2, MINN.	03018700	KERN SECURITIES CORP NEW YORK NEW YORK	03136900
KEENE J O & CO PORTSMOUTH VA	03036670	KERPEN F K & CO INC NEW YORK 17, N.Y.	03083030
KEENER SECURITIES INC HUNTINGTON WOODS MICH	03131810	KERPEN RUOOLPM W NEW YORK N Y	03062700

NAME	ID. NO.	NAME	ID. NO.
KERR & BELL LOS ANGELES 14, CALIF.	03026190	KING OLSON SURPRISE & CO ROCKFORD ILL	03031730
KESHISHIAN HARRY SHERMAN OAKS CALIFORNIA	03139350	KING WILLIAM P CUMBERLAND, MD.	03067500
KESKO & CO SALT LAKE CITY UTAH	03139770	KINGDOM BUILDING SERVICE CORP CORPUS CHRISTI TEXAS	03137560
KESLER HARRY CO LOS ANGELES 14, CALIF.	03119600	KINGSLEY WILLIAM CARLETON EAST MEADOW NEW YORK	03144460
KESSLER & CO SAN FRANCISCO CALIF	03124490	KINGSTON SECURITIES CORP KINGSTON NEW YORK	03138950
KETCHEL HYMAN NEW YORK N Y	03060060	KINNARD JOHN G & CO INC MINNEAPOLIS 2, MINN.	03119610
KEVIN SECURITIES CORP BROOKLYN NEW YORK	03140860	KINSLEY & ADAMS WORCESTER B MASS	03000580
KEY SECURITIES INC WICHITA KANSAS	03142590	KIPNIS H S & CO CHICAGO, ILL.	03121510
KEYES GORDON MUTUAL FUND AGENCY LEMON GROVE, CALIF.	03112110	KIPPEN & CO INC MONTREAL QUE CANADA	03129660
KEYSTONE ACCUMULATION PLANS INC BOSTON MASS	03099820	KIPPEN CANADA CORP NEW YORK NEW YORK	03132770
KEYSTONE CO OF BOSTON BOSTON MASS	03003950	KIRCHNER MADRE & CO DENVER COLO	03103160
KEYSTONE SECURITIES CORP ANDRVILLE TENN	03045620	KIRKPATRICK PETTIS SMITH POLIAN INC DRAHA, NEBR.	03024410
KEYSTONE STATE INVESTMENT SECURITIES INC PITTSBURGH, PA.	03122280	KIRKSTOE SECURITIFS CORP LOS ANGELES CALIF	03130670
KEYWOOD H ERNEST ROCKLAND MAINE	03001710	KIRVIN JOHN & CO NEW YORK NEW YORK	03134810
KIDDER PEARBODY & CO INC NEW YORK N Y	03048310	KISER COHN & SHUMAKER INC INDIANAPOLIS IND	03000470
KIDDER PEARBODY REALTY CORP NEW YORK N Y	03127800	KISIN S & CO NEW YORK NEW YORK	03128470
KILPATRICK A J & CO AUGUSTA GA	03108120	KLEIN & CO BAYONNE N J	03053330
KIMBALL & CROSS BOSTON, MASS.	03121910	KLEINER BELL & CO INC BEVERLY HILLS CALIF	03131970
KIMBALL H L & CO NEW YORK N Y	03032950	KLEINMAN DAVID T NEW YORK NEW YORK	03141270
KIMBALL PAUL C & CO CHICAGO 4 ILL	03026210	KLENKE OTTO H & CO LUSK WYO	03131130
KIMELMAN M & CO NEW YORK N Y	03130280	KLETZ MICHAEL G & CO INC NEW YORK N Y	03011460
KINCAID ELMER L JR COLONEL NEW YORK N Y	03111610	KLING SECURITIES INC ROCHESTER NEW YORK	03145610
KINE JOHN F SPokane WASH	03059850	KLS INVESTORS LTD JERICHO N Y	03110980
KINETIC CAPITAL INC DRANGE CALIFORNIA	03147340	KLUGH & CO INC ANDERSON S C	03049200
KING & CO GRAND RAPIDS 2, MICH.	03100800	KNAPPEL MORTON B NEW YORK N Y	03024580
KING & KING SECURITIES CORP NEW YORK N Y	03039980	KNICKERBOCKER INVESTORS INC PLAINVIEW NEW YORK	03142170
KING CHARLES & CO NEW YORK N Y	03044920	KNICKERBOCKER SECURITIES INC NEW YORK NEW YORK	03144690
KING HERBERT G NEW YORK N Y	03022710	KNICKERBOCKER SHARES INC NEW YORK N Y	03007290
KING INVESTMENT CO HAVELOCK N C	03131060	KNDAR & CO INC LAFAYETTE INDIANA	03128970

NAME	ID. NO.	NAME	ID. NO.
KNOWLTON FRANK & CO OAKLAND 12 CALIF	03035300	KRUSE M P & CO INC SANTA ANA CALIFORNIA	03145190
KOCH JOSEPH M RICHMOND VA	03064430	KRYSTOFF H S & CO HOLLYWOOD CALIF	03130710
KOERNIG WILLIAM INC HUNTINGTON N Y	03125660	KUCH H G & CO PHILADELPHIA PA	03007310
KOETHER & CO INC NEW YORK NEW YORK	03141460	KUHN LOEB & CO NEW YORK N Y	03026740
KOHLER WEEKS & CO NEW YORK NEW YORK	03133380	KUHN LOEB & CO INTERNATIONAL NEW YORK NEW YORK	03135970
KOHLMEYER & CO NEW ORLEANS LA	03000900	KULIK & CO JENKINTOWN PENNSYLVANIA	03104770
KOHUT ROBERT KENNETH CONCORD NEW HAMPSHIRE	03135840	KUREN & CONPER INC NEW YORK, N.Y.	03117960
KOKOMO SECURITIES CORP KOKOMO INDIANA	03134240	KURLAND SECURITIES PHILLIPSBURG, N.J.	03074880
KOLB HARRISON & CO BURBANK CALIF	03113630	KURZ LIEBOW & CO INC NEW YORK N Y	03085160
KOOK H & CO INC NEW YORK, N.Y.	03051980	KUSAY SECURITIES CO HARVEY, ILL.	03090750
KOONDEL BENJAMIN INC NEW YORK NEW YORK	03148060	KYLE JAMES SAN DIEGO CALIF	03124110
KOPMAN SOLOMON ASSOCIATES INC FLUSHING 65, N.Y.	03070150	L N C EQUITY SALES CORP FORT WAYNE INDIANA	03146850
KORDICH VICTOR & NEWFELD NEW YORK NEW YORK	03144380	L CM SECURITIES CO WEST ROXBURY MASSACHUSETTS	03115390
KORMENDI & CO INC NEW YORK N Y	03040820	LABINER MAX ASSOCIATES COLUMBUS GEORGIA	03072300
KOSEK ENNEST & CO CEDAR RAPIDS IOWA	03031110	LACEY BERT & CO DALLAS, TEXAS	03010520
KOSS & CO BROOKLYN NEW YORK	03114160	LACKEY FRANK D JR INC NEW YORK NEW YORK	03132300
KOSTERMAN MARK W INVESTMENTS CHICO CALIFORNIA	03139660	LACOP SECURITIES CORP PHILADELPHIA PENNSYLVANIA	03141220
KOTKIN LAWRENCE ASSOCIATES INC NEW YORK N Y	03114360	LADENBURG THALMANN & CO NEW YORK N Y	03009730
KRAMER ALBERT CORAL GABLES FLA	03123620	LADIN EDWARD S CO NEW YORK N Y	03015130
KRAMER FRED CO EDISON NEW JERSEY	03117660	LAFFERTY HARWOOD & CO MONTREAL PROVINCE OF QUEBEC CANAD	03128550
KRIDER EDMUND A & CO SAN JOSE CALIFORNIA	03144450	LAFFERTY R F & CO NEW YORK N Y	03000330
KRIEGER HENRY & CO NEW YORK N Y	03007620	LAGEMANN & SON QUINCY ILL	03003850
KRIEGER J J & CO INC NEW YORK, N.Y.	03077400	LAMUE INVESTMENT CO INC ST. PAUL 1, MINN.	03081570
KRINSKI CASSIO CO INC NEW YORK NEW YORK	03139620	LAI DLAW & CO NEW YORK N Y	03027400
KRISCH ROBERT F RIDGEMOOD N Y	03128450	LAI RD BISSELL & MEEDS WILMINGTON DEL	03000180
KROESER & CO INC ANAHEIM CALIF	03105160	LAI RD BISSELL & MEEDS INC WILMINGTON DEL	03126250
KROEZE MCLARTY & DUDDELESTON JACKSON MISS	03029620	LAI RD INC WILMINGTON 99, DEL.	03049830
KRUEGER WAYNE CHICAGO ILLINOIS	03136200	LAKELAND SECURITIES INC MADISON, WISC.	03080400
KRUGE WALTER C NEW YORK N Y	03078530	LAKEWOOD SECURITIES CORP LAKEWOOD, OHIO	03050500

NAME	ID. NO.	NAME	ID. NO.
LALONIBRE BRNEST J CO GLENDALE, N.I.	03091330	LAUVER & CO INC YORK COUNTY YORK PA	03124210
LAMSON BROS & CO CHICAGO ILL	03014160	✓ LAVAN & CO DENVER COLORADO	03141900
LAMULA JOHN INVESTORS INC NEW YORK N Y	03099600	LAYER JOHN J & CO INC MIAMI BEACH FLORIDA	03092630
LANDRY & CO BOSTON MASS	03128340	LAW JOHN SECURITIES INC COLORADO SPRINGS COLORADO	03147030
LANDSBERG & CO NEW YORK N Y	03122310	LAWRENCE & OVERALL & HARPER INC WASHINGTON D C	03107680
LANE STERLING & CO RICHMOND 30, VA.	03111000	LAWRENCE A HICKS NEW YORK N Y	03036290
LANE STERLING & CO INC RICHMOND VIRGINIA	03142340	✓ LAWRENCE CYRUS J & SONS NEW YORK N Y	03018740
LANEY PLANNING CO BROOKLYN 33, N.Y.	03063620	LAWSON & CO NEW YORK N Y	03016190
LANG & DADMAN INC BOSTON 9 MASS	03033480	LAWSON WILLIAMS & STERN INC SAN FRANCISCO CALIF	03124530
LANG HEENAN & CO DETROIT MICH	03026260	✓ LAZARD FRERES & CO NEW YORK, N.Y.	03025990
LANG J B & CO RAYONNE, N.J.	03109860	LEA & WHITTAKER SAN RAFAEL CALIFORNIA	03127590
LANGILL & CO CHICAGO 3, ILL.	03119880	LEA FINN & CO SAN RAFAEL CALIFORNIA	03142490
LANGLEY W C & CO NEW YORK, N.Y.	03009160	LEADER SECURITIFS CO INC NEW YORK NEW YORK	03145040
LAPHAM & CO NEW YORK 5 N Y	03021220	LEARNED GEORGE D & CO DENVER COLO	03112310
LAPHAM B H & CO SYRACUSE N Y	03004800	LEASON & CO INC CHICAGO 3 ILL	03037560
LAPIERRE S & INC TACOMA WASHINGTON	03138730	✓ LEAVENS JAMES A INC SHAMOKIN PA	03014870
LAPIN SAMUEL IRVINGTON 11, N.J.	03088390	LEAVITT SY & CO BEVERLY HILLS CALIF	03047630
LAPRADE LEBOWITZ MCCAULEY & ARNONE INC NEW YORK NEW YORK	03145860	LEAVITT WALTER L INVESTMENTS NASHUA, N.H.	03119170
LARKIN ERNETT A CO INC LOS ANGELES CALIFORNIA	03119220	LEAZES F J CO WORCHESTER MASS	03056800
LARNER SYKES CO INC WICHITA KAN	03130090	LEBLANG M H INC NEW YORK N Y	03127300
LARSON GUST A LONG BEACH CALIFORNIA	03136940	LEDOGAR HORNER CO CLEVELAND, OHIO	03029650
LARSON ROY A A FAIRBANKS, ALASKA	03076880	LEDONNE SALVATORE V & CO SAUGUS, MASS.	03096820
✓ LAS VEGAS SECURITIES INC LAS VEGAS NEVADA	03147060	LEE & DELRAY SCHENECTADY N Y	03128810
LASSER BROTHERS NEW YORK, N.Y.	03002410	LEE FINANCIAL PLANNING INC ATLANTIC CITY NEW JERSEY	03132280
LATHAM INVESTMENT CO JACKSON MISS	03128720	LEE GUNN OIL CORP HATTIESBURG MISSISSIPPI	03146950
LATUIS SECURITIES NASHUA NEW HAMPSHIRE	03142100	LEE JOHN JOSEPH SPOKANE WASHINGTON	03134720
LAUFER & CO NEW HYDE PARK NEW YORK	03137510	LEE M L & CO NEW YORK N Y	03082260
LAURAL INVESTORS CO WOODBURE N Y	03113040	LEF PATRICK & ASSOCIATES INC DUNBAR WEST VIRGINIA	03139370
LAURO & CO INC NEW YORK NEW YORK	03146360	LEE ROBERT EDWARD INC FARMINGDALE N Y	03124980

NAME	ID. NO.	NAME	ID. NO.
LEEDY WHEELER & ALLEMAN INC ORLANDO, FLA.	03030090	LEVINTHAL FRANK . WEST HEMPSTEAD L I N Y	03054140
LEEMALL ASSOCIATES INC NEW YORK N Y	03094350	LEVESQUE J L & BEAUBIEN L G & CO INC MONTREAL QUEBEC CANADA	03144040
LEFF BROTHERS NEW YORK N Y	03027430	LEVESQUE J L & BEAUBIEN L G LTD MONTREAL, P.Q., CANADA	03105840
LEFTWICH ROSS & CRISLER MEMPHIS TENN	03128240	LEVIEH GREENMALT & CO NEW YORK NEW YORK	03024620
LEGG & CO BALTIMORE MARYLAND	03005140	LEVINE SAMUEL BERNARD LAURELTON NEW YORK	03144000
LEGRAND & CO SAN FRANCISCO, CALIF.	03123890	LEVITT JOSPHH BRONX ST, N.Y.	03016380
LEHMAN BROTHERS NEW YORK N Y	03002460	LEVY MICHAELSON INC ROCHESTER NEW YORK	03138100
LEHMAN T H & CO INC NEW YORK NEW YORK	03083830	LEVY ROBERT J & CO INC CHICAGO ILL	03129640
LEICHT LOUIS ASSOCIATES INC BROOKLYN, N.Y.	03079670	LEWINSON & ASSOCIATES BEVFRLY HILLS CALIF	03131120
LEITH CO EAST MEADOW, L.I., N.Y.	03106410	LEWIS & CO JACKSON, MISS.	03034270
LEMP WILLIAM E & CO SHORT HILLS N J	03030120	LEWIS & STOEHR INC NEW YORK N Y	03022740
LENART MCHUGH & CO NEW YORK N Y	03021930	LEWIS BENJAMIN & CO INVSTMENT SECURITIE CHICAGO, ILL.	03092270
LENNON SECURITIES CORP WETHERSFIELD, CONN.	03012660	LEWIS JOHN R INC SEATTLE WASHINGTON	03013700
LENTZ NEWTON & CO SAN ANTONIO 5, TEXAS	03014390	LEWIS MCDANIEL & CO GREENSBORO, N.C.	03078740
LENZ INVESTMENTS INC DAYTON OHIO	03143370	LEWIS SECURITIES CO NEW YORK NEW YORK	03138890
LEONARD B J & CO DENVER COLORADO	03107100	LEWIS SECURITIES CO INC HANDOVER, N.H.	03119550
LEONARD BROTHERS INC NEW YORK N Y	03100670	LEWIS T W & CO INC NEW YORK N Y	03087060
LEONARD C W & CO PORTLAND MAINE	03024460	LEXINGTON SECURITY MANAGERS INC ENGLEWOOD, N.J.	03067490
LEONE & POLLACK NEW YORK N Y	03031780	LIBERTY INVESTORS BENEFIT INSURANCE CO GREENVILLE SOUTH CAROLINA	03137010
LEPEREQ DE NEUFLIZE & CO INC NEW YORK N Y	03063310	LIBERTY SECURITIES CO NEW HYDE PARK NEW YORK	03113880
LEPNER & CO INC BOSTON 9, MASS.	03091110	LICATA JOHN B & CO PALO ALTO CALIFORNIA	03136550
LEPNER MARSHALL A WASHINGTON D C	03140230	LICHTENSTEIN B S & CO NEW YORK NEW YORK	03032880
LEPNER SAUL CALIFORNIA CO INC BEVERLY HILLS CALIFORNIA	03146790	LIDSTER INVESTMENT CO INC WHITTIER CALIFORNIA	03134750
LEPNER SAUL CO INC NEW YORK N Y	03079310	LIEF FOSTER MERLE & CO NEW YORK CITY NEW YORK	03009850
LEPNER SAUL FLORIDA CO INC MIAMI FLA	03100770	LIFE FUND INVESTMENTS CO CHICAGO ILLINDIS	03141180
LEPNER SAUL ILLINDIS CO INC CHICAGO ILLINDIS	03139190	LIFE INVESTMENTS PLANNING CORP SAN FRANCISCO 4, CALIF.	03081180
LESTER RYONS & CO LOS ANGELES CALIF	03009060	LIFE INVESTORS MANAGEMENT CORP CEDAR RAPIDS, IOWA	03091580
LEUMI SECURITIES CORP NEW YORK N Y	03111620	LIFE PLAN INC NEW YORK NEW YORK	03124890
LEVENSON PRESTON & STURGILL LEXINGTON KENTUCKY	03145150	LIFE SECURITY CORP SALT LAKE CITY UTAH	03088420

NAME	ID. NO.	NAME	ID. NO.
LIFETIME INVESTORS PLANNING CO ST PETERSBURG FLA	03114730	LLOYD SECURITIES INC NEW YORK N Y	03094560
LINAM A J & CO HOUSTON, TEXAS	03094740	LOBER CHARLES & CO NEW YORK N Y	03127270
LINCOLN EQUITY CORP HANTHORNE N J	03127580	LOCKWOOD & CO NEW YORK NEW YORK	03116650
LINCOLN INVESTMENT PLANNING INC JENKINTOWN PENNSYLVANIA	03143540	LOEB ANDRES & BRYANT INC BEVERLY HILLS CALIFORNIA	03139760
LINCOLN INVESTORS SERVICE HEMPSTEAD N Y	03124760	LOEB RHOADS & CO NEW YORK, N.Y.	03002790
LINCOLN NATIONAL LIFE INSURANCE CO FORT WAYNE IND	03130340	LOEB RHOADS & CO INC NEW YORK N Y	03095980
LINCOLN PLANNING CORP NEW YORK N Y	03122160	LOEB RHOADS INTERNATIONAL INC NASSAU BAHAMAS	03126930
LINCOLN SECURITIES CORP JACKSONVILLE FLORIDA	03134300	LOEB SOMERS & CO INC CHICAGO ILLINOIS	03136960
LIND S J INC REGO PARK, N.Y.	03108990	LOEWENSTEIN MATTHEW J SAN FRANCISCO 4, CALIF.	03112990
LIND SOMMERS & COLLINS INC PORTLAND ORE	03127980	LOWE & CO INC MILWAUKEE 2 WISC.	03001140
LINDQUIST SECURITIES SALT LAKE CITY UTAH	03041260	LOHRMAN WILLIAM E CO NEW YORK N Y	03128670
LINDQUIST SECURITIES INC SALT LAKE CITY UTAH	03140990	LOMASNEY HYRON A & CO NEW YORK N Y	03094380
LINDBERGER LOWE & CO INC NEW YORK NEW YORK	03147760	LOMBARD STREET INC BEVERLY HILLS CALIFORNIA	03144720
LING & CO INC DALLAS, TEXAS	03110010	LOMBARD STREET INC MIAMI FLORIDA	03137780
LINK GORMAN PECK & CO CHICAGO 4 ILL	03009500	LOMBARD STREET INC ILLINOIS CHICAGO ILLINOIS	03138570
LINSCO CORPORATION WASHINGTON D C	03140000	LOMBARD STREET INC NEW YORK NEW YORK NEW YORK	03138560
LINSLEY & CO NEWPORT NEWS VIRGINIA	03136480	LOMBARD VITALIS & PAGANUCCI INC NEW YORK N Y	03096650
LION INVESTORS NORTH BERGEN N J	03129450	LONE STAR SECURITIES CORP HOUSTON TEXAS	03137860
LION JOHN L INVESTMENT MANAGEMENT LOS ANGELES 36, CALIF.	03098820	LONG BEACH INVESTMENT SECURITIES CO LONG BEACH 6, CALIF.	03073040
LIPKE ASSOCIATES INC CLARENCE NEW YORK	03133230	LONG INVESTMENT CO INC ABILENE KANS	03009830
LIPPER ARTHUR & CO NEW YORK NEW YORK	03082420	LONG HALTER S CORTE MADERA CALIFORNIA	03143840
LIPPER ARTHUR CORP NEW YORK NEW YORK	03131820	LONGACRE & CO INC PHILADELPHIA PENNSYLVANIA	03133090
LIPTON T J SECURITIES CORP NEW YORK NEW YORK	03125550	LONGI M L & CO INC CINCINNATI OHIO	03139380
LISA SECURITIES INC FAR ROCKAWAY 91 N Y	03128250	LONGSTREET CORP NEW YORK N Y	03130250
LISHAN J M & CO BEVERLY HILLS, CALIF.	03103910	LOOMIS SAYLES & CO INC PHILADELPHIA PA	02038002
LITCHARD DONALD B BOSTON 9 MASS	03013150	LOOMIS SAYLES & CO INC DELAWARE WASHINGTON D C	02038002
LITTLE R M & ASSOCIATES SALINAS CALIFORNIA	03134290	LOOMIS SAYLES & CO INC MARYLAND CHICAGO, ILL.	02038002
LIVINGSTON ROBERT S & CO FRANKLIN LAKES, N.J.	03071180	LOOMIS SAYLES & CO INC MASSACHUSETTS BOSTON MASS	02038002
LLOYD & DEGEUS JOLIET ILL	03013790	LOOMIS SAYLES & CO INC MICHIGAN DETROIT, MICH.	02038002

NAME	ID. NO.	NAME	ID. NO.
LDOMIS SAYLES & CO INC WISCONSIN MILWAUKEE 2, WISC.	02030002	MACE INVESTMENT CORP OF NORFOLK NORFOLK, VA.	0311R220
LORD ABBETT & CO NEW YORK, N.Y.	03002160	MACE J R MIAMI FLORIDA	03137580
LOUISVILLE BOND & SHARE CORP LOUISVILLE, KY.	03092490	MACHOLD R K & CO LTD SYRACUSE 2, N.Y.	03109370
LOUTH J CLYDE WILMINGTON, DEL.	03123980	MACINTYRE P H & CO LOS ANGELES 14, CALIF.	03112800
LOVE ANDREW T ASSOCIATES INC PHILADELPHIA PENNSYLVANIA	03137750	MACKAIN W H & CO NEW YORK N Y	03075310
LOVE DOUGLAS & ROBERTS INC NEW YORK NEW YORK	03136630	MACKALL & COE WASHINGTON D C	03023860
LOVELESS J WAYNE SECURITIES CHICO, CALIF.	03106300	MACKENZIE SECURITIES CO LOS ANGELES CALIF	03124320
LOVING RUGER KEENE CEDAR RAPIDS IOWA	03134910	MACKEY DUMN & CO INC NEW YORK N Y	03022760
LOWELL & COMPANY BEVERLY HILLS CALIFORNIA	03133940	MACKEY SHEA & WINTER NEW YORK NEW YORK	03067240
LOWELL PHILLIPS & CO INC DALLAS TEXAS	03142090	MACNAUGHTON GREENAWALT & CO INC GRAND RAPIDS MICH	03022810
LOWITZ E & CO NEW YORK N Y	03041300	MACRAE ROBERT H INC SEATTLE, WASH.	03098700
LSM EQUITY CORP MINNEAPOLIS MINNESOTA	03148080	MADDOX HENRY ADLPHUS ATLANTA GEORGIA	03134190
LUDER CARL R INVESTMENT SECURITIES SANTA BARBARA, CALIF.	03109950	MADOFF BERNARD L NEW YORK N Y	03081320
LUDINGTON CORP ROCHESTER 4, N.Y.	03059410	MAGID D H & CO INC NEW YORK N Y	03103710
LUDDLOW J A & CO NEW YORK N Y	03042880	MAGNUS & CO INC NEW YORK, N.Y.	03099600
LUNDBORG IRVING & CO SAN FRANCISCO CALIF	03024170	MAGUIRE ALBERT L SANTA MARIA CALIF	03067320
LUNDY H A ASSOCIATES PROVIDENCE RHODE ISLAND	03127600	MAGUIRE J B & CO INC BOSTON 9, MASS.	03023870
LUNT S D & CO BUFFALO N Y	03012610	MAHER JOHN R ASSOCIATES NEW YORK N Y	03113390
LURIE DAVID HARRIS UTICA N Y	03051050	MAHONEY C D & CO INC MINNEAPOLIS 2, MINN.	03076220
LUSK WILLIAM BENJAMIN DALLAS TEXAS	03135910	MAIN STREET MANAGEMENT CO FAIRFIELD CONN	03129250
LUTHER MERRILL KALIS & CO INC LOS ANGELES CALIFORNIA	03047600	MAINE INVESTORS SERVICE WATERVILLE MAINE	03048960
LUTTRELL W R & CO COLUMBUS GEORGIA	03063710	MAINE SECURITIES CO PORTLAND 3 MAINE	03019640
LYNG R V & CO MARTINSVILLE N J	03017300	MAK LEO L INC WATERLOO IOWA	03000560
LYNN W J & CO BOSTON 9 MASS	03029050	MALLOY RALPH W SEATTLE 1 WASH	03024180
LYTER FREDERICK OTT JR SAN DIEGO CALIFORNIA	03134970	MALMIN PHILIP LUDVIG SANTA MONICA CALIFORNIA	03128480
M M CAPITAL SALES INC MIDLAND TEXAS	03147790	MALMOR MANAGEMENT CORP NEW YORK NEW YORK	03139440
MABON MUGENT & CO NEW YORK, N.Y.	03027470	MALONEY & CO INC HOLLYWOOD 28, CALIF.	03006130
MACART JONES & CO PUEBLO, COLO.	03031180	MALOOD GEORGE H & CO SAN DIEGO, CALIF.	03120190
MACDONALD RODERIC JOHN SAGINAW MICHIGAN	03135990	MALTZ GREENHALD & CO NEW YORK N Y	03037860

