SEC Historical Society

Documents Contributed by Steven Harris: Key (Our Collection)

The origins, passage and impact of the Sarbanes-Oxley Act, 2002–2006: Senator Paul Sarbanes's briefing book and speeches, a speech by Congressman Michael Oxley, reports on the law's benefits, and Treasury Secretary Henry Paulson on the competitiveness of U.S. capital markets. 8 documents.
A year or month alone means the exact date is unknown.

June 14, 2002
Briefing book prepared for Senator Paul Sarbanes during hearings for the Public Company Accounting Reform and Investor Protection Act of 2002

February 2, 2004
Senator Paul Sarbanes speech before the Economic Club of New York discussing the origins and provisions of the Sarbanes-Oxley Act

October 7, 2004
Senator Paul Sarbanes speech before the American Law Institute covering the origins and writing of the Sarbanes-Oxley legislation and its resulting impact on financial markets

March 10, 2005
Document laying out the benefits of the Sarbanes-Oxley Act prepared for the House Committee on Financial Services

March 10, 2004
Congressman Michael Oxley speech before the Georgetown Law Center covering the origins and writing of the Sarbanes-Oxley legislation and its resulting impact on financial markets

September 1, 2005
Detailed report on "Primary Events on the Road to Passage and Implementation of the Sarbanes-Oxley Act" including citations and exhibits

2006
Detailed report on the benefits of the Sarbanes-Oxley Act focusing on corporate and financial market response to the legislation

November 20, 2006
Speech by Treasury Secretary Henry Paulson before the Economic Club of New York on "Competitiveness of U.S. Capital Markets"