SEC Historical Society

Documents Contributed by Arthur Levitt: Key (Our Collection)

6 documents.
A year or month alone means the exact date is unknown.

May 16, 1962
Special Study Hearing - Testimony of Don Regan of Merrill Lynch before Milton H. Cohen for the Special Study of the Securities Markets

December 20, 1962
Testimony on NASD Mark-Up Policy by multiple industry officials before the SEC Special Study team, Gene Rotberg presiding

2000
List prepared during Levitt administration of all SEC Division Directors, 1935 to 2000

February 9, 2001
Scrapbook prepared by SEC staff containing reminiscences of their interactions with Arthur Levitt

June 2, 2004
Paper written by Arthur Levitt on the SEC and investor protection while he was SEC Chairman

February 10, 2009
Letter from Gene Rotberg to Chair Mary Shapiro in the wake of the financial crisis recommending a new "Special Study"