NAME	ID. NO.	NAME	ID. NO.
MANAGED EQUITIES INC PORTLAND OREGON	03080720	MARAMETTE & CO DETROIT MICH	03130590
MANAGED INVESTMENTS INC PITTSBURGH PA	03130220	MARCH PLANNING CORP NEW YORK N Y	03127810
MANAGED INVESTMENTS INTERNATIONAL DAYTON OHIO	03134710	MARCHESE GREGORY & CO MONTEREY CALIFORNIA	03144080
MANAGED SECURITIES INC FT LAUDERDALE FLA	03127330	MARCUS & CO NEW YORK N Y	03023630
MANAGEMENT ASSOCIATES PALOS VERDES ESTATES CALIFORNIA	03145300	MARCUS JEFFERSON H & CO INC NEW YORK N Y	03050610
MANAGEMENT PLANS INC SPRINGFIELD PA	03129970	MARCUS PLANNING CORP LEVITTOWN, N.Y.	03111940
MANCHESTER M J & CO INC NEW YORK NEW YORK	03143110	MARING & CO MIAMI FLORIDA	03140310
MANCHESTER SECURITIES CORP THE BOSTON MASSACHUSETTS	03143290	MARR SECURITIES COLUMBUS 16, OHIO	03120490
MANDELL & CO LAKE WORTH FLA	03125320	MARR SECURITIES INC WEST HARTFORD CONN	03130690
MANGANARO JOSEPH V CO NEW YORK NEW YORK	03031210	MARKET CORP ST. PAUL, MINN.	03064030
MANGER FISHER LUNA INC FARGO, N.D.	03086700	MARROFF & CO INC BOSTON MASSACHUSETTS	03136040
MANHATTAN SECURITIES CORP NEW YORK N Y	03104080	MARSH CARL & CO INC NEW YORK N Y	03020480
MANJIRA MUTUAL FUNDS INC NEW YORK, N.Y.	03106080	MARLAND CHARLES E & CO INC VAN NUYS, CALIF.	03118550
MANLEY BENNETT MCDONALD & CO DETROIT MICH	03013100	MARLIN E D & CO NEW YORK NEW YORK	03144840
MANLEY COOKE & CO INC BUFFALO NEW YORK	03123470	MARR S WADE INVESTMENTS INC ELIZABETH CITY NORTH CAROLINA	03142930
MANN & CO MEDFORD MASS	03129020	MARRETT E H & CO BOSTON, MASS.	03014080
MANN HORACE INVESTORS INC SPRINGFIELD ILL	03060820	MARSH & MCLENNAN SECURITIES CORP CHICAGO ILLINOIS	03146520
MANN JOHN J & CO NEW YORK N Y	03122770	MARSH HEAD HILL & ASSOCIATES INC WASHINGTON D C	03092070
MANN THOMAS W & CO INC BROOKLYN NEW YORK	03078470	MARSHALL CO NEW YORK N Y	03064200
MANNA FINANCIAL PLANNING CORP FALLS CHURCH VA	03103420	MARSHALL COMPANY INC MILWAUKEE, WISC.	03028750
MANNHEI & CO DALLAS TEXAS	03017720	MARSHALL WILLIAM J CHICAGO 3 ILL	03017750
MANNING CARTER CO NEW YORK, N.Y.	03118160	MARTIN & ASSOCIATES SECURITIES INC DALLAS TEXAS	03118720
MANNING E A LTD TORONTO, ONTARIO, CANADA	03097580	MARTIN C E CO BUFFALO N Y	03125980
MANNING SECURITIES INC SAN FRANCISCO CALIFORNIA	03137680	MARTIN CAMERON LEONARD & CO INC WICHITA KANSAS	03118280
MANNING V M & CO INC GREENVILLE S C	03086800	MARTIN FRED & CO NORMAN, OKLA.	03096290
MANNIX L E NEW YORK N Y	03032400	MARTIN MONAGHAN & CO INC PHILADELPHIA PENNSYLVANIA	03129370
MANSFIELD & CO HARTFORD 3 CONN	03033860	MARTIN W K CO INC SEATTLE WASH	03127440
MARLES & GOLOSCHMIDT NORWALK, CONN.	03019660	MARTINEK GEORGE F CO CEDAR RAPIDS IOWA	03012290
MARANDON RENE J BROOKLYN 26 N Y	03040980	MARTINELLI & CO INC NEW YORK, N.Y.	03079560

NAME	ID. NO.	NAME	ID. NO.
MARTO J P & CO BOSTON LO MASS	03025070	MAY J STURGIS & CO INC HIGH POINT, N.C.	03053100
MARR HUGO & CO BIRMINGHAM, ALA.	03013420	MAYER & HART NEW YORK N Y	03049040
MASON & CO NEWPORT NEWS, VA.	03107790	MAYER & SCHWEITZER INC NEW YORK NEW YORK	03135350
MASON & LEE INC LYNCHBURG VA	03057100	MAYER CLINTON D JR NEW YORK N Y	03020510
MASON BROTHERS OAKLAND CALIF	03021980	MAYER F M & CO NEW YORK N Y	03071890
MASON BURTON E AMITYVILLE, N.Y.	03118600	MAYER LAUFER CO BROOKLYN NEW YORK	03143200
MASON HAGAN INC RICHMOND, VA.	03013610	MAYER WILLIAM D & CO NEW YORK N Y	03126570
MASON LIDN G & CO ATLANTA GA	03115020	MAYERSTEIN R M CO LAFAYETTE, IND.	03002880
MASSACHUSETTS COMPANY DISTRIBUTOR INC BOSTON MASS	03028570	MAYFAIR PLANNING ASSOCIATES HILLSIDE NEW JERSEY	03139130
MASSACHUSETTS MUTUAL LIFE INSURANCE CO SPRINGFIELD MASSACHUSETTS	03139610	MAYFLOWER INVESTMENT GROUP WELLESLEY HILLS MASS	03120410
MASSACHUSETTS VARIABLE ANNUITY INSURANCE COMPANY BOSTON MASSACHUSETTS	03132680	MAYFLOWER SECURITIES CO INC NEW YORK N Y	03115690
MASTEN A E & CO PITTSBURGH 22 PA	03017990	MAYNARD MEREL & CO INC ROCKAWAY PARK NEW YORK	03145920
MATHESON DDM P & CO GRAND RAPIDS MICH	03062510	MAYNARD MYRL D & CO FREEPORT, ILL.	03047870
MATHESON R D INC EAST GRAND RAPIDS & MICH	03005230	MCALISTER SMITH & PATE INC GREENVILLE S C	03021260
MATHENS & ASSOCIATES VICTORVILLE CALIFORNIA	03147440	MCCARTHUR CHARLES E KANSAS CITY MO	03022780
MATHENS EDWARD E CO INC ST PETERSBURG FLA	03113170	MCCALL B C CO CHATTANOOGA TENNESSEE	03118810
MATHEY L A DIAMOND DOUGLAS & CO NEW YORK N Y	03020490	MCCARLEY & CO INC ASHEVILLE N C	03036600
MATHIS & CO ATLANTIC CITY N J	03123120	MCCARTHY GRIFFIN INC MIAMI, FLA.	03082570
MATTIELLI & CO NEW YORK N Y	03010820	MCCARTNEY C O & CO SPokane WASHINGTON	03116320
MATTSON K R & CO NEWPORT RHODE ISLAND	03146030	MCCARTY LAWRENCE EUGENE SACRAMENTO CALIFORNIA	03134860
MAURER HOWARD C NIAGARA FALLS N Y	03004430	MCCAULEY DENIS & CO INC MINNEAPOLIS MINNESOTA	03140830
MAURER LEO CO JAMAICA 32 QUEENS N Y	03018790	MCCLAIR & CO SAN DIEGO, CALIF.	03123770
MAY & CO INC PORTLAND, ORE.	03069740	MCCLAIR SECURITIES CORP PHILADELPHIA PENN	03132120
MAY & GAMMON INC BOSTON MASS	03029710	MCCLESKEY J R INC CORAL GABLES FLORIDA	03041400
MAY BORG & CO NEW YORK N Y	03078810	MCCLOUDY R M ASSOCIATES INC SAINT PETERSBURG FLORIDA	03144600
MAY CULLUM & CO INC DALLAS TEXAS	03140930	MCCLOUD & KNICKERBOCKER CO HOUSTON TEXAS	03090940
MAY INVESTMENT ASSOCIATES INC LOS ANGELES CALIFORNIA	03123560	MCCOMBS SECURITIES CO INC POMONA CALIF	03087190
MAY J & CO INC NEW YORK, N.Y.	03021250	MCCONMELL MELHAOD & CO NEW YORK N Y	03104000
		MCCORMICK & CO INC CHICAGO, ILL.	03127890

NAME	ID. NO.	NAME	ID. NO.
MCCORMICK ASSOCIATES CHICAGO ILLINOIS	03144280	MCKEE & CO LOS ANGELES LOS ANGELES 17, CALIF.	03037500
MCCOURTNEY BRECKENRIDGE & CO ST LOUIS MISSOURI	03016330	MCKEE C S & CO INC PITTSBURGH PENNSYLVANIA	03089610
MCCOY BERNICE INVESTMENT CO MONROE, LA.	03064910	MCKEE JAECKELS & RYAN INC APPLETON WISCONSIN	03015050
MCCREERY JAMES B CO MASSAPEQUA NEW YORK	03062660	MCKEEMAN FRANCIS GEORGE LONG BEACH CALIFORNIA	03135040
MCCULLOUGH-EBERHART INVESTMENT CORP. DALLAS 35, TEXAS	03060110	MCKELVEY GORGE CO SEA GIRT NEW JERSEY	03093040
MCCUNE C C & CO DAYTON 2 OHIO	03001440	MCKELVY & CO PITTSBURGH PA	03042800
MCCUTCHEON GEORGE A CHATHAM, N.J.	03079060	MCKINLEY INVESTMENT CO TULSA OKLA	03130200
MCCUTCHEON JOHN D & CO INC ST LOUIS MO	03018810	MCKINNEY C L & CO INC LOS ANGELES CALIFORNIA	03144310
MCDERMOTT & JOHNSON INC ALBERTSON N Y	03057350	MCKINNEY RISE & CO INC DALLAS, TEXAS	03086770
MCDERMOTT PETER P & CO NEW YORK N Y	03024200	MCKNIGHT JAMES B INC KALISPELL MONTANA	03136850
MCDONALD & CO CLEVELAND OHIO	03019000	MCLFAN & CO INC TACOMA 2 WASH	03061720
MCDONALD DUNCAN B SAINT PAUL MINNESOTA	03143860	MCLFOD YOUNG METR INC NEW YORK, N.Y.	03071760
MCDONALD THOMAS & CO CHICAGO 3 ILL	03008340	MCHAMON & HOBAN INC CHICAGO 3 ILL	03036870
MCDONNELL & CO INC NEW YORK N Y	03073000	MCHAMON LICHTENFELD & CO NEW YORK N Y	03057620
MCDONNELL FUND SALES INC NEW YORK N Y	03066170	MCHAMON VINCENT P CHICAGO ILL	03099220
MCDUGAL & CONDON INC CHICAGO 4 ILL	03032920	MCHANEUS TREKLER & CO INC CHARLOTTE N C	03128610
MCDONELL & CO CHATTANOOGA 2, TENN.	03018820	MCMASTER HUTCHINSON & CO CHICAGO 3 ILL	03024220
MCELROY MINISTER CO COLUMBUS OHIO	03127200	MCMULLEN & HARD NEW YORK N Y	03016290
MCEWAN DAVID B BOSTON MASSACHUSETTS	03136330	MCMEAR & WILLARD SAN FRANCISCO, CALIFORNIA	03035580
MCGARVEY & CO PHILADELPHIA 3, PA.	03107910	MCMICE SECURITIES CORP SPOKANE WASHINGTON	03135540
MCGEEHEE W T & CO CLEVELAND MISSISSIPPI	03054630	MCREE LINCOLN E INC OWLS HEAD, MAINE	03054490
MCGHEE & CO INC CLEVELAND 6, OHIO	03006390	MCRBERTS F W & CO TWIN FALLS IDAHO	03009440
MCGIVNEY JOSEPH P & ASSOCIATES INC EVERGREEN PARK ILLINOIS	03141990	MEADOWS LOUIS B & CO INC SPRINGFIELD MASSACHUSETTS	03132430
MCGLYNN DANIEL ROBERT BROOKLYN NEW YORK	03143090	MEANS DAVID G BANGOR, MAINE	03071230
MCGOVERN JOHN L SECURITIES DENVER, COLO.	03079980	MED DENT INVESTMENT CO SAN FRANCISCO CALIFORNIA	03086170
MCGRAW WILLIAM F & CO HOLLYWOOD CALIFORNIA	03134600	MEDI FUND FINANCIAL CORP SAN FRANCISCO CALIFORNIA	03146550
MCGUIRE BERNARD J INC JUSTIN CALIFORNIA	03136610	MEDIA INVESTMENTS CO LOS ANGELES 2R, CALIF.	03073970
MCHALE M J CO NEW YORK N Y	03009550	MEDICI MANAGEMENT CORP THE NEW YORK NEW YORK	03135770
MCKAY KELSEY B MCCOMB, MISS.	03119210	MEDNICK BROKERAGE CO SALT LAKE CITY UTAH	03138380

NAME	ID. NO.	NAME	ID. NO.
HEEHAN M J & CO NEW YORK N Y	25061007	MEYERS POLLOCK ROBBINS INC NEW YORK N Y	03117970
MEIER JAY MORGAN HOUSTON TEXAS	03134440	MEYERS ROBERT E & CO FORT WAYNE, IND.	03066830
MEISEL SAMUEL W NEW YORK N Y	03119700	MEYERSON & CO SAN FRANCISCO CALIF	03019280
MEISSNER MARTIN G MIDLAND TEXAS	03144480	MEYERSON & CO INC SAN FRANCISCO CALIFORNIA	03141000
MELCHER J L & CO NEW YORK NEW YORK	03144360	MEYERSON M H & CO INC JERSEY CITY N J	03083810
MELLER & CO INC NEW YORK NEW YORK	03135630	MGL EQUITY SALES INC BOSTON MASSACHUSETTS	03119980
MELLINGER & CO EPHRATA, PA.	03118730	MICHAEL & CO NEW YORK N Y	03099120
MEMBERS OF THE CONFERENCE OF FEDERAL SAVINGS & LO LOS ANGELES CALIFORNIA	03135790	MICHAEL INVESTMENT CO INC PROVIDENCE RHODE ISLAND	03026290
MEMME & CO INC NEW YORK N Y	03124770	NICHAELS R W AGENCY INC BUFFALO NEW YORK	03141150
MEMPHIS SECURITIES CO MEMPHIS 3, TENN.	03019650	MICHIGAN SECURITIES CO INC GRAND RAPIDS MICHIGAN	03135500
MENDELSON GAYNES INC NEW YORK N Y	03122240	MID AMERICA BOND & SHARE CO INC DECATUR, ILL.	03110680
MERBAN CORP NEW YORK NEW YORK	03135810	MID CONTINENT SECURITIES CO INC WICHITA KANS	03010090
MERCANTILE SECURITIES INVESTMENT CORP DALLAS TEXAS	03144760	MID SOUTH SECURITIES CO NASHVILLE TENN	03007270
MERGOTT G M & CO INC PLAINFIELD N J	03129100	MIDAS SECURITIES CORP NEW YORK NEW YORK	03144530
MERICKA & CO INC CLEVELAND OHIO	03123480	MIDDENDORF COLGATE & CO NEW YORK N Y	03101760
MERIT CO THE PHILLIPSBURG NEW JERSEY	03140950	MIDGATE DISTRIBUTORS INC NEW YORK N Y	03124410
MERIT INVESTORS CORP FLUSHING 53, N.Y.	03085600	MIDLAND CANADIAN CORP NEW YORK N Y	03050440
MERKIN & CO INC NEW YORK N Y	03127260	MIDLAND EQUITY CORP NEW YORK NEW YORK	03137430
MERRILL LYMEH PIERCE FENNER & SMITH INC NEW YORK N Y	03072210	MIDLAND INVESTORS CO COLUMBUS, OHIO	03070790
MERRIMACK INVESTMENT CO ANDOVER, MASS.	03110430	MIDLAND RESERVE CORP ROCKY RIVER OHIO	03130400
MESIROW & CO CHICAGO ILL	03010870	MIDLAND SECURITIES CO INC KANSAS CITY MO	03054020
METLAR GEORGE V CO MENLO PARK CALIFORNIA	03053830	MIDWEST SECURITIES CORP PEORIA ILLINDIS	03147180
METROPOLITAN INVESTING & TRADING CO NEW YORK N Y	03060520	MIDWESTERN INVESTMENT CORP CLEVELAND OHIO	03140920
METROPOLITAN PLANNING CORP NEW YORK N Y	03062890	MIDWESTERN SECURITIES CORP NEW YORK NEW YORK	03138210
METROPOLITAN SECURITIES CORP ST. LOUIS 2, MO.	03085240	MILBROOK SECURITIES CO INC BALTIMORE, MD.	03116890
MEYERHEIM GERALD A NEW YORK, N.Y.	03057300	MILFORD SMITH INC NEW YORK, N.Y.	03093700
MEYERHOFF IRVING & CO CHICAGO ILL	03113820	MILITARY ASSOCIATES PLANNING CO INC LITTLE ROCK ARKANSAS	03131920
MEYERS JOHN J & CO NEW YORK, N.Y.	03092360	MILLARD & CO CHATTANOOGA, TENN.	03014250
MEYERS M H INC ORAMA NEB	03105090	MILLER & GEORCE PROVIDENCE R I	03014110

NAME	ID. NO.	NAME	ID. NO.
MILLER & PATTERSON RICHMOND 11 VA	03018900	MUDEL ROLAND & CO INC NEW YORK N Y	03124880
MILLER DON W & CO DETROIT 26, MICH.	03026860	MODERN INVESTMENT PROGRAMS INC CHICAGO ILLINOIS	03138880
MILLER DON W & CO INC DETROIT MICHIGAN	03133470	MODERN SECURITY PLANNING INC EAST ORANGE, N.J.	03116510
MILLER HAROLD F & CO DUBUQUE IOWA	03041180	MODERN SECURITY PROGRAMS INC MICKSVILLE N Y	03127270
MILLEN HOLMES CO INC PITTSBURGH PENNSYLVANIA	03136910	MOELLER W H & CO INC FLINT MICHIGAN	03134830
MILLER L B & ASSOCIATES NEWPORT BEACH CALIFORNIA	03138940	MOISE SECURITIES INC STATEN ISLAND NEW YORK	03147270
MILLER S LEONARD CO WYANEWOOD PA	03127170	MOLAR C CO AKRON OHIO	03112450
MILLS H T GREENVILLE, S.C.	03084350	MONARCH FUNDING CORP NEW YORK N Y	03125680
MILLS R G & CO INC ST LOUIS MO	03127820	MONARCH SECURITIES INC SPRINGFIELD MASSACHUSETTS	03138400
MILLS SPENCE & CO INC NEW YORK N Y	03022010	MONARCH/MACKENZIE SAN FRANCISCO CALIFORNIA	03145240
MILTON ARTHUR & CO INC NEW YORK, N.Y.	03087790	MONY MANAGEMENT CONSULTANTS INC HOUSTON TEXAS	03146590
MILWAUKEE CO MILWAUKEE WISC	07001450	MONIGROWTH CORP THE PORT WASHINGTON NEW YORK	03141650
MILWAUKEE EQUITY SERVICES INC MILWAUKEE WISCONSIN	03141410	MONROE SECURITIES INC ROCHESTER NEW YORK	03140450
MINGO JACK & CO SACRAMENTO, CALIF.	03056670	MONTGOMERY A P & CO INC NEW YORK 38, N.Y.	03103970
MINISTERS LIFE & CASUALTY UNION THE MINNEAPOLIS MINNESOTA	03141830	MONTGOMERY SCOTT & CO PHILADELPHIA PA	03030370
MINNEAPOLIS CO INC MINNEAPOLIS MINN	03089230	MONTGOMERY SECURITIES INC SAN FRANCISCO CALIFORNIA	03136060
MINNESOTA MUTUAL LIFE INSURANCE CO THE SAINT PAUL MINNESOTA	03141130	MOODY SECURITIES CO SPRINGFIELD 4, MO.	07066410
MINNESOTA SECURITIES CORP MANKATO, MINN.	03014200	MOODYS ADVISORS & DISTRIBUTORS INC NEW YORK N Y	03131220
MINZ & STREUSAND INC NEW YORK NEW YORK	03137020	MOORE C CO JERSEY CITY N J	03026770
MISSISSIPPI VALLEY SECURITIES CO INC EFFINGHAM, ILL.	03107620	MOORE & SCHLEY INC NEW YORK, N.Y.	03122780
MITCHEL SCHREIBER WATTS & CO NEW YORK N Y	03040210	MOORE D T & SONS SACO MAINE	03113310
MITCHELL BARRY & CO INC LAKE PROVIDENCE LA	03150130	MOORE GLENN BOLT DETROIT MICHIGAN	03134010
MITCHELL HUTCHINS & CO INC CHICAGO, ILL.	03101430	MOORE LEONARD & LYNCH INC PITTSBURGH PA	03122760
MITCHELL LOUIS & CO INC NEW YORK N Y	03113840	MOORS & CABOT BOSTON 9 MASS	03022840
MITCHEM JONES & TEMPLETON INC LOS ANGELES, CALIF.	03108580	MORAGNE R D & CO HOUSTON, TEXAS	03043400
MITTELBERG CO INC SAN FRANCISCO 27, CALIF.	03074410	MORAN J P CO NATICK, MASS.	03114260
MITTELL REIZER INC BOSTON, MASS.	03094090	MORGAN CHARLES MILLEGE JR SAINT LOUIS MISSOURI	03136930
MITTON ROBERT L INVESTMENTS DENVER COLO	03022030	MORGAN EDWIN E OXFORD OHIO	03010020
MM EQUITY SALES CO COLUMBUS OHIO	03145430	MORGAN KENNEDY & CO INC NEW YORK N Y	03126860

NAME	ID- NO.	NAME	ID- NO.
MORGAN LESTER H WATERTOWN, N.Y.	03031860	MUFFOLETTO MICHAEL D INC NEW YORK NEW YORK	03146510
MORGAN OLINSTEAD KENNEDY & GARDMEK INC LOS ANGELES CALIF	03127280	MUI INVESTMENT SERVICE OKLAHOMA CITY OKLA	03010650
MORGAN OSTIGUY & HUDON INC MONTREAL QUEBEC CANADA	03131080	MUIR JOHN & CO NEW YORK N Y	03039340
MORGAN OSTIGUY & HUDON LTD MONTREAL QUEBEC CANADA	03064600	MUIR ROBERT M SALINA KANS	03089070
MORGAN RALPH C & CO NEW YORK 4 N Y	03022040	MULHOLLAND KAUFMAN & APADY INC NEW YORK N Y	03127370
MORGAN STANLEY & CO NEW YORK N Y	03003510	MULLANEY WELLS & CO CHICAGO 3 ILL	03019670
MORGENSTEIN J & CO NEW YORK N Y	03131870	MULLEN J K INVESTMENT CO DENVER 2 COLO	03008190
MORONEY BEISSNER & CO INC HOUSTON, TEXAS	03089140	MULLER & CO NEW YORK NEW YORK	03178520
MORRILL RUSSELL C CO SAN FERNANDO CALIFORNIA	03147190	MULTIVEST SECURITIES INC DETROIT MICHIGAN	03147280
MORRIS JOSEPH BELMAR, N.J.	03017220	MUNGER & CO INC WEST REDDING CONNECTICUT	03149660
MORRIS ROBERT S & CO HARTFORD 3 CONN	03019660	MUNROE JOHN NEW YORK N Y	03048660
MORRIS THORNTON D & CO SALT LAKE CITY, UTAH	03121800	MURCH & CO INC CLEVELAND 15 OHIO	03058080
MORRISON & MORRISON CO DETROIT MICHIGAN	03083690	MURFIT GEORGE INC COBLESKILL, N.Y.	03028200
MORRISON ARNOLD WENDELL COMPANY BURBANK CALIFORNIA	03121320	MURPHY FAYRE INC SPOKANE 1, WASH.	03034410
MORRISSEY F J & CO PHILADELPHIA PENN	03132060	MURPHY MARCELLES & SMITH NEW YORK, N.Y.	03065550
MORRISSEY D W & CO INDIANAPOLIS 4 IND	03029090	MURPHY & DURIEU NEW YORK N Y	03026760
MORSE RANSON M & CO NEW YORK N Y	03029120	MURPHY CHARLES D & CO INC PORT WASHINGTON, N.Y.	03116460
MORTENSEN JAMES P NEW YORK N Y	03093470	MURPHY CLIFFORD J CO PORTLAND 3 MAINE	03031260
MORTON B C & CO INC BOSTON 9, MASS.	03039320	MURRAY & CO NEW YORK N Y	25064000
MORTON HALL & ROUNDS INC LEWISTON, MAINE	03000790	MURRAY BROOKS STONESTRAFFT WALLACE PENN & CO DETROIT MICHIGAN	03136770
MORTON PLANNING CO GREAT NECK N Y	03106420	MURRAY LIND & CO INC NEWARK NEW JERSEY	03146420
MORSELEY F S & CO BOSTON 2, MASS.	03039230	MUSSER & CO INC PHILADELPHIA 3, PA.	03046620
MOSS PRESTON & CO INC BOSTON MASSACHUSETTS	03142060	MUTRUSCO MANAGEMENT CORP KANSAS CITY MO	03083430
MOULTON R H & CO LOS ANGELES 13 CALIF	03018930	MUTUAL BENEFIT INVESTORS CO JAMAICA N Y	03126430
MOUNTAIN STATES SECURITIES INC SALT LAKE CITY UTAH	03140600	MUTUAL FUND ACCOUNTS INC INGLEWOOD CALIF	03130550
MOYER BANKS E WASHINGTON, N.J.	03117920	MUTUAL FUND ADVISORS INC SANTA ANA CALIFORNIA	03136490
MOYNAHAN & CO INC NEW YORK N Y	03064130	MUTUAL FUND ADVISORY SERVICE COLLINGSWOOD, N.J.	03082440
MPC CAPITAL CORP NEW YORK NEW YORK	03146070	MUTUAL FUND ASSOCIATES INC SAN FRANCISCO CALIF	03127320
MSF DEVELOPMENT CORP NEW YORK NEW YORK	03144870	MUTUAL FUND BROKERS INC SEATTLE, WASH.	03089990

NAME	ID. NO.	NAME	ID. NO.
MUTUAL FUND DISTRIBUTORS CORP SALT LAKE CITY, UTAH	03091810	MUTUAL INVESTORS CO BROOKLYN 29, N.Y.	03092700
MUTUAL FUND INVESTMENTS INC SUMTER SOUTH CAROLINA	03109800	MUTUAL INVESTORS GROUP INC PHILADELPHIA PENNSYLVANIA	03140980
MUTUAL FUND INVESTORS SPOKANE WASH	03119900	MUTUAL INVESTORS OF RHODE ISLAND INC CRANSTON RHODE ISLAND	03137570
MUTUAL FUND INVESTORS INC SANTA ANA CALIF	03130430	MUTUAL INVESTORS PLANS LONG BEACH LONG ISLAND N Y	03099210
MUTUAL FUND MART NEW YORK N Y	03012400	MUTUAL LIFE INSURANCE CO OF NEW YORK NEW YORK NEW YORK	03142050
MUTUAL FUND SALES INC NEW YORK N Y	03003100	MUTUAL OF OMAHA FUND MANAGEMENT CO OMAHA NEBRASKA	03137870
MUTUAL FUND SALES INC CHICAGO ILLINOIS	03137040	MUTUAL PLANNING CO NEW YORK N Y	03129760
MUTUAL FUND SECURITIES INC ENGINO CALIF	03130610	MUTUAL SECURITIES EL PASO, TEXAS	03096130
MUTUAL FUND SPECIALISTS INC JENKINTOWN, PA.	03110890	MUTUAL SECURITIES CORP RICHMOND VA	03060720
MUTUAL FUNDS ADVISORY INC CORAL GABLES FLORIDA	03127250	MUTUAL SECURITIES INC ABILENE TEXAS	03071100
MUTUAL FUNDS ASSOCIATES INC PHILADELPHIA, PA.	03085170	MUTUAL SECURITIES SERVICE NORTH HOLLYWOOD, CALIF.	03109770
MUTUAL FUNDS ASSOCIATES INC ROCHESTER MONROE N Y	03130260	MUTUAL TRUST DISTRIBUTORS HILLSBORO, ORE.	03076170
MUTUAL FUNDS BUREAU BROOKLYN 17, N.Y.	03110560	NADDEO V F & CO INC NEW YORK N Y	03091630
MUTUAL FUNDS CENTER BUFFALO N Y	03027990	NADEL & CO NEW YORK NEW YORK	03146880
MUTUAL FUNDS CO INC SPOKANE WASH	03096300	NADLER HARRY I & CO MASON CITY, IOWA	03114820
MUTUAL FUNDS CORP OF AMERICA PITTSBURGH, PA.	03038150	NAFTALIN & CO INC MINNEAPOLIS 2, MINN.	03087880
MUTUAL FUNDS INC MARIETTA, GA.	03118420	NAGLER WEISSMAN & CO INC BRONX 57, N.Y.	03090710
MUTUAL FUNDS INVESTMENT CO WASHINGTON 5, D.C.	03095280	NAISBY ASSOCIATES PALMYRA NEW JERSEY	03114060
MUTUAL FUNDS INVESTMENT SERVICE LOS ANGELES 19 CALIF	03037940	NALL J DAVID CHICAGO ILLINOIS	03134330
MUTUAL FUNDS INVESTMENT SERVICE DEWITT NEW YORK	03136350	NARDONE INVESTMENTS STATEN ISLAND NEW YORK	03133700
MUTUAL FUNDS INVESTORS CORP BRYAN, OHIO	03078650	NARWITZ J R & CO SACRAMENTO CALIFORNIA	03135560
MUTUAL FUNDS OF PUERTO RICO NEW YORK N Y	03116590	NASH H N & CO PHILADELPHIA 2 PA	03042660
MUTUAL FUNDS SALES & PLANNING INC MIAMI FLA	03117040	NASSAR & CO INC PITTSBURGH PA	03127460
MUTUAL FUNDS SALES INC DETROIT MICH	03128490	NATHANSON N L & CO GREENWICH CONN	03089460
MUTUAL INVESTMENT CO HONOLULU HAWAII	03049400	NATION WIDE DIVERSIFIED SERVICES INC BALTIMORE, MD.	03086510
MUTUAL INVESTMENT SERVICES INC LITTLE ROCK, ARKANSAS	03069700	NATIONAL PENSION & GROUP CONSULTANTS INC WASHINGTON 5, D.C.	03112060
MUTUAL INVESTMENTS LONG BEACH CALIFORNIA	03126210	NATIONAL SECURITIES & RESEARCH CORP NEW YORK N Y	03001260
MUTUAL INVESTORS NEW HAVEN CONNECTICUT	03147200	NATIONAL SECURITIES CORP SEATTLE, WASH.	03001640
MUTUAL INVESTORS NEW HAVEN CONN	03125610	NATIONAL VARIABLE ANNUITY CO JACKSONVILLE FLA	03129440

