SEC Historical Society

# Documents Contributed by Stephen A. Zeff: Key (Our Collection)

SEC accounting and disclosure documents, 1970–1992: George Catlett's speech on accounting principles, Form 10-K and 10-Q amendments, small-issuer exemptions under Regulation A and Rule 237, Regulation S-X, New York City securities, and Chief Accountant Walter Schuetze's testimony on employee stock compensation. 10 documents.

September 25, 1970
Letter from accountant George Catlett to Professor Stephen Zeff enclosing his speech "The Role of the Accounting Profession in Establishing Principles"

January 10, 1972
SEC Press Release 9442 on Commission's adoption of amendments to Forms 10-K and 10-Q used for annual and quarterly reporting

January 10, 1972
SEC Press Release 9443 on Commission's adoption of an amendment to Form 10-K and expansion of Form 10-Q used for annual and quarterly reporting

January 10, 1972
SEC Press Release 5225 on "Adoption of Amendments to Regulation A" providing registration exemptions for small issuers

January 10, 1972
SEC Press Release 5224 on "Adoption of Rule 237" providing exemption from registration for small issuers

January 10, 1972
SEC Press Release 5226 on "Applicability of Anti-Fraud Provisions of Securities Acts to Certain Acts and Practices in Connection With Offering and Sale Of Securities in Non Public Offerings"

October 4, 1973
Notice of Proposed Amendments to Regulation S-X Providing for Disclosure of Significant Accounting Policies (S7-497)

August 14, 1974
Accounting Series Release providing notice of adoption of amendments to Guides 1 and 22 for preparation and filing of reports and registration statements

# Documents Contributed by Stephen A. Zeff: Key (Our Collection)

January 7, 1976
Interpretive statement on disclosure by registrants holding New York City securities and accounting related to a state moratorium

January 31, 1992
Testimony by SEC Chief Accountant Walter Schuetze "Concerning Accounting for Employee Stock Compensation"