

SPEECHES

Responsible Deregulation	4/28/81	Federal Bar Association Washington, D.C.
Perspective of a New Commissioner	5/14/81	New York University Club New York City
The Options Markets Come of Age	6/8/81	Options Legal Forum, American Stock Exchange, New York City
	6/15/81	San Francisco, California
Regulating the Deregulators	6/19/81	National Association of Manufacturers, Philadelphia, Pa.
Risk Taking and Capital Formation	7/7/81	Town Hall of California Los Angeles, California
The Integrated Disclosure System for Foreign Lawyers	7/24/81	New York University School of Law New York City
Cutting Through the Bone: The Impact on the Securities and Exchange Commission of Presently Proposed Budget Restrictions	10/16/81	Rocky Mountain State - Federal Provincial Cooperative Securities Conference, Denver, Colorado
Extraterritorial Application of the United States Securities Laws: The Need for a Balanced Policy	10/29/81	International Corporate Finance Conference, London, England
Increased Access to the United States Capital Markets: A Brief Look at the SEC's Proposed Integrated Disclosure Rules for Foreign Issuers	2/17/82	Keidanren [Federation of Economic Organizations] Tokyo, Japan
Some Observations on the History of the SEC	3/11/82	Financial Executives Institute, Scottsdale, Arizona
Business in the Sunshine	3/23/82	Institute for Corporate Counsel Los Angeles, California
Capital Formation in Japan and the United States: A Comparative Assessment	3/31/82	Japan Society, New York City
The SEC as it Faces the 80's	5/3/82	Forum on Administration Policy Towards Foreign Investment, Washington, D.C.
Opening Statement: NASDAQ Linkage	5/6/82	Meeting of the Securities and Exchange Commission Washington, D.C.

Internationalization of the World's Capital Markets - Can the SEC Help Shape the Future	6/15/82 6/23/82	Southwestern Legal Foundation Dallas, Texas International Bar Association New York City
The SEC's Recent Initiatives to Facilitate Capital Formation - Helping Small Business in a Big Way	6/18/82	New York Venture Capital Forum, New York City
Reporting Cash Flow Information	6/22/82	National Association of Accountants, Washington, D.C.
Opening Statement: Rule 415	9/1/82	Meeting of the Securities and Exchange Commission Washington, D.C.
The Accounting Profession's Self- Regulatory Program and the SEC's Oversight Rule	1/12/83	AICPA Tenth National Conference on Current SEC Developments Washington, D.C.
Opening Statement: Occasion of the Commission's Consideration of Whether to Issue an Advance Concept Release Relating to Utilizing Private Entities to Supplement the Commission's Investment Company Examination Program	2/10/83	Meeting of the Securities and Exchange Commission Washington, D.C.
Insider Trading: An Internal Problem with International Implications	3/11/83	Conference of the International Faculty for Corporate and Capital Market Law Paris, France
Amending the Shareholder Proposal Rule: A New Approach	4/6/83	Conference of the Corporate Transfer Agents Association New York City
The Shelf Rule: An Interim Appraisal	4/29/83	Securities Industry Association Colorado Springs, Colorado
The Roles of the SEC and FASB in Providing Timely Guidance on Emerging Accounting Issues	5/5/83	American Society of Women Accountants, New York Chapter, New York City
Insider Trading: An Internal Problem with International Implications	5/17/83	International Management Symposium St Gallen Graduate School Switzerland
SEC Rule 415: The View from the Shelf	6/28/83	California/Western District Conference Securities Industry Association Santa Barbara, California

PUBLICATIONS

Letter to the Editor	2/24/81	Wall Street Journal
Overregulating the Regulators	6/3/81	130 New York Times at A23, col.1, <u>reprinted</u> 3 Regulatory Eye 1 (June 1981)
Regulating the Regulators into Deregulation	6/15/81	Philadelphia Inquirer at 13-A, col. 1
U.S. Regulatory Agencies' Reform Faces Overregulation	7/6/81	Dallas Morning News at 17-A, col. 1
Op-Ed, "Reagan's Cuts Will ripple the SEC"	10/6/81	Washington Post
If the SEC's Already Lean Budget is Reduced, the Public Will be Hurt	10/18/81	Los Angeles Times at Part V, p. 3, col. 1
An Integrated Disclosure System for Foreign Issuers	Nov./Dec. 1981	2 Bus. Law Memo at 3
Extraterritorial Application of the United States Securities Laws: the Need for a Balanced Policy	12/81	2 International Contract - Law & Finance Review at 5435
Budget Cuts that Boomerang	12/10/81	13 National Journal 2006 (1981), <u>reprinted in</u> , 127 Cong. Rec. H9, 344-45 (12/10/81)
Internationalization of the Securities Markets: An Empirical Analysis	1/82	50 Geo. Wash. L. Rev. 155 (1982)
Letter to the Editor	6/7/82	New York Times
Letter to the Editor	6/15/82	Wall Street Journal
Easing Access to Japanese Capital Markets	8/30/82	Business Week at 10, col. 1
Comments on Enforcement Policy	10/11/82	Legal Times, at 23, col. 1
Should Foreign Companies Get Greater Access to U.S. Capital Markets	11/82	Institutional Investor at 23
Reporting Cash Flow Information	11/82	Journal of Accountancy at 98

Internationalization of the World's Capital Markets - Can the SEC Help Shape the Future?	Fall 1982	15 N.Y.U. Journal of International Law and Politics 55 (1982), <u>reprinted in</u> , International Bar News, October 1982 at 11
The SEC and Deregulation in the Context of Reporting Cash Flows	Fall 1982	24 Corporate Practice Commentator 308 (1982)
Extraterritorial Application of the United States Securities Laws: the Need for a Balanced Policy	Winter 1982	7 Journal of Corporation Law 189 (1982)
Deregulation and Cash Flow Reporting: One Viewpoint	January 1983	Financial Executive; Vol. LI, at 20 p. 131
Securities Regulators Grapple With Extraterritoriality	Jan 17, 1983	Legal Times (Analysis and Perspective); Vol. 5, No. 32, at 20, p. 135
Capital Formation in Japan and the United States: A Comparative Assessment	1983	Columbia Journal of Transnational Law; Vol. 21, No. 2, at 227, p.139
Extraterritorial Application of the United States Securities Laws: The Need for a Balanced Policy (reprint from Journal of Corporation Law; Vol. 7, No. 2, p. 189 - Winter 1982)	Spring 1983	Corporate Practice Commentator; Vol. 25, No. 1, at 37, p. 156
International Accounting and Reporting	Spring 1983	New York University Journal of International Law and Politics; Vol. 15, No. 3, at 517, p. 166
The "Shelf Rule": Its Inherent Risks and Rewards	Spring 1983	The Ohio CPA Journal; Vol. XLII 1983, No. 2, at 61, p. 194
Easing Access to Foreign Capital	April 1983	Enterprise (National Association of Manufacturers); Vol. VII, No. 3, at 12, p. 200
SEC Oversight Role in Self-Regulation	May 1983	The CPA Journal (Adapted from an Address by Commissioner Barbara S. Thomas at the AICPA Tenth Annual Conference on Current SEC Developments, January 12, 1983); Vol. LII, No. 5, at 10, p. 202