NAME	ID. NO.	NAME	ID. NO.
NATIONWIDE INVESTMENT SERVICES ALLSTON MASSACHUSETTS	03132580	NEW YORK MANSEATIC CORP NEW YORK NY	03023480
NATIONWIDE OPTIONS INC NEW YORK NEW YORK	03129000	NEW YORK MANSEATIC INTERNATIONAL N V NEW YORK NEW YORK	03132390
NATIONWIDE SECURITIES CORP BEVERLY HILLS CALIFORNIA	03145930	NEW YORK PLANNING CO NEW YORK, N.Y.	03090470
NAUMAN VANDERVOORT INC DETROIT MICH	03126580	NEW YORK SECURITIES CO NEW YORK NEW YORK	03105110
NAYLOR J H INC ARVADA COLO	03093370	NEW YORK SECURITIES CO INC NEW YORK NEW YORK	03141780
NCU INVESTORS INC AUSTIN TEXAS	03140060	NEWBOLDS W H SON & CO PHILADELPHIA PA	03019750
NEBRASKA REALTY MANAGEMENT CORP LINCOLN NEBRASKA	03139250	NEWBURGER & CO PHILADELPHIA PA	03043020
NEGLEY JENS & ROWE PEORIA 2 ILL	03011260	NEWBURGER LOEB & CO NEW YORK N Y	03033520
NEHRING & RICKETTS ELGIN, ILL.	03014240	NEUBY JOEL M JR HOUSTON 42, TEXAS	03105260
NEILL JAMES H & CO RICHMOND VIRGINIA	03133690	NEWARD COOK & CO ST. LOUIS, MO.	03041610
NEL EQUITY SERVICES CORP BOSTON MASSACHUSETTS	03139100	NEVLANE CORP NEW YORK N Y	03131600
NELSON ARTHUR E & CO SPokane 1, WASH.	03027570	NEWMAN H O & CO CARLSTADT NEW JERSEY	03132440
NELSON CABLE & ASSOCIATES LA MESA CALIF	03131610	NEWPORT SECURITIES CORP NEWPORT BEACH CALIFORNIA	03142590
NELSON J D ASSOCIATES INC SAN FRANCISCO CALIFORNIA	03135730	NEWTON INVESTMENT CO BOSTON MASSACHUSETTS	03125470
NELSON MARTIN & CO INC SEATTLE, WASH.	03008310	NEWTON INVESTMENT CORP BOSTON MASSACHUSETTS	03141260
NELSON R E CO SPokane, WASH.	03120230	NEWTON PAUL F & CO HOUSTON TEXAS	03112190
NEPFLER KINGSBURY CO PONTIAC 4 MICH	03025100	NEWTON SECURITIES INC MILWAUKEE WISCONSIN	03130300
NESSBITT THOMSON & CO INC NEW YORK N Y	03005540	NICHIREI SECURITIES CORP OF LOS ANGELES LOS ANGELES CALIF	03099470
NESSBITT THOMSON NEW YORK CORP NEW YORK NEW YORK	03139780	NICHOLLS L E CO SPokane, WASH.	03122480
NESTLERODE & CO INC WILLIAMSPORT PA	03126290	NICHOLLS RAYMOND H CLU CLEVELAND OHIO	03133960
NETNORX MANAGEMENT CORP WASHINGTON DISTRICT OF COLUMBIA	03146040	NICKOLSON SECURITIES WORCESTER MASSACHUSETTS	03134610
NEUBAUER JACK IRVINGTON NEW JERSEY	03147470	NICOLETTI HICK & CO CRESTWOOD 26, MD.	03117740
NEUBERGER & BERMAN NEW YORK N Y	03010680	NIELSEN W G & CO INC BURBANK, CALIF.	03082560
NEUMANN ROBERT W NEW YORK N Y	03000910	NIRKO SECURITIES CO INTERNATIONAL INC NEW YORK N Y	03041970
NEW COURT SECURITIES CORP NEW YORK NEW YORK	03138520	NILSON NEWBY & CO INC SALT LAKE CITY UTAH	03111070
NEW ENGLAND STATES CORP NEW YORK, N.Y.	03120350	NML EQUITY SERVICES INC MILWAUKEE WISCONSIN	03140880
NEW JERSEY CREDIT UNION LEAGUE INC HIGHTSTOWN, N.J.	03035460	NOBLE JULES W & ASSOCIATES CHICAGO 4, ILL.	03104140
NEW ULM SERVICE CO INC NEW ULM MINNESOTA	03127530	NOLLER W A DELMAR N Y	03057800
NEW WORLD DISTRIBUTING CO LOS ANGELES CALIFORNIA	03114010	NONURA SECURITIES CO LTD CHUO-KU TOKYO, JAPAN	03032970

NAME	ID. NO.	NAME	ID. NO.
NORBERT ASSOCIATES INC BRONX, N.Y.	03114890	O'MALLEY W E & CO INC NEW YORK NEW YORK	03146790
NORDIC SECURITIES CO NEW YORK N Y	03006900	OAK RIDGE SECURITIES CO PARADISE CALIFORNIA	03144160
NORLIC INC SEATTLE WASHINGTON	03138600	OBRIEN BETTY K & CO CLEARWATER, FLA.	03066070
NORMAND J INC PANTUCKET, R.I.	03090790	O'BRYON WILLIAM L & CO NEWPORT BEACH, CALIF.	03071980
NORRIS & HIRSBERG INC ATLANTA, GA.	03036380	O'CONNOR WILLIAM JOHN ORANGE CALIFORNIA	03135470
NORRIS EDGAR M & CO GREENVILLE SOUTH CAROLINA	03083350	ODESS MARTIN INC BIRMINGHAM 3, ALA.	03008250
NORRIS GRAHAM H INVESTMENT DEALER & BROK FOSTER CITY CALIFORNIA	03144820	ODONNELL R C & CO DETROIT MICH	03029760
NORTH AMERICAN EQUITY CORP BEVERLY HILLS CALIF	03103920	OERTLE J J CO INVESTMENT SECURITIES ST. LOUIS, MO.	03117480
NORTH AMERICAN MANAGEMENT INC OMAHA NEBR	03103620	OFFUTT CLAGGETT LOS ANGELES, CALIF.	03115120
NORTH AMERICAN PLANNING CORP NEW YORK, N.Y.	03051540	OFTRING & ERNST INC WORCESTER, MASS.	03108630
NORTH AMERICAN SECURITIES CORP ST LOUIS MISSOURI	03124800	OHIO CO COLUMBUS, OHIO	03024280
NORTH CENTRAL FINANCIAL PLANNING CORP ST PAUL MINN	03091670	OHIO FARMERS EQUITY SALES CO	03140210
NORTH E M CO INC NEW YORK N Y	03031290	OHLMAN MAXWELL & CO NEW YORK, N.Y.	03114070
NORTH SHORE INVESTMENT CO SYOSSET, N.Y.	03097840	OHLMAN MAXWELL & CO INC NEW YORK NEW YORK	03142910
NORTHFIELD INVESTMENT CO LIVINGSTON NEW JERSEY	03133010	OKANE JOHN J JR & CO NEW YORK N Y	03016230
NORTON ARTHUR ALBERT SAN FRANCISCO CALIFORNIA	03134130	OLD COURT SECURITIES INC GREAT NECK NEW YORK	03144110
NORTON THOMAS FRANCIS NORTH BERGEN NEW JERSEY	03142610	OLIPHANT JAMES H & CO NEW YORK N Y	03000310
NORTON WILLIAM & CO NEW YORK N Y	03120470	OLMSTED & MULHALL INC KALAMAZOO, MICH.	03005930
NORVILLE & CO CHICAGO 1, ILL.	03110850	OLNEY INC EL PASO TEXAS	03134690
NOVICK SECURITIES CO NEW YORK NEW YORK	03137000	OLSGARD W A & CO INC CLEARWATER FLORIDA	03129950
NOYES DAVID A & CO CHICAGO, ILL.	03009310	OLSON SECURITIES CO FERGUS FALLS MINN	03087070
NUGENT & IQDE EAST ORANGE N J	03001060	OLYMPIC INSURANCE & SECURITIES AGENCY FLUSHING NEW YORK	03143830
NUVEEN CORP CHICAGO ILLINOIS	03090910	OLYMPIC INSURANCE & SECURITIES AGENCY FLUSHING N Y	03125530
NUVEEN JOHN & CO INC CHICAGO ILLINOIS	03134660	OMALLEY SECURITIES CO PHOENIX ARIZONA	03069950
OMNI MANAGEMENT CORP MINNEAPOLIS MINNESOTA	03139870	OMNIBUS CORP ATLANTA GEORGIA	03146580
NYE & WHITEHEAD NEW YORK N Y	03064860	ONE ELEVEN DISTRIBUTION CO PHILADELPHIA PENNSYLVANIA	03132330
NYSCUL INC NEW YORK N Y	03102400	ONE HUNDRED TWENTY FIVE EQUITY CORP NEW YORK NEW YORK	03138820
O & U SECURITIES CO CEDAR RAPIDS IOWA	03139960	ONEIL WILLIAM & CO INC BEVERLY HILLS CALIF	03117630
O N EQUITY SALES CO CINCINNATI OHIO	03141610	OPPENHEIMER & CO NEW YORK N Y	03017960

NAME	ID. NO.	NAME	ID. NO.
OPPENHEIMER MANAGEMENT CORP NEW YORK N Y	02046002	PACIFIC SECURITIES INC SACRAMENTO 25, CALIF.	03117980
P OPPENHEIMER NEWBORG & NEU NEW YORK N Y	03008010	PACIFIC WESTERN SECURITIES INC BEVERLY HILLS CALIF	03129240
OPTIONS ON SHARES INC NEW YORK NEW YORK	03139270	PACKER WILBUR & CO INC NEW YORK, N.Y.	03096000
ORANGE SECURITIES CO LIVINGSTON NEW JERSEY	03138720	PADGETT C J & CO LOS ANGELES CALIFORNIA	03140690
ORBE L F & CO NEW YORK NEW YORK	03144810	P PAINE WEBBER JACKSON & CURTIS NEW YORK NEW YORK	03004020
OREGON SECURITIES BEND OREGON	03137190	P PAISNER & DRUSKOFF NEW YORK, N.Y.	03076960
OREGON UNDERWRITERS INC SALT LAKE CITY UTAH	03112940	PALERM INVESTMENTS ROOSEVELT, P.R.	03058720
OREN C I & CO INC NEW YORK NEW YORK	03141910	PALIS RAYMOND ARTHUR DES MOINES IOWA	03135450
ORIENT SECURITIES INC NEW YORK NEW YORK	03142180	PALM BEACH SECURITIES INC PALM BEACH, FLA.	03110590
ORourke J P & CO CHICAGO 4, ILL.	03067350	PALMER PLANNING CO INC MILTON 86, MASS.	03121950
ORourke T NELSON INC DAYTONA BEACH FLA	03014310	PALMER POLLACHEI & CO INC BOSTON, MASS.	03056760
ORSIND A J SECURITIES INC HARRISON NEW YORK	03143820	PAN FIDELITY SECURITIES INC BEVERLY HILLS CALIFORNIA	03133900
ORVIS BROTHERS & CO NEW YORK N Y	03009340	PARCELS CHARLES A & CO DETROIT 26 MICH	03014260
OSTEDGAARD E A LITTLE ROCK ARKANSAS	03133570	P PARE ALBERT CO HAVERHILL MASS	03125700
OSTRAFF A P & CO HAWTHORNE CALIFORNIA	03125980	PARIBAS CORP NEW YORK, N.Y.	03089940
OSTRAGER MURRAY LEO NEW YORK NEW YORK	03146190	PARK INVESTORS CORP OZONE PARK 20, QUEENS, N.Y.	03087910
OUTWATER & WELLS JERSEY CITY 2 N J	03054700	P PARKER & CO WASHINGTON DISTRICT OF COLUMBIA	03145350
OWERTON J A & CO INVESTMENT SECURITIES CORONADO, CALIF.	03006470	P PARKER & CO POUGHKEEPSIE, N.Y.	03109700
OXFORD SECURITIES INC SPRINGFIELD MASS	03124380	PARKER & CO WALNUT CREEK CALIFORNIA	03146710
OZOL R J & CO UNION N J	03021290	PARKER & WEISSENBOERN INC NEWARK 2, N.J.	03005180
P L A SECURITIES CORP CHATTANOOGA TENNESSEE	03140640	PARKER BISHOP & WELSH INC OKLAHOMA CITY OKLAHOMA	03107460
P L N SECURITIES INC SYRACUSE NEW YORK	03133390	PARKER C E & CO INC FERGUSON 35, MO.	03115190
P M ASSOCIATES YONKERS NEW YORK	03145540	PARKER ENGLAND & CO INC NORTH BELLMORE NEW YORK	03141930
PACIFIC COAST SECURITIES INC SEATTLE WASHINGTON	03138330	PARKER FORD & CO ROSEMEAD CALIFORNIA	03146980
PACIFIC CONTINENTAL SECURITIES INC OAKLAND, CALIF.	03119950	PARKER HOUSE INC BILLINGS MONT	03130630
PACIFIC INVESTORS SECURITIES CO NORTH HOLLYWOOD CALIFORNIA	03108390	PARKER J CURTIS HATCHITOCHEE LA	03051450
PACIFIC NATIONAL INVESTORS SERVICES INC SAN FRANCISCO CALIFORNIA	03145270	PARKER J R & CO NORFOLK VA	03127490
PACIFIC PROGRAMMING CORP LONG BEACH CALIF	03130270	PARKER JACKSON HANN & ASSOCIATES INC SALT LAKE CITY UTAH	03115040
PACIFIC SECURITIES CO SALEM OREGON	03138080	PARKER MAWOD & CO SALT LAKE CITY UTAH	03140680

NAME	ID. NO.	NAME	ID. NO.
PARKER S C & CO INC BUFFALO, N.Y.	03119790	PEELOR CHARLES G & CO PITTSBURGH PENNSYLVANIA	03138620
PARKS WILLIAM M CO WACO TEXAS	03079260	PEERSON & CO HAMMOND, IND.	03055780
PARLE MALCOLM R NEW YORK N Y	03121490	PEERSON & CO INC HAMMOND INDIANA	03143940
PARRISH & CO NEW YORK N Y	03029770	PEET H D & CO KANSAS CITY MISSOURI	03030380
PARSONS & CO INC CLEVELAND OHIO	03059350	PELLISSIER ASSOCIATES INC NEW YORK NEW YORK	03145570
PASSELL D H & CO INC CLEARWATER, FLA.	03099750	PENBERTON SECURITIES LTD VANCOUVER 1 B C CANADA	03129890
PASTERNAK & CO NEW YORK, N.Y.	03091970	PENINGTON COLKET & CO PHILADELPHIA PA	03124140
PASTERNAK HAROLD NEW YORK N Y	03110290	PENINSULA INVESTORS CO FAR ROCKAWAY N Y	03127390
PASTERNAK SECURITIES CORP NEW YORK NEW YORK	03145320	PENINSULAR INVESTMENTS TREASURE ISLAND FLA	03024300
PASTRON HAROLD FUNDED INVESTMENTS CHICAGO ILL	03101940	PENINSULAR SECURITIES INC JACKSONVILLE FLA	03190010
PATASHNICK HY INC NORTH ADAMS, MASS.	03107610	PENN AMERICAN SECURITIES CO LANSDALE PENNSYLVANIA	03147620
PATNOD E F SECURITIES CO RUTLAND VERMONT	03123380	PENN MUTUAL EQUITY SERVICES INC PHILADELPHIA PENNSYLVANIA	03147150
PATTERSON MUNYON & ASSOCIATES SAN MARINO CALIFORNIA	03135520	PENN SQUARE MANAGEMENT CORP READING PENNSYLVANIA	03135340
PATTERSON MUNYON & ASSOIS INC SAN MARINO CALIFORNIA	03143120	PENN TEXAS SECURITIES CO DALLAS TEXAS	03059370
PATTERSON SECURITIES & INVESTMENT CO INC FORT WAYNE 2 IND	03011520	PENNEY LEONARD ARNOLD PROVIDENCE RHODE ISLAND	03135960
PAUL REVERE EQUITY SALES CO THE WORCESTER MASSACHUSETTS	03140890	PENNINGTON ROY L KNOXVILLE TENNESSEE	03137730
PAULS LOUIS & CO INC GALVESTON TEXAS	03072670	PENNSYLVANIA & SOUTHERN CO PHILADELPHIA PENNSYLVANIA	03132960
PAX INVESTMENT SECURITIES INC LEXINGTON PARK MD	03129350	PENNSYLVANIA CREDIT UNION LEAGUE HARRISBURG PA	03043780
PAYNE KENNETH M JR GLENDALE CALIF	03128620	PENNSYLVANIA FUNDS CORP PHILADELPHIA PA	03010210
PAYNER & CO FORT MORGAN, COLO.	03060100	PENNSYLVANIA SECURITIES CO BEVERLY HILLS CALIF	03107450
PAYSON H M & CO PORTLAND ME	03022050	PENSION & INVESTMENT ASSOCIATES OF AMERICA INC MONTCLAIR NEW JERSEY	03137210
PDP DATA CO NEW YORK NEW YORK	03147800	PENSION EQUITIES CO SAN FRANCISCO CALIFORNIA	03095490
PEACOCK H M SALT LAKE CITY 9 UTAH	03028190	PEOPLES INVESTMENT PLANNING INC ATLANTA GEORGIA	03119060
PEARSALL & CO NEW YORK, N.Y.	03016190	PEOPLES INVESTMENT SECURITIES MOBILE, ALA.	03109560
PEARSON & CO NEW YORK NEW YORK	03140620	PEOPLES PROTECTIVE SECURITIES OF GEORGIA INC ATLANTA GEORGIA	03138920
PEARSON HARDEN D CO RICHFIELD, UTAH	03113090	PERKOFF NAT & CO GOLETA CALIFORNIA	03081840
PECAUT & CO SIOUX CITY IOWA	03089180	PERLBERG MONESS WILLIAMS & DAY NEW YORK N Y	03121450
PECK S M & CO NEW YORK, N.Y.	03056940	PERROFFA MICHAEL W CO POMPTON PLAINS NEW JERSEY	03140770
PEELER J LEE & CO INC DURHAM N C	03026360		

NAME	ID. NO.	NAME	ID. NO.
PERRY BERNEY & CO INC BIRMINGHAM 3, ALA.	03018470	PHILLIPS SECURITIES INC MILWAUKEE WISC	03040800
PERSHING & CO NEW YORK N Y	03016170	PHENIX EQUITY PLANNING CORP HARTFORD CONNECTICUT	03141000
PERSONAL INCOME PROGRAMMING SERVICE INC BROOKLYN 1, N.Y.	03072430	PHYSICIANS ADMINISTRATIVE SERVICES INC ORLANDO FLORIDA	03138970
PERSONAL INVESTORS CO INC OZONE PARK NEW YORK	03146560	PHYSICIANS FUND OF THE BUREAU OF MED F C PHOENIX ARIZ	03052360
PERSONNEL INCOME PLANS INC CHICAGO ILL	03129160	PICKETT NEAL & CO INC HOUSTON 2, TEXAS	03059830
PETERS F A & CO PATERSON N J	03048500	PIEDMONT EQUITIES CORP ATLANTA GEORGIA	03143720
PETERSEN INVESTMENT CO WICHITA 12 KANS	03010110	PIEDMONT INTERNATIONAL LTD ENGLEWOOD NEW JERSEY	03146010
PETERSEN JOSEPH G & CO INC ST LOUIS MO	03057940	PIERCE WHITE & DRUMMOND INC BANGOR MAINE	03016150
PETERSEN RALPH E & CO BERLIN, WISC.	03026380	PIERCE WULBERN MURPHEY CORP JACKSONVILLE 2, FLA.	03035470
PETERSON HOWARD CO NEW YORK N Y	03028830	PIERCE WULBERN MURPHEY INC JACKSONVILLE, FLA.	03088370
PETERSON P R CO MINNEAPOLIS MINNESOTA	03093920	PIGNATA THOMAS W CO JERSEY CITY 4, N.J.	03016140
PETROLEUM EQUITIES CORP NEW YORK N Y	03050650	PIRE J J CORP LOS ANGELES CALIF	03113900
PETTIT WILLIAM DUNLAP PITTSBURGH 22, PA.	03068180	PILATO ANDREW A RODGWOOD NEW YORK	03134870
PEYTON SECURITIES CO INC DALLAS TEXAS	03130580	PILGRAM FUND DISTRIBUTORS INC TENAFLY N J	03126790
PFUEGER & BAERWALD SAN FRANCISCO 4, CALIF.	03022910	PILGRAM SECURITIES CORPORATION BOSTON MASSACHUSETTS	03142870
PFLUGELDER FREDERICK J & CO NEW YORK N Y	03130210	PINLEY DORIS V ERIE, PA.	03092460
PFORZHEIMER CARL M & CO NEW YORK N Y	03009910	PINCUS O A & CO NEW YORK N Y	03096880
PFUHLMANN GUSTAV AUGUST WHITESTONE 37, N.Y.	03078080	PIONEER FINANCIAL SERVICES INC DALLAS TEXAS	03055680
PHLAN & CO NEW YORK N Y	25069005	PIONEER INVESTMENT CO COLUMBUS OHIO	03147120
PHELPS & CO SAN FRANCISCO 4, CALIF.	03106560	PIPER JAFFRAY & HOPWOOD MINNEAPOLIS, MINN.	03009950
PHELPS CO MIAMI FLORIDA	03073360	PITFIELD MACKAY & CO INC NEW YORK N Y	03029780
PHELPS FENN & CO NEW YORK N Y	03128400	PLACE STUART MACGREGOR SOUTH BEND INDIANA	03135870
PHIL MAR INVESTMENT CO INC INDIANAPOLIS IND	03127680	PLACE WILLIAM H CO NEW YORK NEW YORK	03017840
PHILADELPHIA PENSION SECURITIES CORP JENKINTOWN PENNSYLVANIA	03145230	PLANNED EQUITIES INC NEW YORK N Y	03078410
PHILLIPS APPEL & WALDEN INC NEW YORK NEW YORK	03141640	PLANNED ESTATES INC NEW YORK N Y	03063280
PHILLIPS APPEL & WALDEN MANAGEMENT CORP NEW YORK NEW YORK	03138420	PLANNED FINANCIAL PROGRAMS INC VALLEY STREAM, N.Y.	03057270
PHILLIPS E J & CO INC PARAMUS NEW JERSEY	03143380	PLANNED FUTURE SECURITIES CO MANKATO MINNESOTA	03146970
PHILLIPSON MAX & CO INC UTICA 2, N.Y.	03073760	PLANNED INVESTMENT CO INDIANAPOLIS, IND.	03069990
PHILLIPS ALFRED J BROOKLYN 9, N.Y.	03084880	PLANNED SECURITY CONSULTANTS INC NEW YORK N Y	03103590

NAME	ID. NO.	NAME	ID. NO.
PLANNED SECURITY CORP LANSDALE PA	03130240	PRAIRIE STATES INVESTMENT CORP INC KANSAS CITY KANSAS	03139430
PLANNERS INC HAMDEN LA, CONN.	03080360	PRATHER CLIFF SCOTTSDALE ARIZONA	03137920
PLANNING CORP OF AMERICA INC SAINT PETERSBURG FLORIDA	03137700	PRATT H P & CO INC SEATTLE WASH	03082340
PLANS INC PINEHURST N C	03089470	PRAY HAROLD E LEWISBURG PA	03068390
PLATO FUNDING CO FRANKLIN SQUARE NEW YORK	03126530	PREFERRED INVESTOR PLANNING PEARL RIVER, N.Y.	03053130
PLAZA SECURITIES CORP KANSAS CITY MO	03124780	PREFERRED MUTUAL FUNDS INC NEW YORK N Y	03104490
PLEU R F MUTUAL FUNDS INC BUFFALO NEW YORK	03137640	PREFERRED PROGRAMS INC NEW YORK NEW YORK	03144830
PLICO MANAGEMENT CO BISMARCK N D	03114630	PREMIER PLANNING ASSOCIATES EDISON NEW JERSEY	03147250
PLDMN CHARLES E CO NEW YORK N Y	03027650	PRESGOTT J L CO NEW YORK N Y	03016130
PLYMOUTH SECURITIES CORP JERSEY CITY N J	03098610	PRESGOTT MERRILL TURBEN & CO CLEVELAND OHIO	03009430
PM INVESTORS CO FLUSHING 65, N.Y.	03112780	PRESGOTT WILLIAM S & CO INC BOSTON MASS	03129450
POLLOCK WILHELM E & CO INC NEW YORK N Y	03015190	PRESGOTT WRIGHT SNIDER CO KANSAS CITY MO	03022920
POLONITZA HARRY C & CO LOS ANGELES 14, CALIF.	03061420	PRESIDENTIAL PLANNING CORP NEW YORK N Y	03126130
POND JOHN & CO INC MINNEAPOLIS MINNESOTA	03147720	PRESSMAN FROMLICH & FROST INC NEW YORK NEW YORK	03131300
POOLE & CO PHILADELPHIA PA	03064600	PRESSPRICH CORPORATION THE NEW YORK N Y	03126690
POPPER WOLF A INC NEW YORK NEW YORK	03132490	PRESSPRICH R W & CO NEW YORK, N.Y.	03000190
PORTEOUS & CO INC PHILADELPHIA PA	03064820	PRESSPRICH R W & CO INC NEW YORK NEW YORK	03137840
PORTFOLIO STRATEGY LTD NEW YORK NEW YORK	03144750	PRESTON MATT & SCHOYER PITTSBURGH 22 P A	03020580
PORTNEY JACK MARVIN NEW YORK NEW YORK	03146130	PREVISION INVESTMENT CO NORTHPORT NEW YORK	03096670
POST & AGNEW INC NEW YORK NEW YORK	03143160	PRICE & SCHNEIDER INVESTMENTS PIEDMONT CALIFORNIA	03143070
POSTAL INVESTMENT CO INC JEFFERSON CITY, MO.	03107360	PRICE JOHN T & CO INC LOS ALITOS, CALIF.	03117720
POTTER INVESTMENT CO SALT LAKE CITY UTAH	03142350	PRICE NAUNEY CO BURBANK CALIF	03125590
POTTS FINANCIAL CORP MIAMI, FLA.	03085970	PRICE-NAUNEY CO BURBANK CALIFORNIA	03140760
POWELL ELNER E & CO PITTSBURGH 19, PA.	03081930	PRIESTER & CO DAVENPORT IOWA	03022490
POWELL GEORGE B CO INC NORFOLK, VA.	03017780	PRIESTLEY J W & CO LAS VEGAS NEVADA	03143670
POWELL JOHNSON & ASSOCIATES INC PASADENA CALIF	03042160	PRIMUS INVESTMENT CO GRAND RAPIDS MICHIGAN	03143620
POWELL KISTLER & CO FAYETTEVILLE, N.C.	03083220	PRINCIPAL INVESTORS CORP SEATTLE, WASH.	03086120
POWERS RICHARD H APPLETON WISCONSIN	03134600	PRINCIPALE INVESTMENT CO NEW YORK N Y	03015100
POWERS WALTER R & CO WHEELWRIGHT MASS	03085480	PRINGLE E H & CO CHARLESTON SOUTH CAROLINA	03047200

NAME	ID. NO.	NAME	ID. NO.
PRINCIPLE I J INVESTMENT CO SHREVEPORT LA	03110280	PRUDENT INVESTORS PLANNING CO NEWARK, N.J.	03092110
PRITCHARD J F & CO FITCHBURG, MASS.	03082310	PRUDENT PLANNING SERVICE NEW YORK N Y	03044910
PRO SERVICES INC PHILADELPHIA PA	03131050	PRUDENTIAL INSURANCE CO OF AMERICA THE NEWARK NEW JERSEY	03138810
PROCTOR COOK & CO BOSTON MASS	03027670	PRUDENTIAL INVESTMENT CORP MIAMI, FLA.	03092300
PROFESSIONAL FINANCIAL PLANNING CO INC HOUSE SPRINGS MISSOURI	03143390	PRUDENTIAL SECURITIES CORP STATEN ISLAND N.Y.	03039760
PROFESSIONAL INVESTMENT MANAGEMENT CO ST LOUIS MISSOURI	03060130	PRUDENTIAL SECURITIES CORPORATION ARDMORE PENNSYLVANIA	03143930
PROFESSIONAL INVESTMENT SERVICES INC PRAIRIE VILLAGE, KANS.	03060780	PRUDOMNE INVESTOR SERVICE INC SILVER SPRING MARYLAND	03111100
PROFESSIONAL INVESTMENTS VAN NUYS CALIFORNIA	03138410	PRUMER HOMER GENE INDIANAPOLIS INDIANA	03135440
PROFESSIONAL PLANNING CO BALTIMORE MD	03129940	PUBLIC SECURITIES CO FALL RIVER, MASS.	03117590
PROFESSIONAL SERVICE PLAN RANCHO MIRAGE CALIF	03011120	PULIS C D & CO NEW YORK N Y	03037550
PROFFITT REILLY & CO NEW YORK NEW YORK	03147920	PUMPHREY PRESTON & CO INC SYOSSET N Y	03128960
PROFIT FUNDS INC GARDEN CITY NEW YORK	03137090	PURCELL GRAHAM & CO NEW YORK N Y	03056680
PROFIT INVESTING PLANNING CO BROOKLYN, N.Y.	03080230	PURCELL GRAHAM & CO INC NEW YORK N Y	03089990
PROFIT SHARING INVESTMENTS INC ROCHESTER, MICH.	03111540	PURVIS RALPH EDWARD BREMERTON WASHINGTON	03145410
PROGRAMMED SECURITY LTD HEMPSTEAD N Y	03125690	PUTNAM F L & CO INC BOSTON MASSACHUSETTS	03080480
PROGRAMS FOR RETIREMENT INC NEW YORK NEW YORK	03137050	PUTNAM FUND DISTRIBUTORS INC BOSTON MASS	03013690
PROGRAMS INC TAGOMA WASHINGTON	03134260	PUTNAM PROGRAMS CORP BOSTON MASS	03106580
PROPERTY RESEARCH INTERSTATE INC LOS ANGELES CALIFORNIA	03139560	PYNE KENDALL & HOLLISTER NEW YORK, N.Y.	03031910
PROPP & CO INC NEW YORK N Y	03059020	PYRAMID FUNDS CORP LOUDBONVILLE N Y	03127690
PROTECTED INVESTORS OF AMERICA SAN FRANCISCO 4, CALIF.	03002180	QUAIL & CO INC DAVENPORT IOWA	03008970
PROTECTIVE EQUITIES CORP SEATTLE WASHINGTON	03139280	QUARTET PLANNING CORP JACKSON HEIGHTS, N.Y.	03112700
PROVIDENCE CHURCH PLAN INC ATLANTA, GA.	03115460	QUINBY & CO INC ROCHESTER, N.Y.	03008050
PROVIDENT INVESTORS CO OF NEW YORK BROOKLYN NEW YORK	03138700	QUINCEY CHARLES E & CO NEW YORK NEW YORK	03001580
PROVIDENT MANAGEMENT CORP WILMINGTON 1, DEL.	03080660	QUINN & CO ALBUQUERQUE N M	03043810
PROVIDENT SECURITIES CORP HAVERFORD PENNSYLVANIA	03138510	QUINN & CO INC ALBUQUERQUE NEW MEXICO	03143640
PROVIDOR SALES CO PHILADELPHIA PENNSYLVANIA	03146570	QUINN E J & CO INC NEW YORK NEW YORK	03076790
PROVOST K L & CO SANTA ANA, CALIF.	03046970	QUINN THOMAS W POTTSVILLE PA	03021520
PRUDENT INVESTING CORP MINEDLA, N.Y.	03078970	QUINTAL ANTONIO G INVESTMENT CO NEW BEDFORD MASS	03116840
PRUDENT INVESTMENTS BRAINTREE MASSACHUSETTS	03147610	R S SERVICES CO CHICAGO ILLINOIS	03135620

NAME	ID. NO.	NAME	ID. NO.
RACHLIN ROBERT NEW YORK, N.Y.	03068850	RAPIER EDWARD D NEW ORLEANS LOUISIANA	03022950
RADA INVESTMENT CO PERTH AMBOY NEW JERSEY	03141980	RAPP J M CO KENILWORTH NEW JERSEY	03142940
RADAWIEC ALBIN J CO SPRINGFIELD, MASS.	03074570	RATH JAMES JOHN MADISON WISCONSIN	03133640
RADER & CO MEMPHIS, TENN.	03047100	RAUSCHER PIERCE & CO INC DALLAS TEXAS	03124650
RAEDER PETER HEINRICH TALLMAN NEW YORK	03142120	RAUSCHER PIERCE SECURITIES CORP DALLAS TEXAS	03124660
RAFFEL & CO PHILADELPHIA 9 PA	03019700	RAWLAND INVESTMENT SERVICE ST CLOUD MINN	03129840
RAFFENSPERGER HUGHES & CO INC INDIANAPOLIS 4 IND	03019110	RAY JOSEPH LINCOLN SUNBURY PA	03068930
RAFFERTY & CO SAN FRANCISCO, CALIF.	03119500	RAYMOND DEL CIO CORP RIDGEWOOD, N.J.	03106340
RAFKIND & CO INC NEW YORK N Y	03127310	RAYMOND JAMES & ASSOCIATES INC SAINT PETERSBURG FLORIDA	03109990
RAGLAND CO INC NEW YORK, N.Y.	03120830	READ WILLIAM E & CO INC DALLAS TEXAS	03092470
RAGLAND EVAN L & CO INC JACKSON, MISS.	03062380	READEY TUFFLE & CO INC CLAYTON MO	03128570
RAHEL J CLIFF & CO INC OMAHA NEB	03131400	REALTY SECURITIES HOUSTON TEXAS	03059470
RAHILLY J P & CO INC NEW YORK NEW YORK	03133250	REAP INC JEFFERSONVILLE INDIANA	03134620
RALSTON ROBERT JOHN FLOURTOWN PENNSYLVANIA	03145510	REAVES W H & CO INC NEW YORK, N.Y.	03092760
RALSTON SECURITIES CO ROCKFORD, ILL.	03028180	REBER MUNDT & CO INC BUFFALO 3, N.Y.	03109490
RAMM RICHARD A & CO LEVITOWN N Y	03062490	RECONSTRUCTION CAPITAL CORP NEW YORK CITY N Y	03004700
RAND & CO INC NEW YORK N Y	03122500	REDDOCH JAMES N & CO MEMPHIS TENNESSEE	03059680
RAND ARTHUR & CO HELLESLEY HILLS 81, MASS.	03035360	REDFIELD BEM SPOKANE WASH	03029800
RAND LEE & CO NEW YORK N Y	03125050	REDMOND J W & CO WASHINGTON, D.C.	03084640
RAND MCKAY & LYON INC NEW YORK N Y	03074740	REED SECURITIES CO KANSAS CITY MISSOURI	03148180
RANEY INVESTMENT CO INC LITTLE ROCK ARK	03053210	REEVES DANIEL & CO BEVERLY HILLS CALIF	03002850
RANEY SECURITIES CO INC LITTLE ROCK ARK	03090810	REFALVY VICTOR E CLINTON N J	03064210
RANEY T J & SONS LITTLE ROCK ARK	03019120	REFSNES ELY BECK & CO INC PHOENIX ARIZ	03127350
RANGER SECURITIES CORP NEW YORK N Y	03100940	REGAL SECURITIES INC UNION NEW JERSEY	03133080
RANKIN & CO ATLANTA GEORGIA	03135120	REGAN MELAMED & CO INC MINNEAPOLIS MINN	03131320
RANKIN DAVID H & CO FORT WORTH TEXAS	03049800	REGER & CO INC DETROIT 26, MICH.	03092920
RANKIN DAVID H CO INC FORT WORTH TEXAS	03125740	REGISTERED FUNDS INC CHARLOTTE, N.C.	03080350
RANSON & CO INC WICHITA, KANSAS	03004790	REICH & CO INC NEW YORK N Y	03121340
RANSON SECURITIES CO INC WICHITA KANSAS	03147110	REICHAERT & CO INC PLANDOME MANHASSET NEW YORK	03008040

NAME	ID. NO.	NAME	ID. NO.
REID BELMONT & CO INC SAN JOSE CALIF	03129420	REVERE PAUL VARIABLE ANNUITY INSURANCE C WORCESTER MASS	03128260
REID GRAMAM & CO DALLAS TEXAS	03000720	REVIEW MANAGEMENT CORP GRELAND PA	03047120
REID MCDOWELL & FRAZIER SPOKANE 1, WASH.	03025180	REVITS HARRY NEW YORK N Y	03125120
REJMEA N'LOU E & CO NEW YORK N Y	03022960	R EYHOLDS & CO NEW YORK N Y	03002190
REINERT & CO INC NEW YORK NEW YORK	03136100	REYNOLDS & CO ATLANTA, GA.	03113280
REINGOLD CO NEW YORK N Y	03093890	RFI SECURITIES COMPANY COLUMBUS OHIO	03143130
REINHOLDT & GARDNER ST LOUIS MO	03087900	RHODES & CO INC ENGLEWOOD CLIFFS, N.J.	03100930
REITER C M & CO CINCINNATI OHIO	03008410	RHODES SECURITIES CORP NEW YORK NEW YORK	03144200
RELIANCE SALES CORP CHARLOTTESVILLE VIRGINIA	03137810	RHONBERG PENSION CONSULTANTS INC DUBUQUE IOWA	03145360
RELINC SECURITIES CORP HONOLULU HAWAII	03106910	RICE R M & CO CHICAGO ILL	03131660
RELYEA P F & CO DALLAS 19 TEXAS	03044880	RICE R M & CO CHICAGO ILLINOIS	03138090
RENNELE & CO GRANVILLE, OHIO	03029530	RICH ALFRED R CO NEW YORK NEW YORK	03046470
RENH W L & SONS NORFOLK VIRGINIA	03092600	RICHARD C B ELLIS & CO NEW YORK N Y	03038800
RENNISEN RENNEISEN & REDFIELD INC ODDYSSTOWN PENNSYLVANIA	03145400	RICHARD MORRIS CO NEW YORK N Y	03065100
RENSHAW MCKEDOWN & YOUNG INC DETROIT MICHIGAN	03086380	RICHARDS & CO PITTSBURGH 19, PA.	03081920
RENTZ & CO BIRMINGHAM 3, ALA.	03112950	RICHARDS INVESTMENT CO SANTA BARBARA, CALIF.	03119860
RENYS FIELD & CO INC ENGLEWOOD, N.J.	03034400	RICHARDS INVESTMENTS SALT LAKE CITY UTAH	03127640
REPUBLIC SECURITIES CORP WASHINGTON D C	03132230	RICHARDS LARRY INC JERSEY CITY N J	03076470
RESCH CASSIN & CO INC NEW YORK NEW YORK	03142520	RICHARDS MERRILL & PETERSON INC SPOKANE WASH	03000710
RESEARCH ANALYSTS SECURITIES CORP GREAT NECK NEW YORK	03146260	RICHARDS RAYMOND ROSS LITTLE ROCK ARKANSAS	03141860
RESERVE INVESTMENT CO CINCINNATI 2, OHIO	03014130	RICHARDSON E L & CO FAIRBORN OHIO	03138840
RETIREMENT GROWTH PLANS OF AMERICA INC FORT WASHINGTON PA	03136470	RICHARDSON SECURITIES INC NEW YORK NEW YORK	03069650
RETIREMENT INC WASHINGTON, D.C.	03089810	RICHARDSON WAYNE M SACRAMENTO CALIFORNIA	03140260
RETIREMENT INCOME INVESTMENTS TUSTIN CALIFORNIA	03133210	RICHMOND C R & CO SAN FRANCISCO CALIFORNIA	03132540
RETIREMENT INVESTMENT CO SAN FRANCISCO CALIF	03102380	RICHMOND C R & CO SAN FRANCISCO CALIF	03131090
RETIREMENT INVESTMENT CO SEATTLE, WASH.	03102590	RICHMOND SECURITIES CORP STATEN ISLAND N Y	03107590
RETIREMENT PLANNING ASSOCIATES INC NEW LONDON CONNECTICUT	03135370	RICHTER HENRY J & CO ST. LOUIS 1, MO.	03120690
REVAN INVESTMENTS LTD TORONTO, ONTARIO, CANADA	03097540	RICKLEFS HERMIT EDWARDS SEATTLE WASHINGTON	03134530
REVERE MANAGEMENT CO INC PHILADELPHIA, PA.	03080210	RICO ELLSWORTH A & ASSOCIATES SUNNYVALE CALIFORNIA	03069060

NAME	ID. NO.	NAME	ID. NO.
RIDGWAY MCLEOD & ASSOCIATES PLAINFIELD N J	03109400	ROBINSON F A & CO NEW YORK NEW YORK	03116730
RIEGER & CO LORAIN, OHIO	03108260	ROBINSON GEORGE & CO NEW YORK NEW YORK	03140490
RIETH & CO ST. PETERSBURG 6, FLA.	03033580	ROBINSON HUMPHREY CO INC ATLANTA GEORGIA	03029820
RIGGS JACK INVESTMENT CO SALINAS, CALIF.	03102980	ROBINSON LYMAN & CO NEW YORK N Y	03128930
RILEY & GRANT CO INC WASHINGTON, D.C.	03120950	ROBINSON WILLIAM B & CO CORSIKANA, TEXAS	03052220
RINK K N CO RYE N Y	03124200	ROCHE ARTHUR FELIX JR ORLANDO FLORIDA	03133810
RIPPEE W E ASSOCIATES INC HONOLULU HAWAII	03094610	ROCKE FINANCIAL PLANNING CO EUREKA, ILL.	03118630
RIPPEL & CO NEW YORK NEW YORK	03140900	ROCKLER VERNON J & CO INC MINNEAPOLIS 2, MINN.	03105830
RIPPEY INSKIP HESS & MCFAYL INC PORTLAND ORE	03099070	ROCKVILLE SECURITIES CO INC ROCKVILLE CENTRE, N.Y.	03089110
RITER & CO NEW YORK, N.Y.	03001460	ROCKWELL GOULD CO INC ELMIRA N Y	03005660
RITTENHOUSE INVESTMENT CORP PHILADELPHIA PENNSYLVANIA	03143660	ROCKWELL H T CO NORTHPORT N Y	03045910
RITTENDURE INVESTMENT CO WICHITA KANS	03010120	ROGGER M L & BROTHERS CHICAGO ILL	03128100
RITTMAN DAVID H & CO NEW YORK NEW YORK	03073550	ROGMAN & RENSHAW CHICAGO ILL	03009480
RIVENBURG RUSSELL R	03107470	ROE JOSEPH LEO LUBBOCK TEXAS	03135290
RIVIERE & BERENS SECURITIES CORP WASHINGTON D C	03003290	ROEBUCK FUNDS INC NEW YORK N Y	03124680
RMC INVESTMENT ASSOCIATES ATLANTA GEORGIA	03080700	ROGERS ALLEN & CO INC DALLAS 1, TEXAS	03084740
ROBBINS EITMAN JOFFE CO INC ASBURY PARK N J	03090670	ROGERS ASSOCIATES PHILADELPHIA PENNSYLVANIA	03136640
ROBERSON J B PHOENIX ARIZONA	03134980	ROGERS CASPER & CO INC NEW YORK N Y	03090990
ROBERT & RICKSEN & CO PALM BEACH FLORIDA	03124550	ROGERS GEORGE A & CO INC JERSEY CITY 2 N J	03004420
ROBERTS E J & CO FRANKLIN LAKES, N.J.	03076900	ROGERS HARTLEY & CO NEW YORK N Y	03042740
ROBERTS JOHN RUSSELL OAK BROOK ILLINOIS	03140150	ROGERS JOSEPH W & CO INC FORT COLLINS COLORADO	03132200
ROBERTS KARL S MUTUAL FUNDS WEST PALM BEACH FLORIDA	03052710	ROGERS LOUIS G & CO CHARLOTTE, N.C.	03039820
ROBERTS R C & CO NEW YORK N Y	03031970	ROGERS LOUIS L CO NEW YORK N Y	03005950
ROBERTS SCOTT & CO INC SAN DIEGO, CALIF.	03099890	ROGERS THOMAS CO MOLINE ILL	03127560
ROBERTSON MAHLON CO TULSA 35, OKLAHOMA	03060910	ROHRBAUGH & CO WASHINGTON D C	03087980
ROBINS & CO IRVINGTON N J	03109250	ROLLINS K B & CO SYRACUSE N Y	03025210
ROBINSON & CO INC PHILADELPHIA 2, PA.	03039500	ROMAN HARRY INVESTMENT CO LOS ANGELES CALIFORNIA	03136260
ROBINSON & LUKENS WASHINGTON D C	03005670	ROMAN SECURITIES INC FORT LAUDERDALE FLA	03126990
ROBINSON & ROBINSON ALLENTOWN PA	03130920	ROMANO BROTHERS & ASSOCIATES EVANSTON, ILL.	03118770

NAME	ID. NO.	NAME	ID. NO.
ROMANO BROTHERS & CO EVANSTON ILLINOIS	03142130	ROTHCHILD L H & CO NEW YORK N Y	03029850
ROMEY WILLIAM C & CO DETROIT 26 MICH	03001040	ROTHEN G J & CO INC NEW YORK NEW YORK	03137030
ROOSE WADE & CO TOLEDO, OHIO	03120180	ROTHSCHILD & CO CHICAGO 3 ILL	03020620
ROOT MICHAEL & CO INC NEW YORK NEW YORK	03137760	ROTHSCHILD L F & CO NEW YORK N Y	03001470
RODT P B & CO ERIE PENNSYLVANIA	03082900	ROTHSCHILD ROBERT J & CO INC ST LOUIS MISSOURI	03131910
ROSAN ROBERT J NEW YORK, N.Y.	03119090	ROULSTON & CO INC CLEVELAND, OHIO	03114910
ROSEN ABRAHAM S ASSOCIATES INC MAPLEWOOD, N.J.	03093310	ROWE & PITMAN INC SAN FRANCISCO CALIF	03138550
ROSEN LUBASH & CO NEW YORK NEW YORK	03138870	ROWLES WINSTON & CO INC HOUSTON, TEXAS	03011980
ROSEN MITCHELL NEW YORK N Y	03074900	ROY PHILIP F & CO INC PITTSBURGH PENNSYLVANIA	03143970
ROSEN ROBERT A CO INC NEW YORK NEW YORK	03147090	ROYAL SECURITIES INC NEW YORK N Y	03085780
ROSEN-NEWMAN SECURITIES INC NEW YORK NEW YORK	03147230	ROYDEN ASSOCIATES INC PHILADELPHIA PENNSYLVANIA	03135150
ROSENBAUM WILLIAM M & CO NEW YORK N Y	03042780	ROYER SECURITIES CO PHILADELPHIA PENNSYLVANIA	03050110
ROSENTHAL & CO NEW YORK, N.Y.	03035850	RPD & COMPANY INC LOWELL MASSACHUSETTS	03147010
ROSENTHAL L M & CO INC NEW YORK N Y	03100240	RUANÉ CUNNEFF & STIRES INC NEW YORK NEW YORK	03147020
ROSENTHAL SECURITIES CO NEW YORK NEW YORK	03147730	RUBERG DAVID L PENNSAUKEN NEW JERSEY	03010700
ROSMAR CORP LTD TORONTO, ONTARIO, CANADA	03070870	RUBINSON & CO INC NEW YORK NEW YORK	03144590
ROSS & CO NEW YORK NEW YORK	03145070	RUBIO VICTOR M TIJUANA B CALIF MEXICO	03126980
ROSS & HIRSCH NEW YORK NEW YORK	03038320	RUCH V H & CO INC BUFFALO N Y	03024360
ROSS CHARLES M PHILADELPHIA PENNSYLVANIA	03134820	RUPE DALLAS & CO DALLAS TEXAS	03047560
ROSS INVESTMENT CO MONTEVIDEO MINNESOTA	03142310	RUPE DALLAS & SON INC DALLAS TEXAS	03041820
ROSS LOW BULL & CO NEW YORK N Y	03031390	RUSS & CO INC SAN ANTONIO TEXAS	03014010
ROSS LYON & CO INC NEW YORK, N.Y.	03056510	RUSSELL & SAKÉ NEW YORK N Y	03031990
ROSS RICHARD B MOUNT VERNON NEW YORK	03134690	RUSSELL FRANK CO INC TACOMA, WASH.	03002200
ROSSER EVAN R & CO WILLIAMSPORT PA	03124630	RUSSELL HOPPE KELLER & BALFOUR INC PORTLAND, ORE.	03025290
ROSSMANN GRAY & CO NEW YORK, N.Y.	03036550	RUSSELL J M & CO INC CLEVELAND 14 OHIO	03033840
ROTMAN MOSLE DALLAS UNION INC HOUSTON TEXAS	03013730	RUSSELL LONG & CO LEXINGTON KY	03029260
ROTH & CO INC PHILADELPHIA 10, PA.	03073720	RUSSELL S A & CO INC NEW YORK, N.Y.	03123700
ROTH E E INVESTMENT SECURITIES SANTA BARBARA CALIF	03058770	RUST A H & CO NEW YORK NEW YORK	03113180
ROTH GERARD & CO NEW YORK N Y	03097670	RUST H L CO WASHINGTON D C	03003660

NAME	ID. NO.	NAME	ID. NO.
AUST M S LOS ALTOS, CALIF.	03076070	SANSON ASSOCIATES INC NEW YORK N Y	03079120
P RUTHER JACKSON & GRAY INC LOS ANGELES, CALIF.	03096990	SAMUEL JOHN E & CO NEW YORK N Y	03106710
RYAN JOHN J & CO INC NEWARK N J	03122960	SAMUEL PAUL ASSOCIATES INC NEWARK, N.J.	03106480
RYBECK WILLIAM H & CO INC MERTON, CONN.	03122670	SAMUEL RALPH E & CO NEW YORK NEW YORK	03012880
RYERSON JOHN & CO INC NEW YORK NEW YORK	03139020	SAN JOAQUIN SECURITIES CO INC FRESNO CALIFORNIA	03145280
RYT SECURITIES INC WHITTIER, CALIF.	03113940	SANBORN NOEL B RENSBURG LONG ISLAND N Y	03054230
S & C OPTION CORP NEW YORK NEW YORK	03137630	SAND A G SECURITIES CO INC SAN FRANCISCO CALIFORNIA	03141290
S R H SECURITIES CORP NEW YORK NEW YORK	03141040	P SANDERS & CO INC DALLAS, TEXAS	03122370
SACKEN F H & CO NEW YORK N Y	03007060	SANDERS EDWIN C & CO ST. LOUIS 2, MO.	03015670
SACKS INVESTMENT CO LOS ANGELES CALIFORNIA	03147460	SANDERSON KILGORE & CO ARLINGTON VA	03062910
SAGE & CO WASHINGTON D C	03004380	SANFORD SECURITIES INC BROOKLYN NEW YORK	03141620
SAFECO RESEARCH & MANAGEMENT CORP SEATTLE WASHINGTON	03134700	SANOFF PAMA MONTEREY PARK CALIFORNIA	03135420
SAFEGUARD PLANNING CORP NEW YORK N Y	03111210	SARTORIUS & CO NEW YORK N Y	03095270
SAGE & CO INC HONOLULU HAWAII	03125130	SASSOWER JACOBS & SCHMEIDER INC NEW YORK NEW YORK	03146540
SAGE CONSULTANTS INC 2 IRVINGTON NEW JERSEY	03149030	SATNICK JAPHA INC NEW YORK N Y	03011090
SAGE RUTTY & CO INC ROCHESTER N Y	03005390	SAUL B F CO WASHINGTON D C	03009180
SAINT GERMAIN D J & CO SPRINGFIELD MASS	03045790	SAUNDERS & CO SAN ANTONIO TEXAS	03127380
SAINT JOHNS SECURITIES INC JACKSONVILLE FLORIDA	03139610	SAUNDERS E WILBUR BOZEMAN, MONT.	03086940
SAINT PAUL EQUITIES CORP SAINT PAUL MINNESOTA	03138460	SAUNDERS INVESTMENT CO LAKE OSWEGO ORE	03120590
SARELIK S R INVESTMENTS ROCKVILLE CENTRE, N.Y.	03112660	SAUNDERS M A & CO INC MEMPHIS TENN	03022990
SALDOR ENTERPRISES INC NEW YORK NEW YORK	03136360	SAUNDERS STIVER & CO CLEVELAND OHIO	03033960
SALERNO N P & CO SOMERVILLE MASS	03118120	SAUVE & CO NORTH ATTLEBORO MASS	03138800
SALK MANAGEMENT CORP BEVERLY HILLS CALIFORNIA	03141140	SAXON SECURITIES CORP NEW YORK NEW YORK	03142400
SALINGER INVESTMENT CO MAHOPAC, N.Y.	03107400	P SAXTON G A & CO INC NEW YORK, N.Y.	03015680
SALLEY SIMS CO INC ORANGEBURG S C	03129220	SCAMLAN EARL N & CO DENVER COLORADO	03010530
SALLEY SYBIL ALICE BELLAIR TEXAS	03139180	SCARNE D & CO INC NEW YORK N Y	03129020
P SALON S J & CO INC NEW YORK NEW YORK	03140200	SCARNE DAVID ATLANTA GA	03123990
P SALOMON BROTHERS & MUTZLER NEW YORK, N.Y.	03008990	P SCHAEFER HERBERT W & CO BALTIMORE, MD.	03120540
SALOMON F L & CO NEW YORK N Y	03022980	SCHAFFNER & CO BOULDER COLO	03124580

NAME	ID. NO.	NAME	ID. NO.
p SCHAPIRO M A & CO INC NEW YORK, N.Y.	03041590	SCHUSTER H M ASSOCIATES INC NEW YORK NEW YORK	03133260
SCHARFF & JONES INC NEW ORLEANS LA	03007570	SCHUSTER ROLLIN G DAYTON OHIO	03133660
SCHAUB HARRY P INC NEWARK N J	03008450	SCHWABACHER & CO SAN FRANCISCO, CALIF.	03039130
SCHENMAN HOGHSTIN & TROTTA INC NEW YORK N Y 10001	03113530	SCHWAMM & CO INC NEW YORK NEW YORK	03141770
SCHEMBER STANLEY WILLIAM SAN JOSE CALIFORNIA	03135130	SCHWARM & CO HAMILTON, OHIO	03010950
SCHENIN I J CO MIAMI BEACH FLORIDA	03026400	p SCHWARTZ & CO NEW YORK NEW YORK	03136430
SCHERCK STEIN & FRANE INC ST LOUIS MISSOURI	03049570	SCHWARTZ MARTIN STANLEY PHILADELPHIA PENNSYLVANIA	03143740
SCHERL EGENER & CO NEW YORK NEW YORK	03198690	p SCHWEICHAERT & CO NEW YORK NY	03036570
SCHICK LOUIS & CO NEW BRUNSWICK, N.J.	03073790	SCHWEICKHARDT & CO NEW ORLEANS LOUISIANA	03138020
SCHIFFMAN J L & CO JERSEY CITY NEW JERSEY	03015250	SCHWESER ROBERT E CO OMAHA, NEBR.	03035480
SCHINDEL MURRAY NEW YORK N Y	03054190	SCHWESER ROBERT E CO INC OMAHA NEBRASKA	03146760
SCHLICK H A INVESTMENT SECURITIES MILWAUKEE IL, WISC.	03012070	SCOTT & KEGLEY INC CHICAGO ILL	03020660
SCHLOSS IRWIN & CO INC NEW YORK N Y	03074540	p SCOTT & STRINGFELLOW RICHMOND VA	03125010
SCHMIDT ELLIS & ASSOCIATES INC DETROIT 19, MICH.	03081480	SCOTT CO PASADENA TEXAS	03069420
SCHMIDT ROBERTS & PARKE INC PHILADELPHIA 9, PA.	03120440	SCOTT CORP SCOTTSDALE, ARIZ.	03015690
p SCHMITT BERRY & CO INC HOUSTON TEXAS	03012000	SCOTT FLETCHER & CO INC JERSEY CITY NEW JERSEY	03146390
SCHNEIDER BERNET & HICKMAN SECURITIES CORP DALLAS TEXAS	03126050	SCOTT G K & CO INC NEW YORK NEW YORK	03084550
p SCHNEIDER BERNET & HICKMAN INC DALLAS TEXAS	03009370	SCOTT GORMAN ODDONNELL & CO INC NEW YORK NEW YORK	03139960
SCHOENBRUN LED LOS ANGELES CALIF	03047370	SCOTT J M & CO INC NEW YORK N Y	03084560
SCHOFF & BAXTER BURLINGTON, IDWA	03093870	SCOTT PLANNING CO INC NEWARK N J	03127540
SCHRANK JOSEPH & CO NEW YORK N Y	03100640	SCOTT RANDOLPH OF AMITYVILLE INC AMITYVILLE, N.Y.	03093260
SCHREIBER J A & CO ST. LOUIS 1, MO.	03093110	SCOTT SECURITIES CORP BIRMINGHAM ALABAMA	03140240
SCHRODER ROCKEFELLER & CO INC NEW YORK N Y	03028880	SCOTT SECURITIES INC HOUSTON TEXAS	03137740
SCHROEDER D D & CO MINNEAPOLIS 2 MINN	03022090	SCOTT WILSON A PITTSBURGH PENNSYLVANIA	03137110
SCHULTZ L J & CO CLEVELAND 14 OHIO	03011030	SCOVILLE & CO LOS ANGELES CALIFORNIA	03088660
SCHULTZ SECURITIES INC OSHKOSH, WISC.	03120030	SCOVILLE MELVIN J & ASSOCIATES SEATTLE WASHINGTON	03141940
SCHULZE R M & ASSOCIATES INC BATON ROUGE LOUISIANA	03138760	SCRANTON CHARLES W & CO NEW HAVEN, CONN.	03020670
SCHUR WILLIAM NEW YORK N Y	03068820	SCUDDER & GERMAN NEW YORK N Y	03081460
SCHUSTER & CO INC NEW YORK N Y	03007920	SCUDDER FUND DISTRIBUTORS INC ROSTON, MASS.	03002980

NAME	ID. NO.	NAME	ID. NO.
SCYTHIAN INVESTMENT CO OLYPHANT, PA.	03089290	SECURITY DIVERSIFIED SHARES MANAGEMENT I WINSTON SALEM, N.C.	03083150
SEALFON & FRIEDMAN INC NEW YORK NEW YORK	03146440	SECURITY FUNDS INC POCATELLO IDAHO	03118390
SEALFON MEREL & COMPANY NEW YORK NEW YORK	03142020	SECURITY INVESTMENT CO FARGO NORTH DAKOTA	03137650
SEALFON ROBERT & CO NEW YORK NEW YORK	03138860	SECURITY INVESTMENT SERVICES BOSTON MASSACHUSETTS	03130930
SEARS SUCSY & CO CHICAGO ILLINOIS	03147490	SECURITY INVESTORS CO MATAMOR N J	03129630
SEASONGOOD & MAYER CINCINNATI, OHIO	03001230	SECURITY OPTIONS CORP NEW YORK N Y	03080990
SEAWAY INVESTMENT CO MUSKOGEE MICH	03124460	SECURITY OUTLOOKS INC NEW YORK N Y 1003L	03096240
SEBAG JOSEPH INC LOS ANGELES CALIFORNIA	03137890	SECURITY PLAN INC FORT WORTH TEXAS	03141210
SECOND DISTRICT SECURITIES CO INC NEW YORK N Y	03024370	SECURITY PLANNERS ASSOCIATES INC BOSTON, MASS.	03089340
SECURE INVESTOR CO NEW YORK NY	03090670	SECURITY PLANNERS LTD INC BOSTON MASSACHUSETTS	03144240
SECURITIES & INVESTMENT PLANNING CO WILMINGTON N C	03131840	SECURITY PLANNING CO HAWTHORNE N J	03109340
SECURITIES CLEARING CORP LA CANADA CALIFORNIA	03137260	SECURITY PLANNING INC WEST PALM BEACH FLA	03040840
SECURITIES COMPANY OF MASS., INC. BOSTON MASS	03036710	SECURITY PLANNING INC FRESNO CALIF	03129700
SECURITIES CORP OF IOWA CEDAR RAPIDS IOWA	03014280	SECURITY PLANNING SERVICE NEW YORK N Y	03063400
SECURITIES EXCHANGE INC SEATTLE 1 WASH	03036740	SECURITY PLANNING SERVICE INC TEMPE ARIZ	03130720
SECURITIES FUND INVESTORS INC ENGLEWOOD N J	03083500	SECURITY PROGRAMMERS INC NORTH BELLMORE NEW YORK	03141100
SECURITIES INC CHAMPAIGN ILLINOIS	03131780	SEEBERG & CO SAN FRANCISCO CALIFORNIA	03143460
SECURITIES INC OF WEST VIRGINIA HUNTINGTON, W.VA.	03051400	SEELON HAROLD E MUTUAL FUNDS GLOVERSVILLE N Y	03131580
SECURITIES INVESTMENT CO PORTSMOUTH VA	03124930	SEGAL ALVIN D & CO LOS ANGELES CALIFORNIA	03143350
SECURITIES MANAGEMENT & RESEARCH INC GALVESTON TEXAS	03127450	SEIDEL HORTON & CO INC LOS ANGELES CALIF	03103670
SECURITIES MANAGEMENT CORP SAUSALITO CALIF	03107980	SEIDEN & DECUYAS INC NEW YORK, N.Y.	03118240
SECURITIES MANAGEMENT CORP DALLAS, TEXAS	03023050	SEIDLITZ & CO INC NEW YORK N Y	03112090
SECURITIES NETWORK NEW YORK NEW YORK	03145020	SEITZ RICHARD JAMAICA 35 N Y	03025280
SECURITIES UNLIMITED INC DALLAS TEXAS	03138390	SELECTED FINANCIAL PLANS INC KANSAS CITY, MO.	03116060
SECURITY & BOND CO INC LEXINGTON KENTUCKY	03122910	SELECTED INSURANCE & FINANCIAL EQUITIES OAKLAND CALIFORNIA	03095390
SECURITY CAPITAL CO INC HONOLULU, HAWAII	03120680	SELECTED INVESTMENTS WILMINGTON NORTH CAROLINA	03017570
SECURITY CHURCH FINANCE INC HOUSTON TEXAS	03135780	SELECTED INVESTMENTS CO CHICAGO, ILL.	03023060
SECURITY COUNSELORS INC COLUMBUS OHIO	03140190	SELECTED MUTUAL FUNDS MORRISTOWN NEW JERSEY	03144250
SECURITY DISTRIBUTORS INC TOPEKA, KANS.	03107810	SELECTED SECURITIES CO EAU CLAIRE WISC	03068750

NAME	ID. NO.	NAME	ID. NO.
SELECTED SECURITIES CORP BELMONT MASSACHUSETTS	03141740	SHAPIRO J CO BAYONNE NEW JERSEY	03074620
SELEQUITIES INC NEW YORK NEW YORK	03146080	P SHAPIRO J CO MINNEAPOLIS MINNESOTA	03140100
SELHEIMER & CO PHILADELPHIA PENNSYLVANIA	03133930	SHAREHOLDERS CONSULTANTS OF NEW YORK INC NEW YORK NEW YORK	03145180
SELIG & CO INC BALA CYNWYD PENNSYLVANIA	03139000	SHAREHOLDERS MANAGEMENT CO LOS ANGELES CALIFORNIA	03142470
P SELIGMAN J & W & CO NEW YORK N Y	03011740	SHARP ALFRED D & CO NASHVILLE TENN	03033140
P SELIGMANN & CO INC MILWAUKEE WISC	03100520	SHARPE FRANK W JR STOCK & BOND BROKER IN DOUGLAS, ARIZ.	03070500
SELIGMANN B F CO INC THE MILWAUKEE WISCONSIN	03139880	SHASKAN & CO INC NEW YORK N Y	03124170
SELLERS & SELLERS COOPERSTOWN, N.Y.	03114430	SHAUGHNESSY & CO INC ST PAUL MINN	03042130
SELLIN SHERMAN & CO NEW YORK NEW YORK	03145720	SHAW & CO NEW YORK N Y	03122170
SELTSAM HANNI & CO INC TOPEKA KANS	03010300	SHAW HOOKER & CO SAN FRANCISCO 4 CALIF	03022130
SENIORLE INVESTMENT BANKERS CORP GADSDEN ALABAMA	03069110	P SHEARSON HAMMILL & CO INC NEW YORK, N.Y.	03120560
SENNES & CO MEMPHIS TENN	03129440	SHEELINE PAUL D & CO BOSTON 9, MASS.	03008820
SENIOR CAPITAL CORP NEW YORK N Y	03122000	SHEFFEY JOHN M WESTFIELD N J	03069530
SERVICE INVESTMENT CORP WASHINGTON D C	03015280	SHEFFIELD A C & CO NEW LONDON CONNECTICUT	03097930
SERVICE PLANNING COMPANY INC CHARLOTTE N C	03124900	SHEFFIELD CO NEW YORK N Y	03003050
SERVICE SECURITIES INC NEW YORK NEW YORK	03080900	SHELVEY & CO BOSTON 9 MASS	03007980
SESKIS & WOHLSTETTER NEW YORK N Y	25082002	SHENANDOAH LIFE INSURANCE COMPANY ROANOK VIRGINIA	03144390
P SETZER W W & ASSOCIATES INC BOCA RATON FLORIDA	03144630	P SHENDELL SECURITIES INC NEW YORK NEW YORK	03143430
SEVENTY SIX SECURITIES SALES CORP NEW YORK NEW YORK	03142470	SHERIDAN ROBERT P CO INC SYRACUSE N Y	03101880
SGARZI ORFEO H PLYMOUTH MASS	03099600	SHERIFF SECURITIES CORP NEW YORK, N.Y.	03121240
SHAFPER H L & CO AMARILLO TEX	03000920	SHERMAN & CO PROVIDENCE RHODE ISLAND	03112590
SHAFFT SNOOK & CAHN SAN FRANCISCO CALIFORNIA	03014920	SHERMAN DAVID & CO CHICAGO ILL	03124280
SHAM U J & CO BOSTON MASSACHUSETTS	03136410	SHERMAN DEAN & CO INC NEW YORK N Y	03129980
SHALLER H ROGERS SEATTLE, WASH.	03119120	SHERMAN FITZPATRICK & CO INC NEW HYDE PARK L I NEW YORK	03114440
P SHAINNE H B & CO INC GRAND RAPIDS, MICH.	03057630	SHERMAN L D C CO INC NEW YORK N Y	03118220
SHANNON & LUCHS CO WASHINGTON D C	03053670	SHERR CARL P & CO WORCESTER, MASS.	03121150
SHANNON J H & CO BIRMINGHAM ALA	03122950	SHERROW JOEL ABRAHAM WOODMERE NEW YORK	03146400
SHAPIRO ARNOLD H NEW YORK N Y	03063350	SHERRY MALONEY & CO INC NEW YORK N Y	03063540
SHAPIRO FRED ALLEN CO MILWAUKEE WISCONSIN	03135970	SHERWOOD & ROBERTS INC WALLA WALLA WASH	03009960

NAME	ID. NO.	NAME	ID. NO.
SHERWOOD SECURITIES CORP NEW YORK NEW YORK	03139670	SIMON STRAUSS & CO NEW YORK N Y	09126100
SHELOW EVERETT A NEW YORK N Y	03086860	SIMPINS GORDON B ASSOCIATES INC BURLINGAME CALIFORNIA	03143880
SHIELDS E CO NEW YORK N Y	03007600	SIMPSON EMERY & CO INC PITTSBURGH 19, PA.	03008200
SHIELDS & CO INC NEW YORK N Y	03028900	SIMPSON H E SECURITIES INC NEW YORK N Y	03124600
SHIFF & CO NEW BRUNSWICK N J	03063790	SIMS HERBERT J & CO INC NEW YORK N Y	03037150
SHIFF S ASSOCIATES INC NEW YORK NEW YORK	03141470	SINCERE & CO CHICAGO ILL	03026490
SHIPPER & CO INC FLORENCE, ALA.	03071560	SINGER & HACKIE INC NEW YORK N Y	03027730
SHOFF CASH & CO INC ROANOKE, VA.	03093000	SINGER DEAME & SCRIBNER PITTSBURGH 19 PA	03005030
SHOEMAKER & CO INC OKLAHOMA CITY, OKLA.	03073860	SESSION CLYDE COLUMBIA SOUTH CAROLINA	03141600
SHRECK HARRY M BROOKLYN 26, N.Y.	03070760	SITNIK & CO WASHINGTON D C	03130090
SHRIVER MAX D KANSAS CITY MISSOURI	03134180	SIX HUNDRED SIXTY SIX EQUITIES CORP NEW YORK N Y	03093730
SHROPSHIRE FRAZER & CO MOBILE ALA	03066080	SKAIFE & CO BERKELEY 5, CALIF.	03054040
SHUFRO ROSE & MEYER NEW YORK, N.Y.	03040240	SKOLNIK M D & CO INC NEW YORK NEW YORK	03144140
SHUMAN AGNEW & CO SAN FRANCISCO CALIF	03009330	SLAVTON PONTIUS & CO ALTON ILL	03090350
SHUMATE & CO INC DALLAS TEXAS	03065960	SLIMMON ROBERT D BRIDGEPORT CONNECTICUT	03133740
SHY DEWITT M & CO MEMPHIS 3, TENN.	03116670	SLON DONALD C & CO PORTLAND ORE	03071330
SIBERELL JOHN A & CO SOUTH BEND INDIANA	03050430	SMAMA CLARK RONALD SKOKIE ILLINOIS	03134200
SIDECAS R B & CO SHREWSBURY MASSACHUSETTS	03141960	SMART & NALTY INC NEW ORLEANS LOUISIANA	03138490
SIEBERT MURIEL F NEW YORK NEW YORK	03137610	SMITH & ASSOCIATES SACRAMENTO CALIFORNIA	03136020
SIEGEL SIDNEY A & CO INC NEW YORK N Y	03079420	SMITH & CO WATERVILLE MAINE	03043720
SIEGLER EDWARD M & CO CLEVELAND 14, OHIO	03084070	SMITH & YOUNG INVESTMENT SECURITIES WYANDOTTE, MICH.	03116260
SIEPHAN SHARP & CO INC LOS ANGELES CALIFORNIA	03147450	SMITH BARNEY & CO INC NEW YORK N Y	03081770
SIREGA & CO INC LOS ANGELES CALIFORNIA	03130520	SMITH BROTHERS & CO CHICAGO 3 ILL	03029890
SILBERBERG & CO NEW YORK N Y	03040180	SMITH BURNIS & CO CHICAGO 3 ILL	03015960
SILCOX J M & CO CHARLESTON, S.C.	03089530	SMITH CLANTON & CO RALEIGH N C	03019230
SILVER BARRY & VAN RAALTE NEW YORK, N.Y.	25085005	SMITH DEROSA & CO INC LONG BEACH CALIFORNIA	03145770
SILVER MICHAEL H & CO INC NEW YORK NEW YORK	03138360	SMITH E E CO JERSEY CITY, N.J.	03036330
SIMMONS B L CO INC NEW YORK, N.Y.	03063740	SMITH E H JACOBS & CO NEW YORK NEW YORK	03140960
SIMON I N & CO ST LOUIS MO	03033610	SMITH E W CO PHILADELPHIA, PA.	03043010

NAME	ID. NO.	NAME	ID. NO.
SMITH EARL E T PALM BEACH, FLA.	03090780	SODERBERG DOUGLAS GUNARD LYNNFIELD MASSACHUSETTS	03139680
SMITH FRANK S CO INC COLUMBIA, S.C.	03023080	SOGEN INTERNATIONAL CORP NEW YORK NEW YORK	03143630
SMITH GERARD S & CO NEW YORK N Y	03083020	SOLOMON MARK I PHILADELPHIA PENNSYLVANIA	03112700
SMITH H L CO SILVER SPRING MD	03074780	SOMERS SCHAFFER & COLLINS NEW YORK N Y	03068870
SMITH HAGUE & CO DETROIT, MICH.	03023090	SOUTHEASTERN SECURITIES OF FLORIDA INC FORT LAUDERDALE FLORIDA	03136920
SMITH HARROW CO LITTLE ROCK ARK	03061880	SOUTHERN BOND CO INC JACKSON MISS	03131860
SMITH HOUSTON & CO INC FORT WAYNE, IND.	03113130	SOUTHERN BROKERAGE CO DALLAS, TEXAS	03025300
SMITH INVESTMENT CO & ASSOCIATES INC SANTA ANA CALIF	03128010	SOUTHERN EDUCATORS CORP ATLANTA GEORGIA	03138110
SMITH J M & CO BETHESDA 14, MD.	03118290	SOUTHERN FINANCIAL SERVICE CO TUSCALOOSA ALA	03129540
SMITH JACKSON & CO INC NEW YORK NEW YORK	03133140	SOUTHERN INVESTMENT CO INC CHARLOTTE N C	03128000
SMITH HAYER & ASSOCIATES FAIRFAX VA	03125570	SOUTHERN OPTION CORP NASHVILLE TENNESSEE	03146800
SMITH MOORE & CO SAINT LOUIS MISSOURI	03023100	SOUTHERN PLANNING CO ATLANTA GEORGIA	03147430
SMITH MORTON L EQUITY INVESTMENT INC PHILADELPHIA PENNSYLVANIA	03142890	SOUTHERN SECURITIES CORP LITTLE ROCK ARK	03028930
SMITH PHILD LANDSTREET & CO INC STAMFORD CONN	03117900	SOUTHLAND EQUITY SALES CO INC DALLAS TEXAS	03139740
SMITH PIERRE R & CO ELYRIA, OHIO	03102990	SOUTHWEST BOND & SHARE INC EDMOND OKLA	03128180
SMITH ROBERT C PHOENIX ARIZ	03130730	SOUTHWEST FINANCIAL PLANNING INSTITUTE INC SAN ANTONIO TEXAS	03139230
SMITH ROBERT L CO ST. PAUL 1, MINN.	03102630	SOUTHWESTERN FUND SALES INC EL PASO, TEXAS	03097280
SMITH TILTON & SIMMONS INC SANTA ANA CALIF	03125290	SOUTHWESTERN LIFE INSURANCE CO DALLAS TEXAS	03140550
SMITH VICARS & CO CHARLOTTESVILLE, VA.	03120760	SOUTHWESTERN MANAGEMENT & RESEARCH CORP DALLAS TEXAS	03063120
SMITH W L & CO INC LUBBOCK, TEXAS	03122060	SOUTHWICK CAMPBELL WATERMAN CO SEATTLE WASH	03054220
SMITH WALTER HOWELL BIRMINGHAM ALABAMA	03135480	SOUTHWOOD & YOUNG NEW YORK N Y	03121740
SMITHNERS F S & CO NEW YORK N Y	03020720	SOVEREIGN MANAGEMENT CORP LOS ANGELES CALIF	03120660
SMITH AKINS & LERCH INC BRYN MAWR PENNSYLVANIA	03139220	SOZEK GEO E & CO INC NEW YORK N Y	03076340
SNOW NYEN KURTZ & CO DETROIT MICHIGAN	03144860	SPAIN & STARKEL INC VERNON CONNECTICUT	03143240
SNYDER FRED INVESTMENT CO PHOENIX ARIZONA	03132420	SPANGLER RICHARD C INC PITTSBURGH 28, PA.	03088760
SNYDER GEO E & CO PHILADELPHIA 3 PA	03031460	SPARKS J W & CO PHILADELPHIA 7, PA.	01013210
SNYDER OWEN D & CO ST. LOUIS, MO.	03101160	SPEAR LEEDS & KELLOGG NEW YORK N Y	25087007
SNYKER PEARSON BROWN & CO INC SAINT LOUIS PARK MINNESOTA	03137390	SPECIAL MARKETS INC NEW YORK N Y	03044820
SOCIETE DE PLACEMENTS LTEE MONTREAL P Q CANADA XX. C	03127830	SPECIAL SITUATIONS BROOKLYN NEW YORK	03144130

NAME	ID. NO.	NAME	ID. NO.
SPECIALIZED INVESTMENTS INC MIAMI, FLA.	03097390	y STANLEY G M & CO INC NEW YORK NEW YORK	03146120
SPEED LELAND MOUNGER & CO INC JACKSON MISSISSIPPI	03130390	STANLEY MARKS LASSER & CO NEW YORK NEW YORK	03124060
SPEER & CO ALBUQUERQUE N M	03120520	STANSFIELD INVESTMENT SERVICE MECHANICSBURG PENNSYLVANIA	03122030
SPEER ROBERT L INVESTMENTS FORT SMITH ARK	03056150	STANTON W A CO FLINT, MICH.	03120810
SPENCER RICHARD PAYNE MENLO PARK CALIF	03127700	STAR MILTON M AGENCY ELMONT N Y	03101110
SPENCER SWATH & CO INC BOSTON 10, MASS.	03013940	STARR & KUEHL INC SAN FRANCISCO CALIF	03117890
SPENCER T E & CO INC PALO ALTO CALIF	03127150	STARR IRVING B NEW YORK 30, N.Y.	03119160
SPENCER THOMAS NEW CANAAN, CONN.	03054450	STATE BOND & MORTGAGE CO NEW ULM MINNESOTA	03002420
y SPENCER TRASK & CO INC NEW YORK NEW YORK	03140050	STATE FARM INVESTMENT MANAGEMENT CORP BLOOMINGTON ILLINOIS	03133790
SPIEGEL SECURITIES INC CAMDEN NEW JERSEY	03139470	STATE INVESTMENT CO PORTLAND ME	03014510
SPIEGELBERG & CO NEW YORK N Y	03075630	STATE STREET SECURITIES CO BOSTON, MASS.	03093590
y SPIER JESSE & CO NEW YORK, N.Y.	03070930	STEADMAN CORP OF AMERICA THE WASHINGTON D C	03139550
SPINGARN HEINE & CO NEW YORK N Y	03042700	STEADMAN INVESTMENT SERVICES CORP WASHINGTON DISTRICT OF COLUMBIA	03147040
SPITZER & CO NEW YORK N Y	03022180	STEICHEH R J & CO MINNEAPOLIS 2 MINN	03013030
SPITZER KENNETH M & CO WOODLAND HILLS CALIFORNIA	03130760	P STEIN BROTHERS & BOYCE INC BALTIMORE 1, MD.	03029910
SPIVEY K V & CO LA CANADA CALIF	03059910	y STEIN DAVID S CO BOSTON MASSACHUSETTS	03133710
SPOKANE SECURITIES CORP SPOKANE WASHINGTON	03116750	STEIN ERVIN E INVESTMENTS INC SACRAMENTO CALIFORNIA	03070080
y SPRAYREGEN & CO NEW YORK NEW YORK	03143950	STEIN HAROLD MARC CHICAGO ILLINOIS	03134140
SPRAYREGEN SECURITIES INC NEW YORK NEW YORK	03148010	STEINBERG JACK WOODLAND HILLS CALIF	03098930
SPRING SECURITIES CO INC NEW YORK NEW YORK	03146690	STEINDECKER FRIEDMAN & CO NEW YORK CITY, N.Y.	03120130
SPRINGER INVESTMENT & SECURITIES CO INC INDIANAPOLIS IND	03125390	STEINER JACKSON & CO INC HARTFORD 3 CONN	03009350
ST LOUIS SECURITIES INC ST. LOUIS, MO.	03117910	STEINER ROUSE & CO NEW YORK N Y	03011420
STALTARI NICK NEW YORK NEW YORK	03147530	STEINER ROUSE & CO INC NEW YORK NEW YORK	03145220
STAMM A L & CO NEW YORK N Y	03019810	STEINFELD PHILIP CO NEW YORK NEW YORK	03143220
STANDARD ANNUITY & LIFE INVESTORS CO PEORIA ILLINOIS	03127890	STEINMETZ S SIDNEY & CO NEW YORK NEW YORK	03143150
STANDARD ECONOMICS INC NEW YORK NEW YORK	03147100	STEPHENS INC LITTLE ROCK ARK	03019270
STANDARD INVESTORS CO YONKERS, N.Y.	03069660	STEPHENS JOHN & CO INC MINNEAPOLIS MINN	03126750
STANDARD SECURITIES CORP NEW YORK N Y	03046820	STEPHENS NEIL & CO PROVIDENCE RHODE ISLAND	03138440
STANDARD SECURITIES CORP SPOKANE, WASH.	03031470	STEPHENSON LEYDECKER & CO OAKLAND 12 CALIF	03008620

NAME	ID. NO.	NAME	ID. NO.
STERLING GRACE & CO NEW YORK, N.Y.	03042300	STONE & WEBSTER SECURITIES CORP NEW YORK, N.Y.	03004240
STERLING GRACE & CO INC NEW YORK NEW YORK	03135360	STONE & YOUNGBERG SAN FRANCISCO CALIF	03031490
STERLING LEE NEW YORK N Y	03040610	STONE H W & CO INC CHARLESTON N Y A	03130530
STERLING SECURITIES CO EDISON NEW JERSEY	03141560	STONE INVESTMENT CO CINCINNATI 2, OHIO	03121840
STERMAN & GOWELL INC BOSTON MASSACHUSETTS	03109480	STONE SUMMERS & CO OKLAHOMA CITY OKLAHOMA	03138040
STERMAN JOHN W & ASSOCIATES NEW ROCHELLE N Y	03132030	STORR RAYMOND C NEW YORK N Y	03012930
STERN & STERN NEW YORK 13, N.Y.	03114950	STOTTA & CO INC PHILADELPHIA PA	03128060
STERN BROTHERS NEW YORK N Y	03042840	STOWERS J E & CO KANSAS CITY MO	03052190
STERN BROTHERS & CO KANSAS CITY 9 MO	03026660	STRADER & CO INC LYNCHBURG VIRGINIA	03022190
STERN E H & CO NEW YORK 4 N Y	25090002	STRALEN & CO INC NEW YORK N Y	03130620
STERN FRANK MEYER & FOX INC LOS ANGELES CALIF	03124350	STRATHMORE SECURITIES INC PITTSBURGH 22, PA.	03073230
STERN HOFFMAN & CO INC NEW YORK N Y	03097680	STRATTON CO ALBUQUERQUE, N.M.	03097340
STERN LAUER & CO NEW YORK N Y	03000490	STRAUSS J S & CO SAN FRANCISCO CALIF	03067830
STERNBERGER & CO INC MEMPHIS, TENN.	03111890	STRAUSS PHILLIPS & CO NEW YORK N Y	03094500
STERNE ACEE & LEACH INC BIRMINGHAM ALA	03117540	STREICHER J & CO NEW YORK N Y	03043420
STEYSON SECURITIES CORP FAIRFIELD, CONN.	03029940	STUART BROTHERS NEW YORK N Y	03128320
STEVENS F M PLANNING CORP FARMINGDALE NEW YORK	03135820	STUART S J & CO INC TAYLORVILLE ILL	03113200
STEVENSON & BARTRAM NEW YORK N Y	03032070	STURNER CO NEW YORK N Y	03066020
STEWART & CO INC FARRINGTON CONNECTICUT	03137380	STUCKER KENNETH INVESTMENT SECURITIES MIAMI 38, FLA.	03058120
STEWART FRANK A & CO INC LOS ANGELES CALIFORNIA	03140160	STURGILL GENE INVESTMENTS TWIN FALLS IDAHO	03122640
STEWART PICKARD HAMILTON & CO WASHINGTON 28, D.C.	03086050	SUBURBAN INVESTORS CORP EAST NORWICH 11 NEW YORK	03070800
STEWART R L & CO INC SAN ANTONIO 9, TEXAS	03082770	SUBURBAN SECURITIES CO WICKLIFFE OHIO	03050620
STEFEL NICOLAUS & CO INC ST LOUIS MO	03014470	SUBURBAN SHORE AGENCY INC BRICKTON, N.J.	03116980
STILLMAN MAYNARD & CO NEW YORK N Y	03008390	SUDLER & CO DENVER COLO	03123690
STIX & CO SAINT LOUIS MISSOURI	03000630	SUEZ AMERICAN CORPORATION NEW YORK NEW YORK	03132960
STOCK INVESTORS INC KANSAS CITY MISSOURI	03136370	SULLIVAN LEON M INC PHILADELPHIA 2, PA.	03068240
STOCK OPTIONS INC NEW YORK NEW YORK	03132890	SULLIVAN H TIMOTHY BOSTON 10, MASS.	03076010
STOKES HOYT & CO NEW YORK N Y	03030300	SUMMIT MANAGEMENT & RESEARCH CORP HOUSTON TEXAS	03136970
STOKES WALTER & CO PHILADELPHIA 2 PA	03010970	SUN FUND SALES INC BALTIMORE MARYLAND	03137100

NAME	ID. NO.	NAME	ID. NO.
SUNBEAM GROWTH EQUITIES BROOKLYN NEW YORK	03149200	TABOR SECURITIES CO DECATUR ILL	03129600
SUNSET FINANCIAL SERVICES INC OLYMPIA WASHINGTON	03141400	TAGGART CHARLES A & CO INC PHILADELPHIA PA	03006850
SUPERVISED DISTRIBUTORS CYPRESS CALIFORNIA	03133500	TAIYO TANAZUKA SECURITIES INC LOS ANGELES 12, CALIF.	03090570
SUPERVISED INVESTORS SERVICE INC CHICAGO, ILL.	03003260	TALSMIK ARNO MT. VERNON, N.Y.	03059020
SUPPLEE HOSLEY CLOSE & KENNER INC PHILADELPHIA PA	03005570	TALSMIA KEITH M GRAND RAPIDS MICHIGAN	03135850
SUR VEST INC SALT LAKE CITY UTAH	03141420	TANENHAUS DAVIS ASSOCIATES INC ORANGE NEW JERSEY	03128600
SURREY BROOK CO INC EASTCHESTER, N.Y.	03088430	TANI TOKUNAGA & CO INC HONOLULU, HAWAII	03092320
SUSKIND FRED S NEW YORK N Y	03074590	TANNER & CO LOS ANGELES, CALIF.	03115590
SUSSA FRANK CO NEW YORK, N.Y.	03077720	TANNEY ROBERT M JERSEY CITY 2 N J	03022200
SUTRO & CO SAN FRANCISCO CALIF	03003410	TATRO E L CO INC NEW YORK NEW YORK	03140790
SUTRO BROTHERS & CO NEW YORK N Y	03007760	TATRO EDWIN L CO NEW YORK N Y	03021410
SUTTON S L & CO WILMINGTON 3, DEL.	03099080	TAX SHELTERED ANNUITIES INC PORTLAND OREG	03131560
SUTZ & ROSS INC NORTH VALLEY STREAM NEW YORK	03146930	TAYLOR & CO BEVERLY HILLS CALIF	03051390
SWAIN & CO INC PHILADELPHIA PA	03023500	TAYLOR A M & CO INC ARDMORE PENNSYLVANIA	03136170
SWAN B D & CO INC PALO ALTO CALIFORNIA	03140290	TAYLOR ERIC & CO CASTRO VALLEY, CALIF.	03116690
SWARTZ ARTHUR & CO WARWICK RHODE ISLAND	03115440	TAYLOR LAURENCE & CO INC NEW YORK NEW YORK	03141020
SHEET INVESTMENT CO DES MOINES IOWA	03127550	TAYLOR RAYMOND M PITTSBURGH PA	03023560
SWENEY CARTWRIGHT & CO COLUMBUS OHIO	03031500	TDA SECURITIES INC HERNSTEAD NEW YORK	03132140
SWIECH STAMLEY & CO INC CHICAGO 4, ILL.	03050840	TEACHERS SECURITIES CORP LOS ANGELES CALIF	03056420
SWIFT HENKE & CO INC CHICAGO ILLINOIS	03123900	TEGELER EDSON J	03090630
SWIFT HENRY F & CO SAN FRANCISCO, CALIF.	03021400	TEIG ROSS KLEBERT & CHICA INC EDINA MINNESOTA	03133370
SWIFT INVESTMENT SECURITIES CO LOUISVILLE, KY.	03091350	TELLER ALBERT & CO INC PHILADELPHIA PA	03095780
SWING INVESTMENT CO SANTA MARIA CALIF	03130670	TENNESSEE SECURITIES INC NASHVILLE, TENN.	03084380
SWISS AMERICAN CORP NEW YORK N Y	03015220	TEPEDINO JOSEPH BROOKLYN NEW YORK	03142980
SYLVESTER EDGAR DONALD SPRINGFIELD MISSOURI	03137710	TERRUSH & PUTNAM SECURITIES INC BOSTON MASSACHUSETTS	03140980
SYRACUSE FUNDS INC SYRACUSE, N.Y.	03084470	TERRILL LAMPMAN & CO INC UTICA 2 N Y	03025360
SYSTEMATIC INVESTORS CORP NEW YORK N Y	03053040	TESSEL PATURICK & OSTRAU INC NEW YORK N Y	03121560
SYSTEMATIC PLANS INC HOUSTON, TEXAS	03101930	TFKAS SECURITIES OF DALLAS DALLAS TEXAS	03122040
T A ASSOCIATES BOSTON MASSACHUSETTS	03146490	THALER LENZ KERN KALIM & CO PASSAIC NEW JERSEY	03145490

NAME	ID. NO.	NAME	ID. NO.
THARP BENJAMIN ALLEN WICHITA KANSAS	03134680	TIRDOR ASSOCIATES INC NEW YORK NEW YORK	03146200
THAYER WOODWARD & CO CINCINNATI OHIO	03019930	TICE & CO INC COLUMBUS OHIO	03131410
THE SEABOARD FUND DISTRIBUTORS INC BOSTON, MASS.	03113930	TIEDEMANN ASSOCIATES INC NEW YORK N Y	03085490
THE SEABOARD PLANNING CORP BOSTON MASS	03067700	TILDEN JACK NEW YORK N Y	03111410
THELOT & CO WOODSTOCK, N.Y.	03006620	TILNEY & CO NEW YORK NEW YORK	03041020
THIRD MARKET CORP (THE) SMITHTOWN NEW YORK	03147210	TIME EQUITIES INC MILWAUKEE WISCONSIN	03145740
THOMAS & CO INC PITTSBURGH PENNSYLVANIA	03135200	TIME INVESTMENTS NORTH MIAMI BEACH FLA	03110780
THOMAS & FRYDAY SECURITIES CO SAN FRANCISCO CALIFORNIA	03134570	TIMELY INVESTORS PLANNING INC NEW YORK N Y	03081420
THOMAS & POWERS INC LOS ANGELES CALIFORNIA	03145390	TIMMINS J R & CO NEW YORK N Y	03013950
THOMAS D H & CO NEW YORK N Y	03110770	TOBEY & KIRK NEW YORK N Y	03015010
THOMAS D H & CO INC NEW YORK NEW YORK	03147220	TODD NEWTON CO INC INDIANAPOLIS INDIANA	03004190
THOMAS HAAB & BOTTS NEW YORK N Y	03036280	TOLLNER & BEAN INC NEW YORK, N.Y.	03038260
THOMAS INVESTMENT CO WICHITA KANSAS	03009820	TOMASO DONALD J ASSOCIATES CHICAGO ILL	03132010
THOMAS INVESTMENT CO INC INDIANAPOLIS IND	03072820	TONTINE SECURITIES INC NEW YORK NEW YORK	03148270
THOMAS L O & CO ATLANTIC CITY, N.J.	03013110	TODDNEY JOHN WATTERSON WILLIAMSPORT PENNSYLVANIA	03134430
THOMPSON JOHN V & CO NORTH QUINCY MASS	03110860	TORPIE & SALTZMAN INC NEW YORK N Y	03104200
THOMPSON ROD CO EL PASO, TEXAS	03121500	TOWER MANAGEMENT & RESEARCH CORP NEW YORK NEW YORK	03141120
THOMPSON TERRY & CO SEATTLE 1 WASH	03043490	TOWN & COUNTRY SECURITIES ROCHESTER, N.Y.	03103980
THOMPSON WARD INC VALLEY STREAM NEW YORK	03147810	TOWNSLEY LIPTAK & CO INC CORNING N Y	03126550
THOMSEN SECURITIES CORP MATTIESBURG MISSISSIPPI	03058290	TRANSAMERICA FUND SALES INC LOS ANGELES CALIFORNIA	03136210
THOMSON & MCKINNON NEW YORK N Y	03008350	TRANSAMERICAN SECURITIES CORP SEATTLE WASH	03118020
THOMSON & MCKINNON INC NEW YORK NEW YORK	03144500	TRANSAMERICAN SECURITIES INC SALT LAKE CITY UTAH	03147360
THORNE CHAMBERS & WILSON INC LOGAN UTAH	03131890	TRANSCONTINENTAL SECURITIES CORP DUBUQUE IOWA	03006740
THORNTON FARISH & GAUNT INC MONTGOMERY ALABAMA	03126440	TRANSMITTAL SECURITIES CORP NEW YORK NEW YORK	03026680
THORNTON JAMES & CO WALNUT CREEK CALIFORNIA	03122120	TRANSURANCE INVESTMENT CORP SHREVEPORT LOUISIANA	03138240
THORNTON JAMES T & CO CASPER WYOMING	03142290	TRANSWESTERN MANAGEMENT CORP BELLINGS MONT	03093120
THORP INVESTMENT CORP THORP WISCONSIN	03122970	TRATCO TUCSON ARIZONIA	03126120
THRASHER GENE L & CO FAYETTEVILLE ARK	03109330	TRAUGHER MILTON S CO INC NEW YORK N Y	03044020
THUMIN NATHAN BROOKLYN NEW YORK	03094820	TRAVELERS EQUITIES SALES INC HARTFORD CONNECTICUT	03137520

NAME	ID. NO.	NAME	ID. NO.
TRAVELERS INSURANCE CO THE HARTFORD CONNECTICUT	03138100	TURCH SECURITIES CO INC KINGSTON NEW YORK	03147990
TRAVERS & MUMB NEW YORK N Y	03082400	TURNER & CO SUMMIT N J	03112910
TRAVIS DENPSEY J SECURITY & INVESTMENT C CHICAGO 53, ILL.	03102610	TURNER H C INVESTMENT CO WHITTIER CALIFORNIA	03140870
TREAT ANDS ASSOCIATES INC NEW YORK, N.Y.	03121810	TWEEDY BROWNE & KNAPP NEW YORK NEW YORK	03027810
TRENCHER LEO NEW YORK N Y	03024440	TWOMIG JAMES W CO PRINCETON N VA	03129310
TRENT INVESTMENT PROGRAMS INC PHOENIX ARIZONA	03138350	TYNMAN EARL DURRETT PHILADELPHIA PENNSYLVANIA	03141550
TREVES & CO NEW YORK, N.Y.	03027800	TYCHE SECURITIES INC FORT LEE NEW JERSEY	03143920
TREW & CO NEW YORK N Y	03125740	TYCHE SECURITIES INC BROOK 59 N Y	03038940
TRI COUNTY PLANNING CORP JERICHO N Y	03075970	ULATCO EQUITY SERVICES INC CONCORD NEW HAMPSHIRE	03146090
TRIANGLE SECURITIES INC NEW YORK NEW YORK	03123780	ULRICH REX & ASSOCIATES TWIN FALLS, IDAHO	03048940
TRIDENT SECURITIES CORP TACOMA WASHINGTON	03139590	UNDERWOOD NEUHAUS & CO INC HOUSTON TEXAS	03011970
TRILINE PLANNING CORP GARDEN CITY, N.Y.	03104980	UNDERWOOD NEUHAUS CORP HOUSTON TEXAS	03058710
TRIPP & TABER NEW BEDFORD MASSACHUSETTS	03132740	UNGERLEDER GOETZ & CO NEW YORK N Y	03014590
TRIPP CHARLES N CO PORTLAND 3 ORE	03023190	UNITED UNDERWRITERS INC INDIANAPOLIS, IND.	03063700
TROEDER LOUIS & CO NEW YORK NEW YORK	03142210	UNION MANAGEMENT CORP LITTLE ROCK ARK	03077640
TROENDLE VICTOR & CO LOS ANGELES CALIFORNIA	03091290	UNION SERVICE DISTRIBUTOR INC NEW YORK NEW YORK	03142000
TROLAN W A JR ROANOKE VA	03058950	UNION WESTERN SECURITIES CORP LOS ANGELES CALIF	03129230
TROSTER SINGER & CO NEW YORK N Y	03012190	UNISCOPE SECURITIES INC LOS ANGELES CALIFORNIA	03138710
TROWBRIDGE & CO BATTLE CREEK 5 MICH	03013000	UNITED ASSOCIATES INVESTMENT CORP SAN FRANCISCO CALIFORNIA	03142410
TRDY & NICHOLS INC MONROE, LA.	03104270	UNITED EQUITIES CO NEW YORK N Y	03052550
TRUBEE COLLINS & CO BUFFALO N Y	03021420	UNITED EQUITY INVESTMENT INC EMCINO CALIFORNIA	03140810
TRUDAY CO INC NEW YORK N Y	03099760	UNITED FIDELITY INVESTMENTS INC DALLAS TEXAS	03140500
TRUNPQUOR M H JR SAUGERTIES, N.Y.	03114580	UNITED FINANCIAL CORP DES MOINES IOWA	03141370
TRUST SECURITIES CORP BOSTON, MASS.	03058420	UNITED FINANCIAL PLANNING CO ATLANTA GA	03131770
TRUSTEED FUNDS INC BOSTON 16, MASS.	03010790	UNITED FUNDING INC COLUMBUS OHIO	03142620
TSAI INVESTMENT SERVICES INC NEW YORK NEW YORK	03055370	UNITED INVESTMENTS INC MEMPHIS TENNESSEE	03145330
TSAI MANAGEMENT & RESEARCH CORP NEW YORK NEW YORK	03139690	UNITED INVESTORS PLANNING SAN ANTONIO 6 TEXAS	03078380
TSOLAIMS THEODORE & CO NEW YORK, N.Y.	03039790	UNITED PROFESSIONAL SECURITIES INC LOS ANGELES CALIFORNIA	03149500
TUCKER ANTHONY & A L DAY NEW YORK N Y	03001960	UNITED SERVICES PLANNING ASSOCIATION INC FORT WORTH TEXAS	03070720

NAME	ID. NO.	NAME	ID. NO.
UNITED STATES DISCOUNT CORP NEW YORK N Y	03129320	VANCOURT ALBERT E LOS ANGELES 17 CALIF	03027830
UNITED STATES OPTION CORP NEW YORK N Y	03130510	VANDENBROECK LIEBER & CO NEW YORK, N.Y.	03050480
UNITY SECURITIES CORP NEWPORT BEACH CALIFORNIA	03129280	VANDENBURGH A S & CO INC PASADENA CALIF	03052230
UNIVERSAL DIVERSIFIED INVESTORS INC PITTSBURGH PA	03130490	VANDERHOEF & ROBINSON NEW YORK N Y	03017600
UNIVERSAL INVESTORS NEW YORK N Y	03113050	VANELGORT 5 INVESTMENT SECURITIES INC BEVERLY HILLS, CALIF.	03107710
UNIVERSAL PROGRAMS INC NEW YORK N Y	03061800	VANGUARD SECURITIES INC OAKLAND CALIFORNIA	03120430
UNIVERSAL SECURITIES BAKERSFIELD CALIFORNIA	03145160	VANHORNE INVESTMENTS INC OMAHA, NEBR.	03092480
UNIVERSAL SECURITIES CORP BIRMINGHAM 3, ALA.	03072380	VANHORNSEN HARVIN HENRY FRESNO CALIFORNIA	03134850
UNIVERSAL SECURITIES CORP LAS VEGAS NEV	03111150	VANTAGE TRADING CO FORT LEE NEW JERSEY	03143970
UNIVERSAL SECURITIES INC SALT LAKE CITY UTAH	03123490	VANTUYL & GEORGE NEW YORK N Y	03124090
UNIVEST CORP PHILADELPHIA PENNSYLVANIA	03138260	VARIABLE ANNUITY LIFE INSURANCE AGENCIES INC CHICAGO ILLINOIS	03137270
UNO EQUITIES INC NEW YORK, N.Y.	03072980	VARIABLE ANNUITY MARKETING CO HOUSTON TEXAS	03146880
UNTERBERG C E TOWBIN CO NEW YORK N Y	03013610	VARIABLE ANNUITY SALES CO LOS ANGELES CALIF	03131200
UNTERMEYER & CARTON INC ASBURY PARK NEW JERSEY	03146110	VARIABLE EQUITIES INC LITTLE ROCK, ARK.	03098630
URUSHIMA R SECURITIES CO FRESNO CALIF	03130660	VARIABLE INVESTMENT FUND CORP MINNEAPOLIS 27, MINN.	03107200
UTICA ESTATE SECURITIES CORP UTICA NEW YORK	03137350	VARIABLE INVESTORS SERVICES INC WANTAGH NEW YORK	03138340
UTSCH HANS & CO INC NEW YORK NEW YORK	03058790	VARIABLE SECURITIES CORP OAKLAND CITY IND	03105230
UVEST INC READING PENNSYLVANIA	03134930	VARNEDDE CHISHOLM & CO INC SAVANNAH GEORGIA	03020770
VAIL SECURITIES INVESTMENT VAIL COLORADO	03142250	VAS CO HONEY MANAGEMENT PLANS INC MOUNT PROSPECT ILLINDIS	03142840
VALERIANO & CRAIG INC NEW YORK NEW YORK	03136790	VAUGHAN & CO NEW YORK NEW YORK	03026690
VALLEAU HARRY D & CO CHICAGO ILL	03005810	VAUGHAN & CO WILMINGTON N C	03124220
VALUE LINE SECURITIES INC NEW YORK N Y	03110050	VAVRA JOHN S CO CEDAR RAPIDS, IOWA	03035820
VAN CASPEL & CO HOUSTON TEXAS	03142300	VENTURE SECURITIES CORP PHILADELPHIA, PA.	03053270
VAN KAMPEN WAUTERLEK & BROWN INC CHICAGO ILLINDIS	03139070	VERCOE & CO COLUMBUS, OHIO	03123570
VANALSTYNE NOEL & CO NEW YORK, N.Y.	03018490	VESCE CHARLES NEW YORK NY	03070530
VANCE RICHARD B & CO JOLIET ILL	03029970	VICK M B ASSOCIATES CHICAGO ILL	03125670
VANCE SANDERS & CO BOSTON 9 MASS	03019880	VICTOR NICHOLAS T LOS ANGELES CALIFORNIA	03134840
VANCE SANDERS & CO INC BOSTON 9, MASS.	03074970	VICTORS L G GOLD & CO TUCSON ARIZONA	03140090
VANCLIEF INVESTMENTS INC UNION CITY, N.J.	03072080	VICTORY FINANCIAL ASSOCIATES INC LOS ANGELES CALIFORNIA	03141730

NAME	ID. NO.	NAME	ID. NO.
VIQUERIE MAYNE & CHAPPE INC NEW ORLEANS, LA.	03120050	WALKER AUSTIN & WAGGENER INC DALLAS TEXAS	03129730
VILLAS & HICKEY NEW YORK NY	03026700	WALKER G H & CO ST LOUIS MO	03023220
VILLAREAL E L & CO INC LITTLE ROCK, ARK.	03098510	WALKER G H & CO INC ST LOUIS MO	03091450
VILLENEUVE ROLAND M AKRON OHIO	03134020	WALKER HARRY D & CO EL PASO, TEXAS	03000050
VILLERE ST DENIS J & CO NEW ORLEANS, LA.	03019390	WALKER HART & CO INC NEW YORK N Y	03130500
VINGENT BURTON J & CO CHICAGO 3, ILL.	03037450	WALKER M S & CO LONG BEACH 2 CALIF	03030010
VINER EDWARD A & CO INC NEW YORK, N.Y.	03040770	WALL HARVEY CO NEW YORK NEW YORK	03142420
VINSON INVESTMENT SERVICES INC ALBANY GEORGIA	03137280	WALL STREET CORP AVON NEW JERSEY	03134230
VISCOUNT SECURITIES INC BOSTON MASSACHUSETTS	03137660	WALL STREET CORP OF AMERICA INC CORAL GABLES, FLA.	03107180
VISIONER INVESTMENT BANKING CORP THE DALLAS TEXAS	03145530	WALL STREET MANAGEMENT CORP NEW YORK, N.Y.	03037960
VLF DISTRIBUTORS INC BERKELEY CALIFORNIA	03142260	WALL STREET PLANNING CORP ST PAUL MINNESOTA	03052290
VOGEL A H & CO DETROIT MICH	03037010	WALL STREET SECURITIES CORP JAMAICA, N.Y.	03071300
VOGEL LORBER INC NEW YORK, N.Y.	03114190	WALLACE BROKERAGE CO INC MALLACE IDAHO	03122200
VOLANTE BENAR NEW YORK NEW YORK	03141670	WALLACE INVESTMENTS INC SOUTH BEND IND	03115040
VONEIFF EDGAR L CO BETHESDA MD	03053940	WALLER & CO INC SEATTLE WASHINGTON	03135980
VORHAND CORP NEW YORK, N.Y.	03118230	WALLS & MATTERA CHICAGO 28, ILL.	03085520
VOSS HOWARD W ASSOCIATES INC KENILWORTH ILL	03130750	WALMAR AGENCY NEWARK NEW JERSEY	03141060
WACHTEL & CO INC WASHINGTON D C	03113450	WALNUT SECURITIES INC NEW YORK NEW YORK	03141330
WADDELL & REED INC KANSAS CITY, MO.	03007400	WALSH KILLIAN & CO INC CHICAGO ILLINOIS	03147930
WADDELL & REED INTERNATIONAL LTD HAMILTON, BERMUDA	03119280	WALSTON & CO INC NEW YORK N Y	03048240
WADE JOE JR & CO INC MEMPHIS TENN	03086080	WALTERS PECK & CO NEW YORK N Y	03035000
WAGENSELLER & DURST INC LOS ANGELES CALIF	03026710	WALTON DONALD PAUL TULSA OKLAHOMA	03135640
WAGNER C H & CO INC WELLESLEY MASSACHUSETTS	03147990	WALTZ JACK L & CO INC LANTON OKLAHOMA	03133040
WAGNER JOHN DETRICK OKLAHOMA CITY OKLAHOMA	03135920	WALWYN STODGELL & CO LTD TORONTO 1 ONTARIO CANADA	03134160
WAGNER SEYHOOR NEW YORK N Y	03019310	WANDERON & CO INC JERSEY CITY NEW JERSEY	03146150
WAGNER STOTT & CO NEW YORK N Y	25097009	WARBURG E M & CO INC NEW YORK N Y	03032140
WAINWRIGHT H C & CO BOSTON 1, MASS.	03019320	WARBURG S G SECURITIES CORP NEW YORK NEW YORK	03136510
WALDON & CO INC SAN RAFAEL CALIFORNIA	03113290	WARD HARRY J CHEVY CHASE MD	03132130
WALKER & CO COLUMBUS, GA.	03085720	WARD JOHN HARDIN THIRD LOUISVILLE KENTUCKY	03133990

NAME	ID. NO.	NAME	ID. NO.
WARD MARK SPOKANE WASH	03004520	WEIL & DUFFY NEW YORK NY	03123580
WARE & KEELIPS NEW YORK NY	03027850	WEIL INVESTMENT CO NEW ORLEANS, LA.	03104080
WARE REPASS INC ROANOKE VIRGINIA	03142110	WEIL ROTH & IRVING CO CINCINNATI, OHIO	03017610
WARNES ELMER D SAN DIEGO 3 CALIF	03022220	WEINBERG HARVIN ROSLYN HEIGHTS NEW YORK	03146280
WARREN HARRY J BALTIMORE MARYLAND	03134320	WEINBERG OST & MAYTON INC NEW YORK, N.Y.	03121370
WARREN LESTER & CO INC CHICO CALIF	03126240	WEINBERG S GROSSMAN & CO INC NEW YORK N Y	03065900
WASHINGTON INVESTORS PLANS INC WASHINGTON 5 D C	03067970	WEINER HORTON D & CO INC NEW YORK NEW YORK	03148110
WASHINGTON NATIONAL INSURANCE CO EVANSTON ILLINOIS	03142530	WEINGARTEN & CO NEW YORK N Y	03027890
WATERS PARKERSON & CO NEW ORLEANS, LA.	03004490	WEINMANN OTTD NEW YORK N Y	03018010
WATRINS & CO INC HOUSTON TEXAS	03103180	WEINRESS & CO CHICAGO ILLINOIS	03134050
WATKINS CLIFFORD F SYRACUSE NEW YORK	03136390	WEINSTEIN JEROME FRANKLIN SQUARE, N.Y.	03102640
WATKINS MORROW & CO BIRMINGHAM ALA	03048560	WEINTRAUB ARON LEIB BROOKLYN NEW YORK	03088410
WATLING LERCHEN & CO DETROIT, MICHIGAN	03006710	WEINTRAUB CARL ASSOCIATES INC MICKSVILLE, N.Y.	03080360
WATSON & WHITE NEW YORK NEW YORK	03013920	WEIS VOISIN CANNON INC NEW YORK N Y	03124720
WATSON T L & CO NEW YORK, N.Y.	03007800	WEISBERGER SAMUEL BROOKLYN NEW YORK	03146290
WATTS AL & ASSOCIATES INC SAN DIEGO CALIFORNIA	03116610	WEISEL KLEINMAN & CO INC NORTH BELLMORE NEW YORK	03064040
WATTS FRANK W & ASSOCIATES SOUTHFIELD, MICH.	03108370	WEISFIELD MARTIN H BROOKLYN NEW YORK	03139570
WAUGH ALLISON & CO INC CHICAGO 31, ILL.	03100980	WEISMAN NORMAN J NEW YORK N Y	03058560
WGBA INVESTMENTS INC MILWAUKEE WIS	03125540	WEISS HARRY M CHAPPAQUA NEW YORK	03106150
WEBB ROXIE INC PRESCOTT ARIZONA	03133050	WEISS JACOB S QUEENS VILLAGE 27 N Y	03054610
WEBER HALL COBB & CAUDLE INC DALLAS TEXAS	03118360	WEISS M L & CO NEW YORK N Y	03062600
WEBER MITCHELL & OLSON INC ST. LOUIS, MO.	03015160	WEISS SAMUEL WHITESTONE NEW YORK	03136460
WEBSTER HARSH & CO CHICAGO 3 ILL	03042100	WEISS SAMUEL & CO INC NEW YORK N Y	03126910
WECHSLER & KRUMHOLTZ INC NEW YORK, N.Y.	03095450	WELCH JACKSON M INVESTMENTS YAKIMA, WASH.	03087990
WEDBUSH & CO INC LOS ANGELES CALIF	03129870	WELDON GLASS & CO LOS ALTOS CALIFORNIA	03123090
WEEDEN & CO NEW YORK N Y	03002260	WELKE & CO SAN FRANCISCO 4 CALIF	03023230
WEFEL & MAXFIELD FORT WAYNE IND	03027880	WELLER J W & CO INC BLOOMFIELD N J	03077330
WEGARD L C & CO INC WILLINGBORD NEW JERSEY	03143140	WELLINGTON & CO NEW YORK N Y	03023590
WEIGER & CO INC HERRICK NEW YORK	03137470	WELLINGTON DISTRIBUTORS INC PHILADELPHIA PA	03130080

NAME	ID. NO.	NAME	ID. NO.
WELLINGTON MANAGEMENT CO PHILADELPHIA PA	03130070	WHITE & CO INC ST LOUIS MO	03005650
WELLINGTON SHAW & CO INC SPRING VALLEY NEW YORK	03149750	WHITE A J CARLOTTE & CO EAST PROVIDENCE RHODE ISLAND	03119620
WELLS C L & CO PASADENA B, CALIF.	03037260	WHITE C N & CO DAKLAND 12 CALIF	03034420
WELLS WRIGHT & CO AUSTIN MINN	03017620	WHITE HERBERT B & CO PEORIA 2 ILL	03000700
WELSH M W & CO INC VINCENNES IND	03019350	WHITE HUDSON & CO GRAND RAPIDS 2, MICH.	03030050
WERNER BENJAMIN & CO NEW YORK NY	03101800	WHITE WELD & CO NEW YORK N Y	03003300
WERSCHKUL L J & SONS PORTLAND ORE	03001220	WHITE WELD & CO INC NEW YORK N Y	03079480
WERTHEIM & CO NEW YORK N Y	03030330	WHITEHALL ASSOCIATES OCEANPORT, N.J.	03093300
WEST COAST INVESTORS INGLEWOOD CALIFORNIA	03130820	WHITEHALL SECURITIES CORP OCEANPORT NEW JERSEY	03146660
WEST COAST SECURITIES NORTHIDGE CALIFORNIA	03140220	WHITESTONE SECURITIES LTD NEW YORK N Y	03100710
WEST INDIES INVESTMENT CO INC CHRISTIANSTED, ST. CROIX, V.I.	03091360	WHITMAN SECURITIES INC ASHLAND NEW JERSEY	03127470
WEST PENN SECURITIES HICKORY PENN	03128430	WHITNEY EARL H ESSEX FIELDS NEW JERSEY	03132590
WEST THOMAS LOWELL JR JACKSON MISSISSIPPI	03144740	WHITNEY H N GOADBY & CO NEW YORK N Y	03039690
WESTAMERICA SECURITIES INC EMPORIA KANS	03048750	WHITSEL D C & CO CHICAGO 3, ILL.	03087650
WESTCHESTER INVESTORS SERVICE CO SCARSDALE NEW YORK	03141440	WHITTON ROBERT LOS ANGELES CALIF	03105050
WESTCO FINANCIAL CORP AURORA COLO	03107070	WICK ERLING P & CO MAILUKU MAUI HAWAII	03027900
WESTERN AMERICA CORP SALT LAKE CITY UTAH	03141110	WIEN M S & CO INC JERSEY CITY, N.J.	03098610
WESTERN INVESTMENT MANAGEMENT CO SAN FRANCISCO CALIF	03128540	WIESLER PAUL JOSEPH PEORIA ILLINDIS	03135940
WESTERN INVESTORS FUND INC SEATTLE, WASH.	03036530	WIGH HERBERT H & CO KINGSBURG CALIF	03037650
WESTERN RESERVE FINANCIAL SERVICES CORP FORT LAUDERDALE FLORIDA	03137240	WILDMAN NEAL & DEBOLT INC INDIANAPOLIS IND	03116490
WESTERN SECURITIES INC RAWLINS WYO	03115510	WILEY BROTHERS INC NASHVILLE TENN	03017740
WESTERN STATES INVESTMENT CO FARGO NORTH DAKOTA	03140250	WILFORD RALPH S COMPANY INC THE LA MESA, CALIF.	03122820
WESTMINSTER CO GREAT NECK N Y	03128030	WILFORD SECURITIES INC LA MESA CALIFORNIA	03139790
WESTON & CO INC SALT LAKE CITY UTAH	03129150	WILKINS ROSE INC NEW YORK, N.Y.	03119920
WESTON I GEORGE & SONS INC LONG BRANCH, N.J.	03079080	WILLARD SECURITIES INC NEW YORK N Y	03105810
WETZEL W E & CO TRENTON NEW JERSEY	03129590	WILLIAMS BLAIR S & CO NEW YORK N Y	03066500
WHARTON ALBERT DUBUQUE IDWA	03025400	WILLIAMS C T & CO INC BALTIMORE MARYLAND	03132970
WHEAT & CO INC RICHMOND VA	03128860	WILLIAMS FREDERICK INVESTMENTS JACKSONVILLE FLORIDA	03139720
WHEELER RUMGER & CO LOS ANGELES, CALIF.	03104130	WILLIAMS J B & CO INC CHARLESTON, S.C.	03117420

NAME	ID. NO.	NAME	ID. NO.
WILLIAMS JERRY INC TAMPA FLORIDA	03140590	WISENER AND PARTNERS CO LTD TORONTO I ONTARIO CANADA	03131720
WILLIAMS MERKITT CO LOS ANGELES CALIFORNIA	03142220	WISNER & DECLAIRVILLE NEW YORK N Y	03033270
WILLIAMS WILLIAM O INVESTMENT SECURITIES HILO HAWAII	03035230	WITKIND RICHARD J NEW YORK N Y	03122870
WILLIAMSON A L & CO BLOOMFIELD N J	03129520	WITTENSTEIN M & CO DES MOINES IOWA	03141400
WILLIAMSON SECURITIES CORP LYNBROOK, N.Y.	03089120	WITTER DEAN & CO INC SAN FRANCISCO CALIFORNIA	03141720
WILLIG HERBERT MANHASSET N Y	03052530	WITTER WILLIAM D INC NEW YORK N Y	03127780
WILLS & CO JACKSON MISS	03009850	WITTON & CO DENVER COLO	03059330
WILSON BURNLEY HOBART TACOMA WASHINGTON	03135050	WL CORP MADISON WISCONSIN	03144010
WILSON DAVIS & CO INC SALT LAKE CITY UTAH	03142990	WOHL ERAMUEL NEW YORK NEW YORK	03146720
WILSON DAVIS & CO OF NEVADA LAS VEGAS NEVADA	03147870	WOHLERS A M CO PARK RIDGE ILLINOIS	03143300
WILSON LAWRENCE F & ASSOCIATES BOZEMAN MONTANA	03121750	WOLCOTT DEAN E & ASSOCIATES INC PITTSBURGH PENNSYLVANIA	03140510
WINCHESTER SECURITIES CORP PITTSBURGH PA	03115570	WOLF N A BROOKLYN N Y	03118620
WINDSOR PLANNING CORP PINE BROOK NEW JERSEY	03144970	WOLFE C CO NEW YORK N Y	03024450
WINFIELD ASSOCIATES SOUTH ORANGE NEW JERSEY	03131630	WOLFE CLEAVES & CO DAYTON OHIO	03144730
WINFIELD DISTRIBUTORS INC SAN FRANCISCO, CALIF.	03075430	WOLFENSDORN S C CO NEW YORK N Y	03023610
WINFIELD UNDERWRITERS INC SAN FRANCISCO CALIF	03131520	WOLFSON ZALKIND & CO FALL RIVER, MASS.	03084320
WINHILL JONES MACQUOID & COADY NEW YORK N Y	03042890	WOMELDORFF & LINDSEY LITTLE ROCK ARKANSAS	03029090
WINN MIKE INVESTMENTS HOLLISTER CALIFORNIA	03144290	WONG INVESTMENT CO INC HONOLULU, HAWAII	03121350
WINSLOW COMU & STETSON INC NEW YORK N Y	03075100	WOOD ARTHUR M CO BOSTON 9 MASS	03033680
WINSLOW COMU & STETSON OF NEW YORK INC NEW YORK N Y	03060240	WOOD GEORGE M & CO INC MONTGOMERY, ALA.	03119030
WINSTON PERRY & MEYERS INC NEW YORK NEW YORK	03135380	WOOD GUNDY & CO INC NEW YORK N Y	03033280
WINTER DANIEL T & CO ST PETERSBURG FLA	03066920	WOOD H P CO INC BOSTON MASSACHUSETTS	03062820
WINTERBOTHAM JOHN N INVESTMENTS HOUSTON 2, TEXAS	03091300	WOOD HART & CO LTD HONOLULU, HAWAII,	03043440
WINTHROP DAVID CO NEW YORK N Y	03090010	WOOD STRUTHERS & WINTHROP NEW YORK N Y	03026720
WINTHROP INVESTMENT SERVICES CO WINTHROP MASS	03131370	WOOD WALKER & CO NEW YORK N Y	03007830
WINTHROP INVESTMENTS INDIANAPOLIS IND	03131900	WOODARD ELWOOD & CO MINNEAPOLIS, MINN.	03013880
WINTHROP RALPH & ASSOCIATES INC NEW YORK N Y	03127290	WOODCOCK MOYER FRICKE & FRENCH INC PHILADELPHIA, PA.	03033290
WISE PLANNING CO JERICHO NEW YORK	03141160	WOODLARD & CO INC CHICAGO ILLINOIS	03119340
WISE PLANNING CO NORTH BELLMORE N Y	03123710	WOOLF NEIL T & CO INC NEW YORK N Y	03105250

NAME	ID. NO.	NAME	ID. NO.
WOOLLEY ROBERT P & CO SALT LAKE CITY, UTAH	03042170	ZASLOW STANLEY M & CO INC 49212 NORTH TARRYTOWN NEW YORK	03149210
WORLD WIDE MUTUAL FUNDS INC INDIANAPOLIS IND	03129060	ZEEB JOHN J & CO INC BUFFALO N Y	03014330
WRESZIN PROSSER ROMANO & GAVIN NEW YORK NEW YORK	03019180	ZENOFF BEN CO INC CHICAGO ILL	03130140
WRIGHT GERALD M & CO DALLAS TEXAS	03136280	ZERKIN MAX & ASSOCIATES INC BETHESDA, MD.	03119830
WRIGHT INVESTMENT CO SANTA ANA CALIF	03127090	ZIEGLER B C & CO WEST BEND WIS	03000940
WROBEL JOSEPH NEW YORK N Y	03122250	ZIM UNIFIED SECURITIES INC NEW YORK N Y	03135250
WULFF HANSEN & CO SAN FRANCISCO CALIF	03002920	ZIMMERMAN MILTON J & CO SPRINGFIELD 8, MASS.	03086410
WURTS C S & CO PHILADELPHIA PA	03121620	ZIMMERMAN ROY JOHN SAN JOSE CALIFORNIA	03126680
WYGOO WEIS FLURIN INC NEW YORK NEW YORK	03130770	ZINDLER CO NEW YORK N Y	03069490
WYLLIE & THORNHILL INC CHARLOTTESVILLE, VA.	03091250	ZINMAN DAVID S CO WILMINGTON, DEL.	03064450
WYLLIE INVESTMENT CO GRAND JUNCTION COLORADO	03124180	ZOCK J A & CO NEW YORK N Y	03075540
WYLLIE INVESTMENT CO INC GRAND JUNCTION COLORADO	03148460	ZUCKERMAN SMITH & CO NEW YORK N Y	03033310
YAMAIUCHI SECURITIES CO OF NEW YORK INC NEW YORK N Y	03048770	ZUEHLKE & GRIEVE INVESTMENT SECURITIES ROCHESTER MINNESOTA	03047970
YANOW ALBERT & CO NEEDHAM MASS	03108950	4 B'S SECURITIES CORP 4 B'S SECURITIES CORP MISSOULA MONTANA	03146210 03146210 03146210
YARNALL BIDDLE & CO PHILADELPHIA 2 PA	03005060	✓ COGAN BERLIND MILL & LEVITT INC. NEW YORK, N.Y.	
YATES & COMPANY ST LOUIS MO	03042230		
YATES ANDREW & DYERS INC CARMEL CALIF	03117060		
YERKES DONALD F & CO SEAFORD, DEL.	03119450		
YORK BARBER INC ALLENTOWN PENNSYLVANIA	03140940		
YORK LESLIE A NEW HAVEN CONNECTICUT	03133780		
YORK SECURITIES CORP SAN FRANCISCO, CALIF.	03113410		
YORK WARREN W & CO INC ALLENTOWN PA	03031600		
YORKSHIRE INVESTORS CORP NORTH BERGEN, N.J.	03095520		
YOUNG CHARLES P ASBURY PARK N J	03015830		
YOUNG F J & CO NEW YORK N Y	03025440		
YOUNG SMITH & PEACOCK INC PHOENIX, ARIZ.	03122600		
YOUNG WILLIAM HUBERT THIRD SECURITY ASSOCIATES ELLCOTT CITY MD	03126720		
YURICKONES JOHN ST. CLAIR, PA.	03110650		

✓ This firm appeared on List F only.

LIST M: ACQUISITION ISSUES

<u>Target, Acquired or Merged Issuer</u>		<u>Bidder, Acquiring or Merged Issuer</u>	
<u>NAME</u>	<u>I.D. CODE</u>	<u>NAME</u>	<u>I.D. CODE</u>
ABEX CORPORATION	990009	ILLINOIS CENTRAL INDUSTRIES	451848
ALLEN ELECTRIC & EQUIPMENT	017591	LEECE NEVILLE COMPANY	524138
ALLEN INDUSTRIES	017663	DAYCO CORPORATION	239577
ALLIS-CHALMERS MFG.	019645	WHITE CONSOLIDATED IND GULF & WESTERN INDUSTRIES	963626 402064
AMERADA PETROLEUM	023555	HESS OIL & CHEMICAL	428110
AMPHENOL CORPORATION	990024	BUNKER RAMO SOLITRON DEVICES	120655 834256
ARMOUR AND COMPANY	042303	GENERAL HOST CORPORATION GREYHOUND CORPORATION ARMOUR AND COMPANY	370064 398028 042303
BERYLLIUM CORPORATION	990020	KAWECKI CHEMICAL COMPANY	990019
BINSWANGER GLASS COMPANY	990040	NATIONAL GYPSUM	636316
BLOCH BROTHERS TOBACCO	990041	HELME PRODUCTS	423434
BRUNSWICK CORPORATION	117043	TRANS UNION CORPORATION	893341
BUNKER HILL COMPANY	990012	UTAH CONSTRUCTION & MI GULF RESOURCES & CHEMICAL	917389 402496
BUTTERICK COMPANY	990042	AMERICAN CAN COMPANY	024843
CALIFORNIA LIQUID GAS	990013	DILLINGHAM CORPORATION	254111
CHANNING FINANCIAL	159213	AMERICAN GENERAL INSURANCE	026357
CHEMETRON CORPORATION	163600	ELGIN NATIONAL INDUSTRIES SUN CHEMICAL CORPORATION	286434 866645
C I T FINANCIAL CORP	125569	XEROX CORPORATION	984121
COLLINS & AIKMAN	194828	CHELSEA INDUSTRIES	163267
COLLINS RADIO COMPANY	195018	ELECTRONIC DATA SYSTEMS	285659
COLUMBUS MILPAR	990043	WHITTAKER CORPORATION	966680
COMMERCIAL CREDIT	990025	CONTROL DATA CORPORATION LOEW'S THEATERS, INC	212363 540448

<u>Target, Acquired or Merged Issuer</u>		<u>Bidder, Acquiring or Merged Issuer</u>	
<u>NAME</u>	<u>I.D. CODE</u>	<u>NAME</u>	<u>I.D. CODE</u>
CRUCIBLE STEEL COMPANY	228777	COLT INDUSTRIES, INC.	196864
ELECTRONIC SPECIALTY	285839	INTERNATIONAL CONTROLS	459362
FILTROL CORPORATION	317315	SLICK CORPORATION	831338
GOODRICH, B. F. COMPANY	382388	NORTHWEST INDUSTRIES	667528
GREAT AMERICAN HOLDING	389838	NATIONAL GENERAL CORPORATION	636214
		AMK CORPORATION	001732
GULF AMERICAN CORPORATION	402118	GAC CORPORATION	361364
HARLEY DAVIDSON MTR COMPANY	990044	AMERICAN MACHINE & FOUNDRY	027303
		BANGOR PUNTA CORPORATION	060221
HARTFORD FIRE INSURANCE CO.	416524	INTERNATIONAL TELEPHONE & TEL	460470
HELI-COIL CORPORATION	423254	MITE CORPORATION	606708
HOME INSURANCE	990049	CITY INVESTING COMPANY	177846
		NORTHWEST INDUSTRIES	667528
IMPERIAL EASTMAN	990045	I-T-E CIRCUIT BREAKER	990018
INA CORPORATION	449744	LEVIN-TOWNSEND COMPANY	527372
		AMK CORPORATION	001732
JACKSONS MINIT MARKETS	990011	ATLANTIC COMPANY	990010
JONES & LAUGHLIN STEEL	480034	LING-TEMCO-VOUGHT, INC.	535732
KAWECKI CHEMICAL COMPANY	990019	BERYLLIUM CORPORATION	990020
MARQUARDT CORPORATION	990015	CCI CORPORATION	990014
MONTANA FLOUR MILLS COMPANY	612051	VWR UNITED CORPORATION	918442
		NEBRASKA CONSOLIDATED MILLS	639659
		WHITE-DULANY COMPANY	
NATIONAL EQUITIES, INC.	635859	LEVIN-TOWNSEND COMPANY	527372
PAN AM WORLD AIRWAYS	698057	RESORTS INTERNATIONAL, INC.	761185
PARAMOUNT PACIFIC	990046	ZAPATA OFF SHORE COMPANY	989110

<u>Target, Acquired or Merged Issuer</u>		<u>Bidder, Acquiring or Merged Issuer</u>	
<u>NAME</u>	<u>I.D. CODE</u>	<u>NAME</u>	<u>I.D. CODE</u>
PENICK SB & COMPANY	990047	CPC INTERNATIONAL, INC.	126149
PIPER AIRCRAFT CORPORATION	724071	CHRIS-CRAFT INDUSTRIES	170520
		BANGOR PUNTA CORPORATION	060221
RAYONIER, INCORPORATED	990026	INTERNATIONAL TELEPHONE & TEL	460470
RELIANCE INSURANCE COMPANY	759472	LEASCO DATA PROCESSING EQUIP	522030
SCHENLEY INDUSTRIES	806500	GLEN ALDEN CORPORATION	377370
SEXTON JOHN & COMPANY	818529	BEATRICE FOODS COMPANY	074077
SINCLAIR OIL CORPORATION	829251	ATLANTIC RICHFIELD COMPANY	048825
		GULF & WESTERN INDUSTRIES	402064
STD FRUIT & STEAMSHIP CO.	990048	CASTLE & COOKE	148429
TRANS UNION CORPORATION	893341	BRUNSWICK CORPORATION	117043
UNITED ENGINEERING & FOUNDRY	910212	VERNITRON CORPORATION	924359
		WEAN INDUSTRIES	946898
UNITED FRUIT COMPANY	910416	AMK CORPORATION	001732
		DILLINGHAM CORPORATION	254111
		TEXTRON, INCORPORATED	883203
		ZAPATA-NORNESS	989110
U. S. PIPE & FOUNDRY	912333	"AUTOMATIC" SPRINKLER CORP.	053159
		JIM WALTER CORPORATION	933169
VAN RAALTE COMPANY	990022	CLUETT, PEABODY & COMPANY	189486
VICTOREEN INSTRUMENT	926089	LEECE NEVILLE COMPANY	524138
JARNER SEVEN ARTS	934425	KINNEY NATIONAL SERVICE	497098
		COMMONWEALTH UNITED CORP.	203363
WESTERN AIRLINES INC.	957586		
WESTERN UNION TELEGRAPH	959826	COMPUTER SCIENCES CORP.	205363
WORLD AIRWAYS, INCORPORATED	981423	INA CORPORATION	449744

LIST O: LIST OF ADVISERS TO
REGISTERED INVESTMENT COMPANIES

IMPORTANT INSTRUCTIONS

List O contains the name of those advisers to registered investment companies to be used in responding to Item 14 on Form I-61. Many of the advisers on the List are subsidiaries or parents of another adviser. In most instances the names of the investment companies managed by such affiliated advisers are listed along with the names of the investment companies managed by the adviser whose name appears in List O. When responding to Item 14 you are to include for each adviser the sales of all shares of investment companies managed by the adviser and its parent and its subsidiaries.

	<u>Code</u>
<u>American Express Investment Management Co.</u>	02001719
The Management Endowment Fund	
American Express Special Fund	
Commonwealth Capital Fund, Inc.	
Commonwealth Income Fund	
Commonwealth Investment Company	
Commonwealth Stock Fund	
<u>Anchor Corporation</u>	02002718
Anchor Capital Fund, Inc.	
Diversified Growth Stock Fund, Inc.	
Diversified Investment Fund, Inc.	
Fundamental Investors, Inc.	
Westminster Fund, Inc.	
<u>Axe (E. W.) & Company, Inc.</u>	02003717
Axe - Houghton Fund A, Inc.	
Axe - Houghton Fund B, Inc.	
Axe - Houghton Stock Fund, Inc.	
Axe Science Corporation	
<u>Babson (David L.) & Company, Inc.</u>	02113000
Babson, David L. Investment Fund, Inc.	
<u>Bernhard (Arnold) & Co., Inc.</u>	02004716
Value Line Fund, Inc. (The)	
Value Line Leveraged Growth Fund, Inc. (The)	
Value Line Special Situations Fund, Inc. (The)	
<u>Blair (William) & Co.</u>	02136003
Cemetery Care Investment Fund, Inc.	
<u>Boston Management & Research</u>	02005715
Boston Common Stock Fund, Inc.	
Boston Fund, Inc.	
Fiduciary Exchange Fund, Inc.	
<u>Bullock (Calvin) Ltd.</u>	02006714
Bullock Fund, Inc.	
Canadian Fund, Inc.	
Dividend Shares, Inc.	
Nation-Wide Securities Co., Inc.	

	<u>Code</u>
<u>Capital Research & Management</u>	02007713
Amcap Fund, Inc.	
American Mutual Fund, Inc.	
Investment Company of America (The)	
Washington Mutual Investors Fund, Inc.	
 Chase (John P.) Inc.	
See: Continental Investment Corp.	
 <u>Chestnutt Corporation</u>	02009711
American Investors Fund, Inc.	
 <u>Clark Dodge & Co., Inc.</u>	02130009
Nassau Fund (The)	
 <u>Colonial Management Associates</u>	02010718
Colonial Equities, Inc.	
Colonial Fund, Inc. (The)	
Colonial Growth Shares, Inc.	
Colonial Hedge Fund, Ind.	
Colonial Ventures, Inc.	
Gateway Fund, Inc. (The)	
 <u>Continental Investment Corp.</u>	02012716
Chase Capital Fund, Inc.	
Chase Fund of Boston (The)	
Chase Special Fund, Inc.	
Shareholders' Trust of Boston	
Unified Mutual Shares, Inc.	
United Funds, Inc.	
United Funds Canada-International, Ltd.	
United Vanguard Fund, Inc.	
 <u>Delaware Management Company</u>	02013715
Decator Income Fund, Inc.	
Delaware Fund, Inc.	
 <u>Distributors Group, Inc.</u>	02015713
Group Securities, Inc.	
 <u>Dodge & Cox</u>	02135004
Dodge & Cox Balanced Fund	
Dodge & Cox Stock Fund	

Code

Donaldson Lufkin & Jenrette

See: Whitehall Management Corp.

Drexel Harriman & Ripley, Inc.

02116007

Drexel Equity Fund, Inc.

Drexel Hedge Fund, Inc.

Drexel Investment Fund, Inc.

Dreyfus Corporation (The)

02016712

The Dreyfus Fund, Inc.

The Dreyfus Leverage Fund

The Dreyfus Retirement Equity Fund

Eaton & Howard, Inc.

02017711

Eaton & Howard Balanced Fund

Eaton & Howard Income Fund

Eaton & Howard Stock Fund

Eberstadt (F) & Co., Inc.

02018710

Chemical Fund, Inc.

Eberstadt Fund, Inc. (The)

Eddie Management Services, Inc.

02103716

Eddie Special Growth Fund, Inc.

Eddie Special Institutional Fund, Inc.

American Republic Assurance Co. Separate Account B

Hartford Mutual Investment Fund, Inc.

Endowment Management & Research Corp.

02019719

Omega Fund, Inc.

Federated Research Corporation

02021715

Empire Fund Inc.

Federated Dual-Exchange Fund, Inc.

Fifth Empire Fund

Fifth Presidential Fund

Fourth Empire Fund

General Exchange Fund, Inc.

Income Foundation Fund, Inc.

Mutual Fund For Investing in U.S. Gov't Securities

Pension Capital Growth Fund, Inc.

Presidential Exchange Fund

Presidential Trend Fund

Second Empire Fund

Second Presidential Exchange Fund

Sixth Empire Fund

Third Empire Fund

<u>Fidelity Management & Research Company</u>	02022714
Congress Street Fund	
Contrafund, Inc.	
Dow Theory Investment Fund, Inc.	
Essex Fund	
Everest Fund, Inc.	
Fidelity Capital Fund, Inc.	
Fidelity Fund	
Fidelity Systematic Investment Fund, Inc.	
Fidelity Trend Fund	
Magellan Fund, Inc.	
Puritan Fund, Inc.	
Second Congress Street Fund, Inc.	
Memphis & Shelby County Medical Society, Inc. Investment Retirement Trust	
Variable Stock Fund, Inc.	
 <u>Financial Programs, Inc.</u>	 02023713
Financial Dynamics Fund, Inc.	
Financial Industrial Fund, Inc.	
Financial Industrial Income Fund, Inc.	
Financial Venture Fund, Inc.	
 <u>Funds, Inc.</u>	 02026710
Impact Fund, Inc.	
Industries Exchange Fund, Inc.	
Industries Trend Fund	
Pilot Fund	
Texas Fund, Inc.	
 <u>Hamilton Management Corporation</u>	 02030716
Hamilton Funds, Inc.	
Hamilton Futura Fund, Inc.	
Hamilton Growth Fund	
Hamilton Mile-Hi Fund, Inc.	
 <u>Hartwell (J.M.) & Company, Inc.</u>	 02128003
Hartwell & Campbell Fund, Inc.	
Lincoln Fund, Inc. (The)	
 <u>Independence Corporation</u>	 02143004
Freedom Fund, Inc.	
Independence Fund, Inc.	

	<u>Code</u>
<u>Insurance Securities, Inc.</u>	02032714
ISI Income Fund, Inc.	
ISI Institutional Fund A, Inc.	
ISI Institutional Fund B, Inc.	
ISI Trust Fund	
ISI Venture Fund, Inc.	
<u>Investors Diversified Services, Inc.</u>	02033713
Investors Mutual, Inc.	
Investors Stock Fund, Inc.	
Investors Selective Fund, Inc.	
Investors Variable Payment Fund, Inc.	
I.D.S. Progressive Fund, Inc.	
I.D.S. New Dimensions Fund, Inc.	
I.S.L. Variable Annuity Fund A	
<u>Johnston, Douglas T. & Co., Inc.</u>	02034712
Johnston Mutual Fund, Inc.	
<u>Keystone Custodian Funds</u>	02035711
Constitution Exchange Fund, Inc.	
Investors Capital Exchange Fund, Inc.	
Keystone Capital Corp.	
Keystone Custodian Fund, Series B-1	
Keystone Custodian Fund, Series B-2	
Keystone Custodian Fund, Series B-3	
Keystone Custodian Fund, Series B-4	
Keystone Custodian Fund, Series K-1	
Keystone Custodian Fund, Series K-2	
Keystone Custodian Fund, Series S-1	
Keystone Custodian Fund, Series S-2	
Keystone Custodian Fund, Series S-3	
Keystone Custodian Fund, Series S-14	
Polaris Fund, Inc.	

<u>Lehman Brothers</u> One William Street Fund, Inc.	02036710
<u>Loomis & Kennedy, Inc.</u> Fund of the West, Inc.	02119004
<u>Loomis, Sayles & Co., Inc.</u> Loomis, Sayles Capital Development Fund, Inc. Loomis, Sayles Mutual Fund, Inc. NEL Equity Fund, Inc. NEL Growth Fund Loomis-Sayles Canadian and International Fund, Ltd.	02038716
<u>Lord, Abbett & Co.</u> Affiliated Fund, Inc. American Business Shares, Inc.	02039715
<u>Massachusetts Financial Services, Inc.</u> Massachusetts Investors Trust Massachusetts Investors Growth Stock Fund, Inc.	02041711
<u>Mathers and Company</u> Mathers Fund, Inc.	02156712
<u>Montag & Caldwell, Inc.</u> Alpha Fund, Inc.	02139000
<u>Moody's Advisor's & Distributors, Inc.</u> Moody's Capital Fund, Inc.	02112001
<u>Naess & Thomas</u> See: Schroder Naess & Thomas	
<u>National Securities & Research Corporation</u> National Securities Series	02042710
<u>Newton & Company</u> Newton Fund, Inc.	021344005
<u>Oppenheimer Management Corp.</u> Oppenheimer Fund, Inc.	02046716
<u>Price T. Rowe & Associates, Inc.</u> Price, T. Rowe Growth Stock Fund, Inc. Price, Rowe New Era Fund, Inc. Price, Rowe New Horizons Fund, Inc.	02049713

	<u>Code</u>
<u>Putnam Management Company, Inc. (The)</u>	02050719
The George Putnam Fund of Boston	
Putnam Growth Fund (The)	
Putnam Income Fund, Inc.	
Putnam Investors Fund, Inc.	
Putnam Equities Fund, Inc.	
Putnam Voyager Fund, Inc.	
Putnam Vista Fund, Inc.	
Putnam Mayflower Fund, Inc.	
<u>Savings Banks Trust Company</u>	02052717
Institutional Investors Mutual Fund, Inc.	
M.S.B. Fund, Inc.	
<u>Schroder, Naess & Thomas</u>	02053716
First Investors Fund for Growth, Inc.	
First Investors Fund, Inc.	
Industry Fund of America, Inc.	
Naess & Thomas Special Fund, Inc.	
<u>Scudder, Stevens & Clark</u>	02054715
Farm Bureau Mutual Fund, Inc.	
Great Plains Variable Annuity Fund A	
Pelham Corporation (The)	
Scudder Special Fund	
Scudder, Stevens & Clark Balanced Fund, Inc.	
Scudder, Stevens & Clark Common Stock Fund, Inc.	
<u>Security Supervisors, Inc.</u>	02056713
Selected American Shares	
Selected Special Shares	
<u>Shareholders Management Company</u>	02057712
Comstock Fund, Inc.	
Enterprise Capital Fund, Inc.	
Enterprise Fund, Inc.	
Fletcher Capital Fund, Inc.	
Harbour Fund, Inc.	
Newport Fund, Inc.	
Pace Fund, Inc.	
<u>Smith, Barney & Company, Inc.</u>	02118005
Fund for Mutual Depositors, Inc.	
Smith, Barney Equity Fund, Inc.	
<u>Standish, Ayer & Wood, Inc.</u>	02131000
Prudential Fund of Boston, Inc.	

Code

<u>State Street Research & Management Co.</u>	02058711
Federal Street Fund, Inc.	
Second Federal Street Fund, Inc. (The)	
State Street Investment Corp.	
<u>Stein Roe & Farnham</u>	02060717
SRF Fund, Inc.	
Stein Roe & Farnham Balanced Fund, Inc.	
Stein Roe & Farnham Capital Opportunities Fund, Inc.	
Stein Roe & Farnham Stock Fund, Inc.	
<u>Studley, Shupert & Co. Inc. of Boston</u>	02061716
Commonwealth Fund Trust Plans A & B	
Commonwealth Fund Trust Plans C & D	
Ivy Fund, Inc.	
<u>Summit Management & Research Corporation</u>	02062715
Hedge Fund of America, Inc.	
Summit Capital Fund, Inc.	
<u>Supervised Investors Services, Inc.</u>	02063714
Balanced Income Fund, Inc.	
Supervised Investors Growth Fund, Inc.	
Supervised Investors Summit Fund, Inc.	
Technology Fund, Inc.	
<u>Templeton Dobrow & Vance</u>	02126005
Lexington Corporate Leaders Fund, Inc.	
Lexington Growth Fund, Inc.	
Lexington Income Trust	
Vantage Ten-Ninety Fund, Inc.	
<u>Tsai Management & Research Corporation</u>	02066711
Hemisphere Fund, Inc.	
Manhattan Fund, Inc.	
Professional Retirement Equity Fund, Inc.	
Fundex, Inc.	
Liberty Fund, Inc.	
TMR Appreciation Fund, Inc.	
<u>United Fund Management Ltd.</u>	
See: Continental Investment Corp.	
<u>Union Service Corporation</u>	02067710
Broad Street Investing Corp.	
National Investors Corp.	
<u>Van Strum & Towne, Inc.</u>	02069718
Channing Income Fund, Inc.	
Channing Securities, Inc.	
Channing Shares, Inc.	
Channing Venture Fund, Inc.	
National Industries Fund, Inc.	
Teachers Association Mutual Fund of California, Inc.	

<u>Vance, Sanders & Company, Inc.</u>	02070715
Capital Exchange Fund, Inc.	
Depositors Fund of Boston, Inc.	
Diversification Fund, Inc.	
The Exchange Fund of Boston, Inc. (The)	
Fiduciary Exchange Fund, Inc.	
Leverage Fund of Boston, Inc.	
Second Fiduciary Exchange Fund, Inc.	
Vance, Sanders Institutional Fund, Inc.	
Vance, Sanders Special Fund, Inc.	
<u>Waddell and Reed, Inc.</u>	
See: Continental Investment Corp.	
<u>Wellington Management Company</u>	02071714
Exeter Fund, Inc.	
Exeter Second Fund, Inc.	
Exeter Third Fund, Inc.	
Explorer Fund, Inc.	
Gemini Fund, Inc.	
Morgan W. L. Growth Fund	
Ivest Fund, Inc.	
Techninvest Fund	
Wellington Fund	
Windsor Fund, Inc.	
<u>Whitehall Management Corp.</u>	02102717
Fiduciary Equity Associates, Inc.	
Pinestock Associates, Inc.	
Quasar Associates, Inc.	
<u>Wood Struthers & Winthrop</u>	02073712
Compass Growth Fund, Inc.	
Compass Income Fund, Inc.	
DeVegh Mutual Fund, Inc.	
Pine Street Fund, Inc.	
W. S. & W. Fund, Inc.	
W. S. & W. Special Fund, Inc.	

Institutional Investor Study
Securities and Exchange Commission

LIST P: PROXY CONTESTS

<u>Name of Issuer</u>	<u>CUSIP Number</u>	<u>Date of Vote</u>
1. Aerotron Inc.	008050106	October 1967
2. Alexander & Baldwin Inc.	014482103	June 1968
3. Altamil Corp.	021375100	December 1968
4. American Cement Co.	025069105	June 1969
5. Armour & Co.	042303107	February 1969
6. California Financial Corp.	130217102	May 1968
7. Chief Consolidated Mining Co.	168628105	June 1968
8. Civil Service Employees Ins. Co.	178864104	April 1969
9. Compo Industries Inc.	204525109	December 1968
10. Curtis Publishing Co.	231543109	May 1969
11. Defiance Industries Inc.	244667101	April 1969
12. Electronic Specialty Co.	285839106	January 1969
13. Equity Oil Co.	294749106	May 1969

Continued on next page

LIST P: PROXY CONTESTS
(Cont'd)

<u>Name of Issuer</u>	<u>CUSIP Number</u>	<u>Date of Vote</u>
14. Exchange Investment Corp.	301299103	May 1969
15. General Time Corp.	371316100	April 1968
16. Georgia Factors	990050106	September 1969
17. Hinsdale Raceway Inc.	990051104	April 1969
18. Jacobson Manufacturing Co.	469826101	December 1968
19. Leece-Neville Co.	524138104	December 1968
20. Pacific Vegetable Oil Co.	695037101	November 1968
21. Penn Pacific Corp.	707576104	May 1969
22. Republic Investors Life Ins. Co.	760541102	July 1968
23. Riley Stoker Corp.	766685101	April 1968
24. Scotten Dillon Co.	810113100	June 1968
25. South Dakota Corp.	837548106	July 1968
26. Tokheim Corp.	889073102	April 1968
27. Westinghouse Air Brake Co.	960385102	May 1968

LIST R: LIST OF FINANCIAL INSTITUTIONS

List R contains the names of "primary" financial institutions to be used in completing Form I-64. A primary institution is one for which an I.D. Code is given. The names are listed alphabetically within seven categories: banks, investment advisers, property and liability insurance groups, life insurance companies, pension funds, foundations and educational endowments.

For the investment adviser category, names of investment advisers, investment companies or investment partnerships affiliated with the primary institution are listed under the name of the primary institution. In completing Form I-64, use the name of the investment company, investment partnership or investment adviser you dealt with. In all cases, however, enter on Form I-64 the number appearing next to the name of the primary institution. The list of affiliates of the primary institution is not necessarily exhaustive, and you should not restrict yourself to affiliates explicitly named in List R.

For the property and liability insurance groups, names of insurance companies that are members of the group are listed below the group name. The list of affiliates is not necessarily exhaustive, and you should not restrict yourself to affiliates of the primary institution explicitly named in List R. In Form I-64 enter the name of the particular insurance company with which you dealt. In all cases enter on Form I-64 the number of the primary institution with which the particular company is affiliated.

Certain investment advisers on List R are affiliated with insurance companies on the List. If you dealt with the adviser or an affiliate of the adviser, use its name and number, not the name and number of the insurance company.

LIST N^{1/}

BANKS

	<u>I. D. Code</u>
1. Bank of America San Francisco, California	01015001
2. The Bank of California San Francisco, California	01049001
3. The Bank of New York New York, New York	01018008
4. Bankers Trust Company New York, New York	01003005
5. Boston Safe Deposit and Trust Company Boston, Massachusetts	01032000
6. Chase Manhattan Bank New York, New York	01002006
7. Chemical Bank of New York New York, New York	01011005
8. Citizens & Southern National Bank Atlanta, Georgia	01050007
9. The Cleveland Trust Company Cleveland, Ohio	01016000
10. The Connecticut Bank and Trust Company Hartford, Connecticut	01031001
11. Continental Illinois National Bank and Trust Company Chicago, Illinois	01010006
12. Crocker-Citizens National Bank San Francisco, California	01023001
13. The Detroit Bank and Trust Company Detroit, Michigan	01027007
14. The Fidelity Bank Philadelphia, Pennsylvania	01024000
15. Fidelity Union Trust Company Newark, New Jersey	01048002
16. Fiduciary Trust Company of New York New York, New York	01046004

^{1/}
- The list of banks was also sent out separately as List N.

17.	The First National Bank of Boston Boston, Massachusetts	01043007
18.	The First National Bank of Chicago Chicago, Illinois	01009009
19.	First National City Bank New York, New York	01004004
20.	The First Pennsylvania Banking and Trust Company Philadelphia, Pennsylvania	01020004
21.	Girard Trust Bank Philadelphia, Pennsylvania	01019007
22.	Harris Trust and Savings Bank Chicago, Illinois	01014002
23.	Hartford National Bank and Trust Company Hartford, Connecticut	01042008
24.	Irving Trust Company New York, New York	01030002
25.	Lincoln Rochester Trust Company Rochester, New York	01044006
26.	Manufacturers Hanover Trust Company New York, New York	01007001
27.	Manufacturers National Bank of Detroit Detroit, Michigan	01045005
28.	Marine Midland Grace Trust Company of New York New York, New York	01040000
29.	Mellon National Bank and Trust Company Pittsburgh, Pennsylvania	01006002
30.	Mercantile-Safe and Trust Company Baltimore, Maryland	01021003
31.	Mercantile Trust Company St. Louis, Missouri	01036006
32.	Morgan Guaranty Trust Company New York, New York	01001007
33.	National Bank of Detroit Detroit, Michigan	01017009
34.	The National City Bank of Cleveland Cleveland, Ohio	01041009

Banks

	<u>I. D.</u> <u>Code</u>
35. New England Merchants National Bank Boston, Massachusetts	01039003
36. The Northern Trust Company Chicago, Illinois	01012004
37. Northwestern National Bank of Minneapolis Minneapolis, Minnesota	01033009
38. Old Colony Trust Company Boston, Massachusetts	01013003
39. Pittsburgh National Bank Pittsburgh, Pennsylvania	01026008
40. Provident National Bank Philadelphia, Pennsylvania	01029005
41. Rhode Island Hospital Trust National Bank Providence, Rhode Island	01037005
42. Security Pacific National Bank Los Angeles, California	01022002
43. State Street Bank and Trust Company Boston, Massachusetts	01035007
44. The Toledo Trust Company Toledo, Ohio	01047003
45. Trust Company of Georgia Atlanta, Georgia	01038004
46. United California Bank Loss Angeles, California	01028006
47. United States Trust Company New York, New York	01005003
48. Wachovia Bank and Trust Company Winston Salem, North Carolina	01034008
49. Wells Fargo Bank San Francisco, California	01025009
50. Wilmington Trust Company Wilmington, Delaware	01008000

INVESTMENT ADVISERS

	<u>I. D.</u> <u>Code</u>
1. <u>American Express Investment Management Company</u> The Management Endowment Fund American Express Special Fund Commonwealth Capital Fund, Incorporated Commonwealth Income Fund Commonwealth Investment Company Commonwealth Stock Fund Fund American Investment Management Company	02025007
2. <u>Anchor Corporation</u> Anchor Capital Fund, Incorporated Diversified Growth Stock Fund, Incorporated Diversified Investment Fund, Incorporated Fundamental Investors, Incorporated Westminster Fund, Incorporated Anchor Growth Fund, Incorporated Anchor Income Fund, Incorporated	02002004
3. <u>Arnold S. Bleichroeder, Incorporated</u>	02101004
4. <u>Axe (E. W.) and Company, Incorporated</u> Axe - Houghton Fund A, Incorporated Axe - Houghton Fund B, Incorporated Axe - Houghton Stock Fund, Incorporated Axe Science Corporation	02003003
5. <u>Berger-Kent Management Corporation</u> Berger-Kent Associates Berger-Kent Special Fund, Incorporated Waterbury Associates	02080009
6. <u>Bernhard (Arnold) and Company, Incorporated</u> Value Line Fund, Incorporated (The) Value Line Leveraged Growth Fund, Inc. (The) Value Line Special Situations Fund, Inc. (The) Value Line Development Capital Corporation (The) Value Line Securities, Incorporated Murray Hill Securities, Incorporated Value Line Income Fund, Incorporated	02004002
7. <u>Boston Management and Research</u> Boston Common Stock Fund, Incorporated Boston Fund, Incorporated Fiduciary Exchange Fund, Incorporated	02005001

Investment Advisers

	<u>I.D.</u> <u>Code</u>
8. <u>Boxwood Associates</u>	02081008
9. <u>John A. Bristol and Company, Incorporated</u>	02117006
10. <u>Buffet Partnership, Ltd.</u>	02082007
11. <u>Bullock (Calvin) Ltd.</u> Bullock Fund, Ltd. Canadian Fund, Incorporated Dividend Shares, Incorporated Nation-Wide Securities Company, Incorporated Carriers and General Corporation	02006000
12. <u>Buttonwood Corporation</u>	02225005
13. <u>Capital Research and Management</u> Amcap Fund, Incorporated American Mutual Fund, Incorporated Investment Company of America (The) Washington Mutual Investors Fund, Incorporated	02007009
14. <u>Century Shares Trust</u>	02008008
15. <u>Cerebrus Associates</u>	02083006
16. <u>Chase, John P., Incorporated</u> (See Continental Investment Corporation)	
17. <u>Chestnutt Corporation</u> American Investors Fund, Incorporated	02009007
18. <u>City Associates</u>	02084005
19. <u>Colonial Management Associates</u> Colonial Equities, Incorporated Colonial Fund, Incorporated (The) Colonial Growth Shares, Incorporated Colonial Hedge Fund, Industries Colonial Ventures, Incorporated Gateway Fund, Incorporated (The) Colonial Income Fund, Incorporated	02010004
20. <u>Competitive Capital Corporation</u>	02011003

Investment Advisers

	<u>I. D. Code</u>
21. <u>Continental Investment Corporation</u> John P. Chase, Incorporated Chase Capital Fund, Incorporated Chase Frontier Fund Chase Fund of Boston Chase Special Fund, Incorporated Income and Capital Shares, Incorporated Shareholders Trust of Boston Unified Funds, Incorporated Unified Mutual Shares, Incorporated Chase Institutional Investors of Boston Waddell and Reed, Incorporated United Continental Accumulative Fund, Inc. United Funds, Incorporated United Vanguard Fund United Capital Management, Incorporated United Funds Management, Ltd. United Funds Canada - International, Ltd.	02012002
22. <u>Dain, Kalman and Quail, Incorporated</u>	02132007
23. <u>Delaware Management Company</u> Decatur Income Fund, Incorporated Delaware Fund, Incorporated Delta Trend Fund	02013001
24. <u>Delfi Management, Incorporated</u> Sigma Capital Shares, Incorporated Sigma Investment Shares, Incorporated Sigma Trust Shares	02014000
25. <u>Distributors Group, Incorporated</u> Group Securities, Incorporated	02015009
26. <u>Donaldson Lufkin and Jenrette</u> Fiduciary Equity Associates, Incorporated Pinestock Associates, Incorporated Whitehall Associates Lafayette Associates, N.V. Washington Associates, N.V. Quasar Associates Whitehall Management Corporation	02102003
27. <u>Dreyfus Corporation (The)</u> The Dreyfus Fund, Incorporated The Dreyfus Leverage Fund The Dreyfus Retirement Equity Fund	02016008

Investment Advisers

	<u>I.D. Code</u>
28. <u>Eaton and Howard, Incorporated</u> Eaton and Howard Balanced Fund Eaton and Howard Income Fund Eaton and Howard Stock Fund Eaton and Howard Growth Fund Eaton and Howard Special Fund, Incorporated	02017007
29. <u>Eberstadt (F) and Company, Incorporated</u> Chemical Fund, Incorporated Eberstadt Fund, Incorporated (The)	02018006
30. <u>Lionel D. Edie and Company, Incorporated</u> Edie Management Services, Incorporated Edie Special Growth Fund, Incorporated Edie Special Institutional Fund, Incorporated	02103002
31. <u>Endowment Management and Research Corporation</u> Omega Fund, Incorporated	02019005
32. <u>EFC Management Corporation</u> Equity Growth Fund of America, Incorporated Fund of America, Incorporated Republic Technology Fund	02020002
33. <u>Fairfield Partners</u>	02085004
34. <u>Faulkner, Dawkins and Sullivan</u>	02105000
35. <u>Federated Research Corporation</u> Empire Fund, Incorporated Federated Dual-Exchange Fund, Incorporated Fifth Empire Fund Fifth Presidential Fund Fourth Empire Fund General Exchange Fund, Incorporated Income Foundation Fund, Incorporated Pension Capital Growth Fund, Incorporated Presidential Exchange Fund Presidential Trend Fund Second Empire Fund Second Presidential Exchange Fund Sixth Empire Fund Third Empire Fund Pension Capital Growth Fund, Incorporated	02021001

Investment Advisers

	<u>I.D.</u> <u>Code</u>
36. <u>Fidelity Management and Research Company</u> Congress Street Fund Contrafund, Incorporated Dow Theory Investment Fund, Incorporated Essex Fund Everest Fund, Incorporated Fidelity Capital Fund, Incorporated Fidelity Fund, Incorporated Fidelity Systematic Investment Fund, Incorporated Fidelity Trend Fund Magellan Fund, Incorporated Puritan Fund, Incorporated Second Congress Street Fund, Incorporated Variable Stock Fund, Incorporated Fidelity Destiny Fund, Incorporated Salem Fund, Incorporated	02022000
37. <u>Financial Programs, Incorporated</u> Financial Dynamics Fund, Incorporated Financial Industrial Fund, Incorporated Financial Industrial Income Fund, Incorporated Financial Venture Fund, Incorporated Financial Future Fund, Incorporated	02023009
38. <u>Fleschner Becker Associates</u>	02239009
39. <u>Founders Mutual Depositor Corporation</u> Gryphon Fund, Incorporated Meridian Fund, Incorporated	02024008
40. <u>Fund American Investment Management Company</u> (See American Express Investment Management Company)	
41. <u>Funds, Incorporated</u> Impact Fund, Incorporated Industries Exchange Fund, Incorporated Industries Trend Fund Pilot Fund Texas Fund, Incorporated Commerce Fund, Incorporated	02026006
42. <u>General American Investors</u>	02027005
43. <u>Gibraltar Research and Management</u> Gibraltar Growth Fund, Incorporated Gibraltar Dynamics Fund, Incorporated Gibraltar Century Fund	02028004

Investment Advisers

	<u>I. D. Code</u>
44. <u>Guarante-Harrington Associates</u>	02088001
45. <u>H. and C. Management Company</u> Hartwell and Campbell Fund, Incorporated Lincoln Fund, Incorporated Hartwell and Campbell Leverage Fund Hartwell and Associates Park Westlake Associates	02029003
46. <u>Hamilton Management Corporation</u> Hamilton Funds, Incorporated Hamilton Futura Fund, Incorporated Hamilton Growth Fund Hamilton Mile-Hi Fund, Incorporated	02030000
47. <u>Hartwell (J. W.) and Company, Incorporated</u> (See H. and C. Management Company)	
48. <u>Hawthorn Consultants</u> Hawthorn Partners	02916009
49. <u>Haywood Management Company</u> American Dual Vest Tudor Hedge Fund Woodpark Associates	02086003
50. <u>Heritage Securities, Incorporated</u> Mutual Investing Foundation MIF Fund MIF Growth Fund	02031009
51. <u>Insurance Securities, Incorporated</u> ISI Income Fund, Incorporated ISI Institutional Fund A, Incorporated ISI Institutional Fund B, Incorporated ISI Trust Fund ISI Venture Fund, Incorporated ISI Growth Fund	02032008
52. <u>Investors Diversified Services, Incorporated</u> Investors Mutual, Incorporated Investors Stock Fund, Incorporated Investors Selective Fund, Incorporated Investors Variable Payment Fund, Incorporated I.D.S. Progressive Fund, Incorporated I.D.S. New Dimensions Fund, Incorporated	02033077

Investment Advisers

	<u>I. D. Code</u>
52. <u>Investors Diversified Services, Incorporated (Continued)</u> I.S.L. Variable Annuity Fund A I.S.L. Variable Annuity Fund B Investors Syndicate of America	0203307
53. <u>Johnston, Douglas T. and Company, Incorporated</u> Johnston Mutual Fund, Incorporated	02034006
54. <u>Jones, A. W. and Company</u> A. W. Jones Associates	02087002
55. <u>Keystone Custodian Funds</u> Constitution Exchange Fund, Incorporated Investors Capital Exchange Fund, Incorporated Keystone Capital Corporation Keystone Custodian Fund, Series B-1 Keystone Custodian Fund, Series B-2 Keystone Custodian Fund, Series B-3 Keystone Custodian Fund, Series B-4 Keystone Custodian Fund, Series K-1 Keystone Custodian Fund, Series K-2 Keystone Custodian Fund, Series S-1 Keystone Custodian Fund, Series S-2 Keystone Custodian Fund, Series S-3 Keystone Custodian Fund, Series S-4 Polaris Fund, Incorporated	02035005
56. <u>Cyrus J. Lawrence</u>	02210002
57. <u>Lehman Brothers</u> One William Street Fund, Incorporated Lehman Corporation (The)	02036004
58. <u>Leperc, DeNeuflize</u> Istel Fund, Incorporated	02037003
59. <u>Lincoln Partners</u>	02088001
60. <u>Loomis, Sayles and Company, Incorporated</u> Loomis, Sayles Capital Development Fund, Incorporated Loomis, Sayles Mutual Fund, Incorporated NEL Equity Fund, Incorporated NEL Growth Fund	02038002

Investment Advisers

	<u>I. D. Code</u>
61. <u>Lord, Abbett and Company</u> Affiliated Fund, Incorporated American Business Shares, Incorporated	02039001
62. <u>Mackay-Shields Financial Corporation</u>	02106009
63. <u>Madison Fund, Incorporated</u>	02040008
64. <u>Massachusetts Financial Services, Incorporated</u> Massachusetts Investors Trust Massachusetts Investors Growth Stock Fund, Inc.	02041007
65. <u>National Securities and Research Corporation</u> National Securities Series Capital Trinity Fund, Incorporated	02042006
66. <u>Neuwirth Management and Research</u> Neuwirth Fund, Incorporated	02043005
67. <u>Niagra Share Corporation</u>	02044004
68. <u>O'Neill Management Company, Incorporated</u> O'Neil Fund O'Neil Institutional Fund Service Fund, Incorporated	02045003
69. <u>Oppenheimer Management Corporation</u> Oppenheimer Fund, Incorporated Oppenheimer A. J. M. Fund, Incorporated	02046002
70. <u>Penn Square Management Corporation</u> Penn Square Mutual Fund	02047001
71. <u>Pioneering Management Corporation</u> Pioneer Enterprise Fund Pioneer Fund, Incorporated Pioneer II, Incorporated	02048000
72. <u>Price T. Rowe and Associates, Incorporated</u> Price T. Rowe Growth Stock Fund, Incorporated Price T. Rowe New Era Fund, Incorporated Price T. Rowe New Horizons Fund, Incorporated	02049009

Investment Advisers

	<u>I. D.</u> <u>Code</u>
73. <u>Putnam Management Company, Incorporated.</u> The George Putnam Fund of Boston Putnam Growth Fund (The) Putnam Income Fund, Incorporated Putnam Investors Fund, Incorporated Putnam Equities Fund, Incorporated Putnam Voyager Fund, Incorporated Putnam Vista Fund, Incorporated Putnam Mayflower Fund, Incorporated Putnam Duo Fund, Incorporated Putnam Associates Fund, Incorporated Putnam Mariner Fund, Incorporated	02050005
74. <u>Samuel, Ralph E. and Company</u> Energy Fund, Incorporated Regency Fund, Incorporated	02051004
75. <u>Sarofim Fayez and Company</u>	02114009
76. <u>Savings Banks Trust Company</u> Institutional Investors Mutual Fund, Incorporated M.S.B. Fund, Incorporated	02052003
77. <u>Schroder Trust Company</u> Castle Clinton International Holdings Corporation	02053002
78. <u>Scudder, Stevens and Clark</u> Farm Bureau Mutual Fund, Incorporated Great Plains Variable Annuity Fund A Pelham Corporation (The) Scudder Special Fund, Incorporated Scudder, Stevens and Clark Balanced Fund, Inc. Scudder, Stevens and Clark Common Stock Fund, Inc. Lastarmco, Incorporated Louisville Investment Company Scudder Duo-Vest Exchange Fund, Incorporated Scudder Duo-Vest, Incorporated	02054001
79. <u>Security Management Company</u> SBL Variable Annuity Account Security Equity Fund, Incorporated Security Investment Fund, Incorporated Security Ultra Fund, Incorporated	02055000

Investment Advisers

	<u>I. D.</u> <u>Code</u>
80. <u>Security Supervisors, Incorporated</u> Selected American Shares, Incorporated Selected Special Shares, Incorporated Selected Opportunity Fund, Incorporated	02056009
81. <u>Seligman, J. and W. and Company</u>	02108007
82. <u>Shareholders Management Company</u> Comstock Fund, Incorporated Enterprise Capital Fund, Incorporated Enterprise Fund, Incorporated Fletcher Capital Fund, Incorporated Harbour Fund, Incorporated Newport Fund, Incorporated Pace Fund, Incorporated Fletcher Fund, Incorporated SMC Investment Corporation	02057008
83. <u>Standard and Poors Intercapital, Incorporated</u>	02240006
84. <u>State Street Research and Management Company</u> Federal Street Fund, Incorporated Second Federal Street Fund, Incorporated (The) State Street Investment Corporation Holyoke Shares, Incorporated	02058007
85. <u>Steadman Security Corporation</u> Steadman Science and Growth Fund Steadman American Industry Fund Steadman Fiduciary Investment Fund Aberdeen Fund Associated Fund Trust	02059006
86. <u>Stein Roe and Farnham</u> SRF Fund, Incorporated Stein Roe and Farnham Balanced Fund, Incorporated Stein Roe and Farnham Capital Opportunities Fund, Inc. Stein Roe and Farnham Stock Fund, Incorporated	02060003
87. <u>Steinhardt, Fine, Berkowitz and Company</u>	02089000
88. <u>Strand and Company</u> SST Partners	02090008

Investment Advisers

	<u>I.D.</u> <u>Code</u>
89. <u>Studley, Shupert and Company, Incorporated of Boston</u> Commonwealth Fund Trust Plans A & B Commonwealth Fund Trust Plans C & D Ivy Fund, Incorporated Inventure Capital Corporation	02061002
90. <u>Summit Management and Research Corporation</u> Hedge Fund of America, Incorporated Summit Capital Fund, Incorporated	02062001
91. <u>Supervised Investors Services, Incorporated</u> Balanced Income Fund, Incorporated Supervised Investors Growth Fund, Incorporated Supervised Investors Summit Fund, Incorporated Technology Fund, Incorporated	02063000
92. <u>Surveyor Fund</u>	02064009
93. <u>Technological Investors Management Corporation</u>	02109006
94. <u>Transamerica Fund Management</u> Transamerica Capital Fund, Incorporated Transamerica Investors Fund, Incorporated Pathfinder Equities, Incorporated	02065008
95. <u>Tsai Management and Research Corporation</u> Hemisphere Fund, Incorporated Manhattan Fund, Incorporated Professional Retirement Equity Fund, Incorporated Fundex, Incorporated Liberty Fund, Incorporated TMR Appreciation Fund, Incorporated	02066007
96. <u>Union Service Corporation</u> Broad Street Investing Corporation National Investors Corporation Whitehall Fund, Incorporated	02067006
97. <u>U. S. and Foreign Securities</u>	02068005
98. <u>Van Strum and Towne, Incorporated</u> Channing Income Fund, Incorporated Channing Securities, Incorporated Channing Shares, Incorporated Channing Venture Fund, Incorporated National Industries Fund, Incorporated Teachers Association Mutual Fund of California, Inc.	02069004

Investment Advisers

	<u>I. D.</u> <u>Code</u>
99. <u>Vance, Sanders and Company, Incorporated</u> Capital Exchange Fund, Incorporated Depositors Fund of Boston, Incorporated Diversification Fund, Incorporated The Exchange Fund of Boston, Incorporated Leverage Fund of Boston, Incorporated Second Fiduciary Exchange Fund, Incorporated Vance, Sanders Institutional Fund, Incorporated Vance, Sanders Special Fund, Incorporated	02070001
100. <u>Waddell and Reed, Incorporated</u> (See Continental Investment Corporation)	
101. <u>Wellington Management Company</u> Exeter Fund, Incorporated Exeter Second Fund, Incorporated Exeter Third Fund, Incorporated Explorer Fund, Incorporated Gemini Fund, Incorporated Morgan W. L. Growth Fund Invest Fund, Incorporated Technivist Fund Wellington Fund Windsor Fund, Incorporated Trustee's Equity Fund	02071000
102. <u>Whitehall Management Corporation</u> (See Donaldson, Lufkin and Jenrette)	
103. <u>Winfield and Company, Incorporated</u> Wincap Fund Age Fund, Incorporated Whipple, Clarence M. Fund Winfield Growth Fund	02072009
104. <u>Wood Struthers and Winthrop</u> Compass Growth Fund, Incorporated Compass Income Fund, Incorporated DeVegh Mutual Fund, Incorporated Pine Street Fund, Incorporated W. S. and W. Fund, Incorporated W. S. and W. Special Fund, Incorporated	02073008